AFFIDAVIT OF CERTIFICATION OF RECORDS

Re: <u>United States of America vs. Rapower-3, LLC, International Automated Systems,</u> Inc, et al – Civil No. 2:15-cv-00828 DN EJF

Tammy Blume, being duly sworn, states as follows:

1. I am over eighteen years of age and am not a party to this action. I am employed in the Law Department of AXA Equitable Life Insurance Company as a Manager - Legal Assistant and have authority to certify the authenticity of the attached document is a true and accurate copy of the business record generated and maintained by AXA Equitable Life Insurance Company in the normal course of business.

Tamphy Blume

Sworn to before me this 22th

day of October 2018

Notary Publie

GERALD J. CARROLL, JR. Notary Public, State of New York No. 02CA4832759

Qualified in Onondaga County Commission Expires March 30, 19

> Plaintiff Exhibit

> > 927

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AXA Equitable Retirement Service Solutions P.O. Box 1547 Secaucus, NJ 07096-1547 AXA Advisors, LLC acts as distributor for AXA Equitable Life Insurance Company in connection with the distribution of Separate Account units under your contract or certificate. AXA Advisors and AXA Equitable are affiliated companies.

September 27, 2018 No. Page 1 of 1

Accumulator (NQ)

Contract Number: 6 110

Contract Date: September 10, 2007
Name of Annuitant: R GREGORY SHEPARD
Contract Owner: R GREGORY SHEPARD
Your Representative: DAVID ANDERSEN
Telephone: (801)926-1866

R GREGORY SHEPARD 858 W CLOVER MEADOW DR SALT LAKE CITY UT 84123

If you need assistance, please call your representative at the phone number above, or call our processing office toll free at 1-800-789-7771, or visit our website at www.axa.com.

CONFIRMATION NOTICE

THIS IS YOUR NOTICE THAT THE AXA EQUITABLE PROCESSING OFFICE:

Processed a surrender.

less Contract Fee
less Guaranteed Minimum Death Benefit Charge
less Guaranteed Minimum Income Benefit Charge
\$37.78

Amount Payable

September 27, 2018

\$437.78

\$27,126.05

Current Account Balance: \$0.00 on September 27, 2018

DETAIL OF ACTIVITY BY INVESTMENT OPTION

Investment	Transaction Information Amount Number 2018/09/27			Account Information as of September 27, 2018 Number Account		
Fund	<u>Withdrawn</u>	of Units	<u>Unit Value</u>	of Units	<u>Unit Value</u>	Balance
AXA Global Equity Managed Volatility	\$2,772.01-	78.1697	\$35.461481	0.0000	\$35.461481	\$0.00
EQ/Mid Cap Index	\$2,682.24-	93.9029	\$28.563983	0.0000	\$28.563983	\$0.00
AXA Mid Cap Value Managed Volatility	\$5,380.52-	194.9694	\$27.596740	0.0000	\$27.596740	\$0.00
AXA Large Cap Growth Managed Volatility	\$2,756.56-	83.7640	\$32.908619	0.0000	\$32.908619	\$0.00
AXA Large Cap Core Managed Volatility	\$2,744.63-	104.4067	\$26.287909	0.0000	\$26.287909	\$0.00
1290 VT GAMCO Small Company Value	\$2,696.20-	22.4843	\$119.914901	0.0000	\$119.914901	\$0.00
AXA/Franklin Balanced Managed Volatility	\$5,434.84-	366.7548	\$14.818728	0.0000	\$14.818728	\$0.00
AXA/Mutual Large Cap Equity Managed Volatility	\$2,736.09-	162.9659	\$16.789355	0.0000	\$16.789355	\$0.00
Grand Total	\$27,203.09-					\$0.00

PAYEE INFORMATION

R GREGORY SHEPARD 858 W CLOVER MEADOW DR SALT LAKE CITY UT 84123

PLEASE BE ADVISED

This is to advise you that the release of contract values may affect the guaranteed elements, non-guaranteed elements, death benefit or surrender value of the contract from which these values are released.

This is to advise you that your contract is now terminated.