## **EXHIBIT A**

## Case 2:15-cv-00828-DN-DAO Document 1055-1 Filed 12/29/20 PageID.27954 Page 2 of 72 Roger P. Hamblin \* September 01, 2020

WINTED STATES OF			Roger P. Hamblin *	S	eptemb	per 01, 2020	
WINTED STATES OF   Deposition of:							
MINITED STATES OF   Deposition of:	1 2 3			2		PAGE 6	
Plaintiff,   ROGER P. HAMBLIN				4		•	
Plaintiff,   NOKER P. HAMBLIN   9   2160   Notice of Lien   27   27   27   27   27   27   27   2	5		) ) Deposition of: )	7	NUMBER		PAGE
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B	7	vs.	) )	9	2170	Notice of Lien	67
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10		INTERNATIONAL	)	11			
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11	LO					Receivership Order	
13		ROGER FREEBORN,	)			Nevis Island Administration Letter,	20 54
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21	L7	Reporter: Ann	n Flemina. RPR	20	2179	Order Re: Affidavit of	103
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Against Glenda Johnson  A P P E A R A N C E S  COURT-APPOINTED RECEIVER:  R. Wayne Klein KLEIN & ASSOCIATES  PO Box 1836  Salt Lake City, Utah 84110  Tel: (801) 824-9616  Waklein@kleinutah.com  FOR THE DEFENDANT NELDON JOHNSON:  Bedwin S. Wall WALL LAW OFFICE  A3 East 400 South Salt Lake City, Utah 84111  Tel: (801) 746-0900 Fax: (801) 364-3232  11  edwinqedwinwall.com Fax: (801) 364-3232  Roger Hamblin Appearing via telephone)  Appearing via telephone)  Appearing via telephone  Appearing vi						Response to Notice of Noncompliance	
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Tel: (801) 824-9616   Wklein@kleinutah.com	4		Utah 04110		2185	Request to Submit for Decision	145
#RETHINGREFINATION COMPANY NELDON JOHNSON:  FOR THE DEFENDANT NELDON JOHNSON:  BEDWIN S. Wall  WALL LAW OFFICE  MALL LAW OFFICE  Salt Lake City, Utah 84111  MEDICAL COMPANY NELDON  Fax: (801) 746-0900  Fax: (801) 364-3232  MAPEARING PRO SE:  APPEARING PRO SE:  Now For Many Series (15 2193 Roger Hamblin and Rapowers)  Was also fees 9029  Marchership Asset Purchase  Magreement  12 2190  Magreement  Magreement  13 2191  Magreement  Magreement  14 2192  Magreement  Magreeme	5	Tel: (801) 824-	-9616	-	2186		147
FOR THE DEFENDANT NELDON JOHNSON:   8	6	wklein@kleinuta	ah.com			the Receiver to Conduct Additional	
WALL LAW OFFICE   43 East 400 South   5 Salt Lake City, Utah 84111   10   2188   Share Transfer and Consent   175   Agreement   175   Agreement   176   Agreement   176   Agreement   176   Agreement   177   Agreement   178   Agreement   179   Agreement   181   Ag	7				2187	Partnership Interest Sale and	174
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Tel: (801) 746-0900 Fax: (801) 364-3232  11 edwin@edwinwall.com (Appearing via telephone)  Agreement  (Appearing via telephone)  Agreement  2190 Partnership Asset Purchase Agreement  Chase Sun, L.L.C. Business Search 183 APPEARING PRO SE: 15 2193 Roger Hamblin, Our Copy 190 Solco I LLC Checks and RaPower3 192 Check  17 Lvins, Utah 84738 (435) 668-9029 18 Agreement  2190 Partnership Asset Purchase 181 Agreement  Check 183 Agreement  2190 Partnership Asset Purchase 181 Agreement 210 Agreement 210 Partnership Asset Purchase 181 Agreement 210 Partnership Asset Purchase 210 Partnership Asset Purch	9	43 East 400 Sou	uth	1 -		Agreement	
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Agreement (Appearing via telephone)  Agreement (Appearing via telephone)  Agreement (Appearing via telephone)  Agreement (Appearing via telephone)  Agreement (Chase Sun, L.L.C. Business Search  183  2190  Agreement (Chase Sun, L.L.C. Business Search  183  2191  Chase Sun, L.L.C. Business Search  183  2192  Cashier's Check  184  2192  Cashier's Check  185  2193  Agreement (Chase Sun, L.L.C. Business Search  183  2190  Agreement (Chase Sun, L.L.C. Business Search  183  2193  Agreement (Chase Sun, L.L.C. Business Search  183  2194  2195  Digital Wave Energy, Roger Hamblin  194  2195  2195  Digital Wave Energy, Roger Hamblin  2196  Roger Hamblin Trust Spreadsheet  2196  2196  2196  2196  2197  Agreement (Chase Sun, L.L.C. Business  2190  Agreement  Chack  2190  2190  2190  2190  Agreement  Chack  2191  2191  2191  Agreement  Chack  2191  2192  2193  Agreement  Chack  2193  Agreement  2190  Agreement  Chack  2193  2193  Agreement  2194  2195  2195  Digital Wave Energy, Roger Hamblin  2194  2195  2196  Agreement  2196  Agreement  2197  2197  2197  2198  Agreement  Agreement  2198  Agreement  2199  Agreement  2190  Agreement		Fax: (801) 364-	-3232	11	2190		181
APPEARING PRO SE:  14	LI	_				Agreement	
Roger Hamblin 30 West 300 North L5 Ivins, Utah 84738 (435) 668-9029 16 4rogham@gmail.com 17 ALSO PRESENT: 18 Heather Stokes 20 Arbitration 21 2197 Copy of Voided Checks and ICSID 2198 Request for Arbitration 21 2198 Request for Arbitration 21 2198 Request for Arbitration 22 Request for Arbitration 23 2198 Affidavit for Witness of IAUS 204 Technology 205		ADDEADTNG DDO SE		14	2192	Cashier's Check	186
194 (435) 668-9029 18 and Roger Hamblin Trust Spreadsheet 19 2196 Roger Hamblin Letter, November 26, 2018, Notice of Demand For Arbitration 21 2197 Copy of Voided Checks and ICSID 199 Letter, December 16, 2019, Re: Request for Arbitration 23 2198 Affidavit for Witness of IAUS 204 Technology 24 24 2100 Solar Loace Request For Centract 206		Roger Hamblin	rth	16	2193	Solco I LLC Checks and RaPower3	
4rogham@gmail.com  19 2196 Roger Hamblin Letter, November 26, 196 2018, Notice of Demand For Arbitration 21 2197 Copy of Voided Checks and ICSID 199 Letter, December 16, 2019, Re: Request for Arbitration 22 Request for Arbitration 23 2198 Affidavit for Witness of IAUS 204 Technology 24 2100 Solar Losco Ropus Foo Contract	.5		738		2195		194
21 2197 Copy of Voided Checks and ICSID 199 Letter, December 16, 2019, Re: 22 Request for Arbitration 23 2198 Affidavit for Witness of IAUS 204 Technology 24 24 2100 Solar Losse Repus For Contract		4rogham@gmail.com		19	2196	Roger Hamblin Letter, November 26, 2018, Notice of Demand For	196
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25 2200 Solar Purchase Bonus Fee Contract 208	24 25			25			



Roger P. Hamblin September 01, 2020 1 1 understanding? 2201 AEPA Sales, LLC Business Search 209 2 I think I'm okay. Just taking a little Α. 2 pain medication, but it's not doing anything that 2202 Minutes of Meeting of the Board of 210 would stop me from. 3 Directors of International 5 Is there any reasons that you can't give Automated Systems, Inc., November complete and accurate testimony today? 6 4 12, 2010 212 5 2203 Pacific Stock Transfer Company 7 A. No. Certificate Detail 8 0. Have you ever been deposed before? 6 9 Α. 7 10 Multiple times? 0. 8 One time. 11 Α. 9 12 0. Let me remind you of some practices we can 10 13 use to try and create a better record. The court 11 12 14 reporter is going to be taking down everything that 13 15 is said while we are on the record. And, so, to help 14 16 make a better record, instead of answering uh-huh 15 17 (affirmative) or huh-uh (negative), if you can answer 16 18 yes and no. Yes or no. 17 19 We need to avoid trying to speak over each 18 19 20 other, and, so, I will try and not cut you off and 20 21 let you finish your answers. And sometimes it's our 21 22 nature when somebody is starting to ask a question, 22 23 you think you know what they're going to ask, so you 23 24 start to answer. Let me finish the questions before 24 25 you answer. 25 6 8 1 PROCEEDINGS If you don't understand a question, ask me 2 to rephrase it. Because, if you answer a question, 3 I'm going to assume that you understood the question. 3 ROGER P. HAMBLIN, 4 called as a witness, being first sworn, 4 Do you understand? 5 was examined and testified as follows: Α. 5 Yes. 6 Q. And, if you need to take a break, let me 6 know and we can take a break to let you have time 7 **EXAMINATION** that you need, if you need to get up, walk around. BY MR. KLEIN: 8 9 9 We'll also take bathroom breaks every so often. Mr. Hamblin, well, first, we're on the record. My name is Wayne Klein. I'm a So please listen carefully to questions 10 10 11 that I will ask, and, if you feel like you need more court-apointed receiver in this matter. On the phone 12 we have Ed Wall. 12 explanation in order for your answer to be in context 13 or understood, you can give that additional 13 MR. KLEIN: Ed, do you want to enter your 14 explanation. Do you have any questions before we formal notice? 14 MR. WALL: Yes, thank you. Edwin Wall 15 15 begin? appearing telephonically on behalf of Neldon Johnson. 16 Α. No. 16 17 MR. KLEIN: Ed, can you hear me okay? 17 0. What is your full name? 18 MR. WALL: Yes, very well. Thank you. 18 Α. Roger Paul Hamblin. 19 19 Mr. Hamblin, are you feeling well today? And your address? 0. 0. Yeah, I'm okay. 20 30 West 300 North, Ivins, Utah 84738. 20 Α. Α. Are you taking any medications? 21 And what is your phone number? 21 Q. 0. 22 A. Yes. 22 Α. 435-668-9029. And your e-mail? 23 0. Are those medications such that they would 23 0.



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Α.

The number 4rogham@gmail.com.

(Exhibit 2172 marked)

interfere with your ability to comprehend what's

25 happening and give answers from your memory and

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Mr. Hamblin, let me just change glasses 1 here. Some for reading and some for -- you've been 2 handed what has been marked as Receiver's Exhibit 3 4 2172.

5 Α. Okav.

6

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Do you recognize this document? 0.

A.

8 Q. Is that a copy of the subpoena that was 9 served on you?

Yes. 10 Α.

And this is a subpoena dated August 14th 11 12 of 2020. Is that a correct copy of the document served on you? 13

Α. Yes. 14

15 0. And I will make -- so for the document that we are marking as exhibits, the court reporter is going to take possession of those exhibits. So I will also make another pile of copies that you can have of the exhibits that you can take with you. 19 20

So will I get a copy of everything? Α.

21 0. Yes.

22 Α. Okay.

23 Q. So I will start a pile here for you.

24 Α. Okay. So is this the same item?

25 0. Yes, it is. 1 privilege.

2 Α. I think there was a timestamp on here, 3 wasn't there?

0.

Α. There's not one on this copy. That's why I was curious.

11

12

7 0. Well, let's -- does August 21st sound right about on the day you were served? I'm showing you a copy of the document that you filed as part of 10 your --

11 Α. Yes.

> -- motion to quash. 0.

13 That would be it. Α.

14 So August 21st of 2020? 0. 15

Α.

16 So how soon after you were served with this subpoena did you call Neldon Johnson? 17

Oh, I have no idea. I talked to my 18 19 attorney first. Then I called Neldon.

Looks like you were served about 4, a little after 4:00 p.m. Does that sound right?

Α.

23 Q. But you believe you talked to Neldon

24 Johnson the same day?

25 A. I don't, I don't know if I did or not. I

10

1 Α. So you have two of everything. 2

0. I've got three of everything, yes.

3 Okay. Α.

4 Who have you talked with about the 0. 5 subpoena?

6 Α. Let's see, I talked to my attorney, Steven 7 Paul.

9

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8 0. Who else?

> I've talked to Neldon Johnson, my wife. Α.

10 0. And what is her name?

> Kristine, K-R-I-S-T-I-N-E, Hamblin. Α.

11 Probably notified my son whose name is Chase Hamblin. And I tried talking to my attorney, but he didn't

call me until four-five minutes before I walked in

here. It's one I had to hire because apparently I 15

didn't know if I needed help or not. That's all I've

talked to. I talked to his company. 17 18

0. When did you talk to Neldon Johnson?

I guess, I think the day it came. I 19 Α. talked to Steve Paul the day I was served. 20

And I, because Steven Paul is your 21

attorney, I'm not going to ask any questions about

discussions you've had with Steven Paul. 23 24

Α. Okav.

Because that is subject to attorney-client 0.

1 don't know if it was the next morning when I talked

2 to Steve and said, I just got served this. That's

3 when he -- you know.

4 Q. I don't want to know what Steven Paul told 5 you.

Okay. Sorry. Α.

7 0. So when you --

I probably talked to him the next day 8 because this was at 4 at night, p.m. I was

9 10

scrambling to find, I guess, help with what I needed 11 to do on this.

12 So how long did your call with Neldon Q. 13 take?

Α. Just a few minutes, I quess.

15 Q. And what did you say to him?

16 Α. I just said, I just got served this subpoena for testimony. 17

> 0. And what did he say?

19 I don't think you -- I think he just said, well, you should just tell the truth. I said, well, 20

that's what I would do. 21

22 0. So you're saying the conversation lasted 23 less than a minute?

24 Α. I'd say a few minutes. I didn't keep a 25 clock on it.



Roger P. Hamblin \* September 01, 2020

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1 Q. Do you recall anything else that was said 2 by you or Neldon Johnson in that call?

- 3 A. No, not really, no.
- 4 Q. And what discussion did you have with your 5 son, Chase?
- A. I just told him -- I've kept him kind of appraised of what's going on because my age, and he just said, oh, boy, you know. He doesn't know anything about it that much, but he lives with me right now, so. You asked me who I talked to and I just told you.
- 12 Q. What is your date of birth?
- 13 **A. 12/3/50.**
- 14 Q. Have you received a copy of the 15 receivership order?
- 16 A. You'll have to show me.
- 17 Q. I'm handing you a copy of the receivership 18 order, which is dated October 31st, 2018, Docket 19 Number 490.
- 20 A. I think I've seen this.
- Q. Did you read it?
- 22 A. Probably not all the way through.
- 23 Probably a little bit, so.
- Q. Where did you receive the copy from?
  - A. I don't know. I think you guys probably

- 1 Q. It's possible it was provided to you by 2 Steven Paul, if that helps.
- 3 A. Okay.
- 4 Q. Do you recall if that's where you got it?
  - A. I don't know. I don't remember.
- 6 Q. That's fine. And I'll put a copy here in 7 the pile that will be yours.
  - A. Okav.
- 9 Q. It says, Date: 12-4-18. Is that your 10 handwriting?
- 11 **A.** Yes
- 12 Q. Do you believe that you signed it on
- 13 December 4th of 2018?
  - A. I must have, if that's what it says.
- 15 Q. On the receivership order, has Neldon
- 16 Johnson or anyone else discouraged you from reading 17 it?
  - A. From?
- 20 handed you --
- 21 A. No
- 22 Q. -- earlier. Did the U.S. Department of
- $\,$  23  $\,$  Justice serve a copy of the asset freeze order on you  $\,$
- $24\,$  on September 1st of 2018? I'm handing you a copy and
- 25 this is Memorandum Decision and Order Freezing Assets

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- sent it to me. Did you send it to me?
- Q. And you're not allowed to ask me
- 3 questions. The question is --
- 4 A. I don't know. I don't remember.
- 5 Q. And that's fine. So the one I just handed
- 6 you is not an exhibit, so you just put that in the 7 other pile and add to the things that you're going to
- 8 take home.

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- 9 A. Okay.
- 10 Q. So that's your copy. I just want the 11 record to reflect that, in fact, you do have a copy.
- 12 **A. Okay**.
  - (Exhibit 2173 marked)
- 14 Q. You've been handed what's been marked as
- 15 Receiver 2173, which says, Acknowledgment: Receipt
- 16 of Receivership Order, dated December 4th, 2018. Do
- 17 you recognize that document?
  - A. Yes.
    - Q. Is that your signature?
- 20 A. Yeah, that's my signature on there.
- 21 Q. Do you recall signing this document?
- 22 A. It's been a few years ago, it looks like.
- 23 **I think it's** --
- Q. It's possible?
- 25 A. I'm sure it's my signature.

- $1\,$  and to Appoint a Receiver, Docket Number 444, dated
- 2 August 22nd, 2018.
- 3 My question is: Do you recall having
- 4 received that previously?
  - A. No. No.
- Q. The Department of Justice informed me that you were served the copy of that on September 1st of 2018. Does that sound familiar?
- 9 A. I don't remember that.
- 10 Q. Well, for the record then, I want to
- 11 reflect that you now have a copy.
- 12 **A. Okay.** 
  - Q. I'm handing you what --
- 14 A. What exhibit is this?
- 15 Q. That is not marked as an exhibit. That is
- 16 a document entered by the court as part of the court 17 docket.
- 18 A. Okay.
- 19 Q. So you can put that in the pile of what
- 20 you'll be able to take home with you.
  - A. This is called the court docket?
- ${\tt 22} \hspace{1.5cm} {\tt Q.} \hspace{1.5cm} {\tt Yes.} \hspace{1.5cm} {\tt And} \hspace{1.5cm} {\tt you} \hspace{1.5cm} {\tt see} \hspace{1.5cm} {\tt at} \hspace{1.5cm} {\tt the} \hspace{1.5cm} {\tt top} \hspace{1.5cm} {\tt it} \hspace{1.5cm} {\tt has} \hspace{1.5cm}$
- 23 document 444?
  - A. Yes.
  - Q. So I'm handing another item from the court



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Roger P. Hamblin \* September 01, 2020

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1 docket in 2:15-cv-828, which is United States of America versus RaPower and others, and this is dated 2

3 September -- sorry. This is dated June 22nd, 2018,

Docket Number 413, labeled Initial Order and

Injunction After Trial. So a copy for you.

Can you tell me if you recall receiving 6 7 that before?

- 8 Α. I've never seen this. To my recollection, 9 I don't know what this is.
- The Department of Justice indicated that 10 you were served a copy of that on October 16th of 11 2018. Does that sound familiar? 12
  - Α. No.

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- 0. Did the Department of Justice have 14 people -- do you recall the Department of Justice ever having people, marshals or others, come serve 16 copies of notices on you? 17
- I think I've received two or three times I 18 Α. think I have received. 19
  - 0. Do you recall when that was?
- In the last few years. I guess however 21 Α. long this has been going on. 22
  - Do you recall which documents they served? Q.
- No, but can I take a minute and read this 24 Α.
- 25 and see if --

Were you aware that Glenda Johnson was found in contempt of court on June 25th of 2019?

Α.

4 0. I'm going to give you a copy for your own records of a civil contempt order, Re: R. Gregory Shepard, Neldon Johnson, Glenda Johnson, LaGrand 6 Johnson and LaGrand Johnson and Randale Johnson, which was filed June 25th, 2019 out of Docket Number 9 701. So this is a copy for you to have for your own 10 records.

- 11 Α. I've never seen that document before. Was 12 I given it before?
- 13 I am not aware that it was served on you 0. 14 by the Department of Justice. I was trying to determine whether Neldon or Glenda Johnson or perhaps your attorney had given you a copy. 16
  - No, I've never seen it before. (Exhibit 2178 marked)
- Were you aware that Glenda Johnson was Q. 20 found in contempt a second time on July of 2020?
- I don't think -- I don't know. When I'm 21 22 looking at this, I've never -- they had to testify or something. I don't know what was found. I wasn't 23 privy to results or anything. 24
  - So what I'm trying to probe your memory on

- 1 0. You're welcome to.
  - I don't remember seeing this document. Α.
- And for context, this was an order issued 3 0. 4 by the federal judge on the last day of trial in the lawsuit by the United States against RaPower and 5 Neldon Johnson. So you have a copy. I just want to make sure that you had a copy. So you can take that 7 8 one with you.
- 9 Α. Are you saying I was given this copy 10 before?
  - The Department of Justice informed me that 0. they thought that you had been served a copy of this in October of 2018, which was four months after the order was entered.
- 15 Α. When you say you thought, so you don't know? 16
- 17 0. The Department of Justice indicated that 18 they believe that it was served on you, but I --
  - You don't know? Α.
  - 0. I don't know.
  - A. Well, I don't remember that.
- 22 Are you aware that Neldon Johnson, Glenda
- Johnson and others were accused of contempt of court 23 in proceedings in federal court? 24
  - Α. Not aware of that.

1 is whether or not perhaps Neldon Johnson or Glenda

2 Johnson or someone else had made you aware that

- 3 Glenda Johnson had been found in contempt a second 4 time?
  - Α. No.
- Well, this is Exhibit 2178, which is dated 6 0. July 6th of 2020, Court Docket 947. So I've got -that one will be retained by the court reporter, but I have another copy for you that I'll put in the pile that you can take home. 10
- 11 Okay. So I wouldn't have received a copy Α. 12 of this in any way then.
- I would not have expected the court to 13 14 have sent you a copy and I don't know the Department 15 of Justice served it on you. I'm trying to determine the extent to which it may have come up in 16 17 discussions.
  - Oh, okay. I don't know anything about it, Α. so that's why I was curious if I should have.

(Exhibit 2174 marked)

21 0. You've been handed what's been marked as Exhibit 2174, which has a heading Notice of Lien recorded with the Millard County Recorder on August 24 15 of 2019.

Were you aware that in August 2019 Glenda



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Roger P. Hamblin September 01, 2020

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Johnson filed a notice of lien against property in Millard County? 2

3 Α. No.

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- 4 0. Have you ever been to the property in Millard County where they have the first 17 or so towers, solar towers, that were constructed? 6
  - Α. Yes.
  - 0. So you're familiar with that property?
- 9 The property with R&D towers on it, yes. Α. Is this the property you're talking about? 10
- It is. Are you aware that Glenda Johnson 11 12 had filed a lien on the property in August 15th of 2019? 13
- Α. 14 No.
- 15 0. Are you aware that the court on August 22nd of 2018 issued an order freezing all of the 16 assets of RaPower, International Automated Systems, Neldon Johnson and others? 18
- What date was that? 19 Α.
  - August 22nd, 2018. 0.
- So would that be the beginning of -- would 21 Α. you rephrase that? 22
- 23 Q. Sure. Let me approach it a different way. Let me go through a timeline, and, as I do that, I'll 24 ask you whether you were aware of events in this

- Were you aware that on the last day of 2 trial the judge issued a preliminary order finding that the solar land scheme was a fraud?
- I think I remember they determined that, but I don't believe that.
- And one of the documents that I provided 0. 6 7 you a copy of today is the order the judge entered on the last day of trial, the preliminary order.
- On August 22nd of 2018, which is now two months after the end of trial, the judge issued an 11 order freezing all of the assets of RaPower, IAS and other assets of the defendants. Were you aware of 13 that?
  - Α. I believe I heard that, yes.
- And I provided you a copy of that order so 15 that you have a copy of it and you know what the 16 judge had ordered at that time. 17

18 Are you aware that on October 31st of 19 2018, which then would be about four months after trial, the court appointed a receiver?

- Α. Yes.
- 22 And as part of that, the court gave the 0. 23 receiver control over all of Neldon Johnson's assets. 24 Did you know that?
  - A. I think he took over everything. That's

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1 timeline.

- 2 Α. Okav.
- So the United States filed a lawsuit 3 0. against Neldon Johnson, RaPower, International 4 Automated Systems and others in November of 2015. 5
- Were you aware of that? 6
- 7 Α.
- 8 0. They had a trial in April of 2018 and June of 2018. Were you aware that they had a trial? 9
- 10 A. Yes.
- 0. 11 Were you aware that the trial ended on 12 June 22nd of 2018?
  - I have no idea what day it ended.
- Does that sound consistent with what --14 15 that date sound consistent with what you know or recall being told about the trial? 16
- I guess, if that's what it was, it would 17 have had no bearing on me. I didn't keep track of 18 what the trial dates were or whatever. I just heard 19 that they were at a trial. 20
  - Q. Who did you hear that from generally?
- 22 Α. Generally, I think I heard that from
- 23 Neldon.

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- 24 0. Did you attend any of the trial?
- 25 Α. No.

1 my recollection.

- And were you also aware that the 2 receivership order also gave the receiver -- strike 4 that. Are you aware the receivership order listed about 30 properties that were subject to the court 5 order? 6
  - Α.
- And the receivership order that I have 8 0. provided you is your copy of a document that reflects 9 that court order and lists the different properties 10 11 that the court had ordered were subject to an asset 12 freeze.
  - Which document was that that you gave me? Α.
- 14 0. Yes. That's the one, the thick one, which 15 is document 490.
- 16 Α. Okay. Okay.
- So it's labeled Receivership Order. 17 0.
  - Α. So that has a listing of the properties?
- Yes. And take a minute now and turn to 19 0.
- 20 it. It's in paragraph 20.
  - Which document number was that? Α.
- 22 0. 490.
- 23 Page what? Α.
- 24 0. Paragraph 20.
- 25 Oh, paragraph 20. Do I have to count the



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September 01, 2020 Roger P. Hamblin \*

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25 1 paragraphs? 2 Q. 2 that would be the case. No. 3 Α. Sorry about that. 3 0.

4 0. So the paragraph number is not clear. This is paragraph 20. And then, if you will look through the next several pages and see if do you see 6 legal descriptions of various properties over those 7 next several pages. 8

9 Α. I still don't see the 20.

0. I understand.

So this is the page I'm supposed to be Α. 11 12 looking at?

> Q. That is the page you should be looking at.

Page 12 then? Α.

15 0. Yes.

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(Phone sounds) 16

17 Α. Let me turn mine off. Sorry about that. Okay. I'm on page 12 of document 490. 18

If you look over the next several pages 19 and tell me do you see legal descriptions of various 20 properties on those pages? 21

Yes. 22 Α.

23 Q. Do you recall having looked through that 24 document before?

> Α. It seems to me that I've seen it, I've

1 I'm not really sure of that, but I would think that

So let's go back to Receiver Exhibit 2174, which is the notice of lien.

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Α. Okav.

And that is dated August 15th of 2019. 0.

Α. It says August 14th.

That's the date it was signed on the 8 0. 9 bottom. If you look at the very top where the county recorder's stamp is --

Α. 11 Okay.

> 0. -- it was recorded on August 15th.

13 Okay. I see that. Α.

14 Now, I think you indicated you were not 0. aware of that notice of lien, correct? 15

No, I have never seen it before. Α.

17 And I'll put a copy in the pile here 0. 18 you'll be able to take with you. I'm handing you an exhibit that has previously been marked as Receiver 20 Exhibit 2160, which is labeled Notice of Lien that 21 has a recording date with a Millard County Recorder

of December 19th, 2019. 22 23 (Exhibit 2160 marked)

24 You've been handed what has previously 25 been marked as Receiver Exhibit 2160. Do you recall

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seen it before because I remember seeing these. I didn't pay much attention to them.

3 As you sit here now, did you understand 0. 4 that was a court order?

> Yeah. Yes. Α.

Did you understand that court order Q. prohibited Glenda Johnson, Neldon Johnson or anybody 7 else associated with them from doing anything to impair the receiver's control over those properties? 9

Say that one more time. Sorry. Α.

0. Did you understand that this court order, 11 when it mentions those properties, did you understand that the order prohibited people other than the receiver from doing anything to impair control over 15 those properties?

I'd probably say yes, except for I keep Α. thinking about the investors, stockholders or whatever that put all this money into the R&D work that's on top of the property because I think there's 20 value in all the R&D that was put in there.

And I'm not challenging your belief on 21 0. that. I'm trying to understand if you understood that the court order prohibited other people from

> I didn't really read that much of it, so Α.

interfering with the properties listed in that order.

1 having seen this before?

2 Α. I've never seen it before.

3 0. And this is a 26-page document that has 4 two pages, the same notice of lien and then 24 pages

that contain documents relating to various 5

properties. 6

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Α. So how many properties are there?

Well, the front page of this says the 8 properties are described in Exhibits A through K. So 9 10 there are, I believe --

11 11? A through K would be, approximately, Α. 12 I guess.

13 Yes. Although some of these properties in the attachments describe more than one parcel number. So there are 11 groups of properties, but --15

> Multiple parcels then. Α.

17 By my count, 15 different parcels. 0.

Α.

19 You've not seen this before? 0.

20 Α.

21 In the front page of this document, can 0. 22 you read the first paragraph under Notice of Lien?

23 Notice is hereby given that Anstram Energy, LLC hereafter referred to as claimant of 24 25 Brown Hill, St. John's Parish, Nevis -- I think



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1 that's an island or something -- WI, hereby claims and intends to hold a lien based upon the following: 2 Is that West Indies? What does WI stand for?

0. I believe it is.

5 Okav. Α.

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What did you tell me about Anstram Energy? 0.

7 A. That I now have -- I'm the only member now since I took, got it from Olsen. 8

9 So do you believe that this notice of lien 0. is an asset that you are the owner of? 10

Α. Yes. 11

> 0. But do I understand correctly that you've

never seen this before? 13

> Correct. Α.

> > 0. Do you know Preston Olsen?

I've met him two times, I believe. 16 Α.

> And when was that? 0.

I know it was a couple months ago. 18 Α.

Do you recall the first time you met him? 0.

I think it's probably been -- I've talked 20 Α.

to him a couple times, but I've only met him once. 21

Clear that up. I think I just met him at my

attorney's office. 23

> 0. And --

> > Α. Steven Paul's office.

1 of sort of a test case?

I wouldn't know if it was a test case or 2 Α. not. He was just the first to go out of all of us that were in this situation.

How did you find out that his was going to 5 be the first case to go? 6

7 I don't know if it was from -- I don't know. I think it was probably from -- I think our 9 first attorney we had was Paul Jones. We were all sent information into Paul Jones. 10

Okay. And I don't want you to tell me 11 12 anything Paul Jones told you because, if that's your attorney, then that's attorney-client privilege. 13

Okay. I was just told that that would be, most likely, that would be the first one to go.

So when did you -- did you talk with 16 Preston Olsen before you first met him? 17

I'm sure I -- maybe I talked to him -- I 18 Α. can't remember the communications, if I talked to him 19 20 only at the office, or.

Help me understand because what I think 21 22 I'm hearing is that you are the subject of an IRS 23 proceeding and Preston Olsen was and you're aware 24 that his was going to be the first one going to 25 trial, correct?

0. Do you recall when that was?

A month, a couple months ago. I'm not 2 Α. sure. Whenever he signed over Anstram Energy to me. 3

4 0. Do you recall when you first heard of 5 Preston Olsen?

Oh, probably a year or so ago, year and a 6 Α. half ago, something like that. 7

> And what were the circumstances? 0.

We're in an IRS case. I think he was the 9 Α. first one to go and I think he's an attorney. I'm 10 not sure, but I think he's an attorney. So he was 12 the first one to go to court on this matter.

And why would you be aware of his being 13 the first one to go to court? 14

Α. Because I was in the same situation. There was a lot of us that were fighting it at the same time and I was told that he would be the first one to ao.

19 So I'm trying to understand better. So Q. are you the subject of an IRS proceeding to try and 20 collect taxes and penalties for solar lens deductions and credits that you claim? 22

> Yes. The same as Preston Olsen. Α.

And, so, is it your understanding that 24 25 Preston Olsen is the first case to go; is it because 1 Α. Yes. So I had interest in that.

2 And, so, at some point, it became more 3 than just you knew there was somebody out there named 4 Preston Olsen whose case is going to trial and you actually talked with Preston Olsen, correct? 5

Yeah, I don't think -- I don't believe Α. 7 I've ever talked to Preston Olsen about his trial. I've just -- I had friends that attended the trial.

> And who would that be? Q.

Rick Marshall in Denver. Α.

> What did Rick Marshall tell you? Q.

He thought it went pretty well. Α.

13 And he attended the tax court trial of 0. 14 Preston Olsen?

15 Α. It happened in Denver. So I'm not sure 16 which one it was. I think it's for their expert witness stated that in the end it was solar property 17 and I'm not sure which -- who is your expert witness? 19 What was his name?

20 I don't have an expert witness. There was 0. one during the Department of Justice trial. 21

22 Α. Oh, maybe that's what I'm thinking about. 23 It was the Department of Justice. Whatever happened 24 in Denver, I guess.

> Well, Denver was the Court of Appeals 0.



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1 hearing.

- 2 A. Okay, maybe that was it, Court of Appeals 3 hearing.
- 4 Q. Because I think the tax court trial for 5 Mr. Olsen was held in Utah.
- 6 A. Okay. I'm thinking of two different 7 trials then. There's so much stuff going on here.
- 8 Q. Do you believe that the first time that 9 you talked with Preston Olsen was by phone or in 10 person?
- 11 A. I'm really not sure. I can't remember if 12 it was by phone or in person.
- Q. I think you indicated that, when you met him in person, that it was at the offices of Nelson Snuffer, Dahle & Poulsen?
- 16 A. Correct.
  - Q. Does that sound right?
- 18 **A. Yeah.**
- 19 Q. So when you met him at the offices of
- 20 Nelson Snuffer, do you think that's the first time 21 you had ever spoken with him?
- A. No, I think I -- I think he talked to me and asked me if I was interested in taking over
- 24 **Anstram.**

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- 25 Q. So --
- A. We wanted to keep the R&D development side. We had all invested a lot of time and energy and money in the R&D and we knew it worked. So we just wanted to do whatever we could to keep our investment alive, I guess.
- Q. So do you believe that you had discussions with him before your meeting about your taking over Anstram Energy?
- 9 A. I just think he wanted me -- to know if I  $10\,$  was interested and I said yes.
- 11 Q. And do you recall whether you called him 12 or he called you?
- 13 A. Well, I didn't call him, so he must have 14 called me.
- 15 Q. Did he call you directly or was this 16 coordinated through Glenda Johnson?
- A. It could have been coordinated through Glenda Johnson, but I just can't remember for sure.
- 19 Q. We'll look at some documents in a while.
- 20 We'll see if that helps --
- 21 **A. Okay.**
- 22 Q. -- you remember any more details.
- 23 **A. Oka**v.
- Q. So how many phone calls do you think you
- 25 had with him of your in-person meeting?

- 1 A. I don't think I talked to him that much at 2 all.
- 3 Q. And have you had any telephone
- 4 conversations with him since that meeting?
  - A. Sure, yes.
- 6 Q. And how often, how many calls do you 7 believe you've had?
  - A. Probably one or two, I guess.
- 9 Q. And let's go with the most recent call.
- 0 When did you last speak with Mr. Olsen?
- 11 A. Probably last -- what's today? What's the 12 date today?
  - Q. Tuesday, September 1st.
- 14 A. I'd say it was last week.
  - Q. And did you call him or did he call you?
- 16 A. I think he called me. He called me, I believe.
- 18 Q. And what was the substance of the call?
- 19 A. We were both going to give depositions.
- 20 So I think I had a question, too, when I was on
- 21 the -- just a question, but I couldn't remember -- I
- 22 guess I was asking him what the outcome he felt about
- 23 his outcome of his IRS situation.
- Q. And what did he say?
  - A. He thought it went well. He thought their

1 expert witness at the end stated that it was solar

- 2 property, but there was an issue on -- that was up in
- 3 the air of whether it was placed in service or not.
- 4 Because I was curious about that.
- 5 Q. So your call to him last week, how long 6 did that call take?
  - A. A couple minutes.
- 8 Q. And how much of that call was about the 9 subpoenas for you and him to talk about, talk with me 10 and how much of it was about his tax court trial?
- A. I'd say 90 percent was about the tax court trial because that's what I was the most interested in and the subpoenas to testify, he would tell whatever he told and I would tell whatever I -- it wasn't any big deal that was raised.
- 16 Q. When he called you, did he say the purpose 17 of the call was to talk about the subpoenas that each 18 of you had received?
- A. He just -- he called me and said, I
  understand you're subpoenaed to testify too. I think
  that was what it was, and I said, yeah, I think it's
  on Tuesday at nine. I said -- I think I said, when
- 23 do you, and he said -- I think he said the end of the 24 week or something, end of this week we're in.
  - Q. And was there -- did he say anything else



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about the topics that might be at the deposition?

- No, we didn't talk about any topics.
- So before that when was the most recent 3 Q. 4 time you spoke with him before last week?
- 5 It was a few months before that, I think, a month or two before that, whenever I signed to take 6 7 over Anstram Energy.
  - 0. What was --
- 9 Α. This mask, do you mind I put it like this? I just can't -- I'm just having a little tough time breathing my own carbon monoxide. 11
  - 0. I'm fine to have the mask off.
  - I'll just leave it like this then. Α.
- It's really a question of whether or not 14 0. you feel comfortable. So a couple months before last week he called to talk about Anstram Energy, correct? 16
- 17 Α. Yes.

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- Q. 18 And did he call you?
- Yeah, because I wouldn't have called him. 19 Α.
- Why not? 20 0.
- Well --21 Α.
- 22 (Phone sounds)
- Just when you think you've turned it off, 23 Α. power off. Could you say the question one more time? 24
  - 0. You said you would not have called him. I

- 1 I think it was probably Neldon Johnson, I 2 think.
  - Q. And what do you recall Neldon telling you?

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- 4 Α. I think that he's put assets into that company and that was it.
- Was that in context of letting you know 6 0. 7 that Preston Olsen had done that or was it in the context of Neldon Johnson wanting to know if you were 9 interested in taking over Anstram?
- 10 No, it was just probably -- I think it Α. 11 goes back further because of interest that I had in 12 Neldon Johnson. I loaned him some money before.
- 13 And, so, because you'd loaned him some Q. money and had shown an interest in the technology, he 14 told you that Anstram had been formed and was going to own the technology? 16
  - Α. Yes.
- 18 Q. And how was Anstram getting the 19 technology?
- 20 Α. I imagine he was going to assign it to 21 him.
- 22 0. Do you know when Anstram Energy was
- 23 formed?

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- 24 Α.
  - 0. Would it surprise you if I said that

just wondered why you wouldn't have.

- Α. I don't know why I would have.
- Did he indicate why he was calling you? 0.
- 4 If I was interested in taking Anstram Α. Energy, if I wanted -- we wanted to try and keep this 5 technology going because we believe in it. I've seen it with my own eyes. So you can't deny what you see 7 with your own eyes. 8
- 9 Q. So was that call before the meeting at 10 which you actually signed the documents to take Anstram Energy? 11
  - Α. I believe so, yeah.
- Q. So since your meeting at which you took 13 over Anstram Energy, you've only had the one call 15 with him last week?
- Α. Since then, yes. And that was only for a 16 couple minutes, I think. I can look on my phone, if 17 18 you want, to tell you how long it was.
- I appreciate that. If that becomes 19 important, I will take you up on that offer. When 20 did you first hear about Anstram Energy? 21
- 22 Α. I don't really recall. It was a few 23 months ago.
- 24 0. Do you recall from whom you first heard 25 about it?

- 1 Anstram Energy was formed in November of 2019?
  - 2 Α. I guess so.
    - You guess that it does surprise you? 0.
  - 4 Α. No, because I think it's that old. I think that's about the time that I heard about it. 5
  - But Anstram Energy was formed more than a 6 0. year after the receiver was appointed and all of the assets were put in the control of the receiver. Do you understand that? 9
  - I understand what you're saying, but it Α. didn't make any -- I didn't take that into 11 12 consideration, I quess.
  - 13 Let me ask a broader question. So, if on 14 October 31st of 2018, the court took control of all of the real properties owned by Glenda and the 16 technology and the assets of Neldon Johnson, then how is it that a year later Anstram Energy would be able 17 to get the technology and the property?
- Well, my understanding was that at the Α. 20 time, I think they were in Glenda's name.
  - 0. What was in Glenda's name?
- 22 The rights to -- the property where we 23 were putting on the towers, and nothing was -- none 24 of that court order was under her, I believe. That 25 was my belief; that it had nothing to do with her.



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And how did you form that belief? 0.

- 2 Α. Because I was told that that was the case that was in her name and they weren't coming after 3 her, or something. 4
  - And do you recall who told you that? 0.
  - Probably Neldon, I think. Α.
- 7 0. And, so, then is it fair to say that around the time that Anstram Energy was formed, you 8 had understood from Neldon that the properties that 9 were going to, the properties and assets that were going to be transferred to Anstram were not subject 11 12 to the court order?
  - Α. Rephrase that one more time.
- 14 0. I'm representing to you that Anstram Energy was formed around November of 2019. 15
  - Okay. Α.

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- 17 0. So was it your understanding from Neldon that the real estate and the technology relating to solar lens that was going to be transferred to 19 Anstram Energy was not already subject to the prior court order? 21
  - Α. I didn't -- is her name on here anywhere?
- 23 Q. Whose name?
- 24 Α. Glenda Johnson.
- 25 0. Well, you're pointing at --

- 1 think I talked to Neldon.
- 2 So is it fair to say that it was Neldon 0. 3 made you -- you got the impression after talking with Neldon that the assets being transferred to Anstram
- 5 Energy were not subject to existing court orders?
  - Α. Correct.
- 7 0. Did you have any discussions like that 8 with Glenda Johnson?
- I didn't even know Glenda owned all these 9 things. I didn't have any idea that she owned them. But when she said she did, then I took her word for 11 12
  - Q. When did you learn that she owned them?
- 14 Well, I knew that they had the one Α. 15 property in Abraham because I did the real estate 16 deal for that project. I'm a real estate broker and 17 I did that and waived my fee to help the company acquire that where we put the lens -- are you familiar with that property, the Abraham property? 19 20
  - 0.
- That's, I helped them. I went and found 21 Α. 22 that property because it was next to our existing property where we were doing our R&D and that's where 23 24 we were going to put our first solar field. 25
  - Then I remember that going into Glenda

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- 1 Α. Did she go to court? I didn't know if she was involved in this United States versus RaPower, Automated Systems, Greg Shepard, Neldon Johnson, 3
- 4 Roger Freeborn.
- 5 0. The receivership order lists a number of properties and we talked about that a while ago. Do vou recall that? 7
  - Yes. Α.
- 9 So my question to you is: Around November of 2019 did you get the impression or were you told 10 by Neldon Johnson that the assets that were being transferred from Anstram Energy were not subject to 12 the receiver order? 13
- Α. Well, that's my belief is they were not 14 15 part of that.
- 16 And what was the basis for your believing Q. 17 that?
- 18 Α. Because I think they were after Neldon, IAS and that and they weren't after Glenda and she's 19 the one who apparently owned them. 20
- Did you form that opinion based on reading 21 Q. 22 the court documents, based on what Neldon told you or some other way? 23
- 24 Α. I don't remember them in court documents 25 for sure. I remember I think I was talking -- I

- 1 Johnson's name and the other property, I think, a 2 couple years ago, I heard that the property that they 3 were building did the R&D building out of it in 4 Oasis. Are you familiar with that one?
  - 0. Yes.
  - That's the one I think that was under some Α. other name, but I think Glenda owned it. If you can remember the name, I can tell you whether that was it or not, but it was under some kind of a funky name.
- Let's go back to the property in Abraham. 10 0. 11 I think you indicated you did the real estate
- 12 transaction to acquire that property, correct? 13
  - Α.
- 14 And do you recall, do you know where the 15 money came from to purchase that property?
  - I have no idea. I mean probably from IAS. Α.
- 17 Do you know whether the money came from 0. 18 Glenda Johnson's personal bank account?
  - I don't know where it came from. Α.
- 20 If the money came from IAUS, did it seem 0. 21 odd to you that they put the property in the name of 22 Glenda Johnson?
- 23 Α. From what I knew is that they were -- they 24 were being compensated from IAUS.
  - When you say, they, who do you mean?



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Neldon Johnson and Glenda Johnson, for 1 Α. 2 whatever work she does or whatever work he does. I mean I don't ever get compensated for that. 3

- So are you saying it's your understanding that the property was put into Glenda's name because that was compensation to Glenda Neldon for work they've done for IAUS?
  - I imagine that's what my impression was. Α.
- 9 On the warehouse in Oasis, do you know 0. where the money came from to purchase that? 10
- I have no idea where that came from Α. 11 12 because I didn't know about that. I knew they purchased it, but I had nothing to do with the 13 transaction and it had a funny name. Can you tell me 14 15 the name and I can . . .
- 16 0. I don't know the --

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- 17 Α. The ownership name. It was under an ownership name. 18
  - 0. You mean the prior owner?
- 19 20 Α. Whatever name that property was in. I had to go to the city to get a permit to help get an 21 electrical permit for that building to upgrade the electricity so we needed more power for our machines. So I went to the city to get the permit to upgrade 25 and it was under some name. And I didn't know who

- 1 0. Which son was this?
  - This is LaGrand Johnson. Α.
- 3 Do you know what kind of corporate company Q. structure Anstram Energy has?

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- Α. What do you --
- 6 A corporation, a partnership? Do you know 0. 7 what kind --
- 8 Α. Not really.
  - 0. Do you know what the name means?
- 10 Α.
- 11 Do you know where its headquarters are? 0.
- 12 Α. I think it's where you showed me that one
- paper. St. John's Parish, Nevis, West Indies. 13
  - Have you ever been to Nevis? 0.
  - Α.
- 16 Looking at the notice of lien, Exhibit
- 17 2160, do you have that in front of you?
  - Α. Yes.
- 19 0. In paragraph 1 is says, The claimant
- 20 received assignment from Glenda Johnson of all of her 21 contract rights including obligations involving these
- 22 properties. Do you see that?
  - Yes. Α.
- 24 0. Do you know what contract rights Glenda 25 Johnson had in those properties?

- 1 owned that at the time. 2
  - You said, more power for our machines. 0.
- Well, I keep thinking -- I've been 3 Α. 4 involved in this since day one as a stockholder.
  - Stockholder in what; IAUS? 0.
- 6 Α. IAUS.

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- 7 0. Earlier you mentioned that you had loaned some money to Neldon previously. Do you recall that? 8
- 9 Α. Yes.
- 10 0. When did you loan money to Neldon?
- I think it's right after he got his 11 Α. 12 divorce. I think I sent you the paper on it. You have that in your file. 13
  - 0. Do you recall about how much that was?
- 15 Α. It was in the \$70,000 range, 74,000, something like that. 16
  - 0. Did he ever repay that?
- 18 Α. I'm trying to think how -- I have -- I received ownership in the NLP Johnson Trust, or 19 whatever, to be able to go and his son and I were 20 going to use the Texas property and go build a solar,
- 22 and I was going to have interest in that. So it really -- it ended up being I took interest in those
- things, in the Texas property and some of the future 24
- 25 rights.

- 1 Α.
  - 2 0. Do you know where she got the rights of those properties?
  - 4 A.
  - 5 0. Have you seen a copy of any of the documents, agreements that gave rights to Glenda
  - 7 Johnson? 8 Α. No.
  - 9 So in this notice of lien, it says, The claimant received an assignment from Glenda Johnson. 10
- Do you know who the claimant is? 11
  - Α.
- 13 Q. And the first -- the paragraph above that, 14 it says, Anstram Energy, says, hereinafter referred 15 to as the claimant. Do you see that?
- 16
  - Α. Okay.
- 17 0. So where it says paragraph 1, it says, The claimant, meaning Anstram, received an assignment
- 19 from Glenda Johnson. Do you see that?
  - Α. Yes.
- 21 Q. Is that your understanding; that Glenda
- 22 Johnson assigned her rights to Anstram? 23
  - Α.
- 24 Have you seen the document or agreement
- 25 whereby Glenda Johnson assigned her rights to



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1 Anstram?

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- 2 A. I guess this is it, isn't it?
- 3 Q. I'm trying to ask you.
- 4 A. This is what you're saying; she assigned 5 these rights to -- I can read it right here.
- Q. Well, I'm trying to understand. It seems to me it's saying that because Glenda Johnson had assigned her rights to Anstram, that's why Anstram is filing a lien?
  - A. Okay.
  - 0. Is that the way you understand it?
  - A. Okay. You're just tell me the claimant and that's Anstram Energy; that she assigned her rights to to these properties to Anstram. I understand that. So what's your point?
- Q. And I'm not trying to argue with you or convince you of anything. I'm trying to explore your understanding. So one way of reading this is that Glenda Johnson had an agreement assigning her rights in the properties to Anstram Energy and then because Glenda had assigned rights to Anstram Energy, she was filing -- then Anstram Energy was filing a lien on the properties because Anstram Energy was now the person who owned those rights?
  - A. I understand that.

 $1\,$  assigned her rights to Anstram Energy and step two

- 2 was Anstram Energy then filed a lien on the
- 3 properties to show that it was now the owner of the 4 rights, had rights to the property?
  - A. I think Glenda filed the liens first, wasn't it?
- 7 Q. Well, I believe Glenda filed the lien on 8 behalf of Anstram Energy.
- 9 A. I don't know that. I just think that 10 Glenda -- my understanding was that she filed the 11 liens and Anstram Energy took over those. So I don't 12 know whether she did them in that name or not.
  - Q. Was it your understanding that Glenda Johnson had assigned rights to Anstram Energy?
    - A. From what you're showing me here.
- 16 Q. And what I'm trying to understand is 17 whether or not she had assigned rights to Anstram 18 Energy before the lien was filed.
  - A. I have no idea.
- Q. So for example, let me just try and use an analogy to see if that helps illustrate the point that I'm making.
  - A. Okay.
- Q. When I go buy a house, I will sign a mortgage with a bank agreeing with the bank that I'm

Q. And is that what you understand happened?

A. Yes

- Q. Then the lien, filing of the lien, was that a separate issue from the assignment that Anstram had obtained from Glenda?
  - A. My understanding is that Anstram had the lien rights and liening them we only cared about our R&D equipment that was on the properties. I didn't know who owned the other properties. I didn't know if they owned this many or whatever, but I knew on our R&D and the Abraham site where our R&D was taking place, that was the only parts I really had interest in preserving all the money and effort, the time we put in since we started.
    - Q. Let me --
  - A. So, therefore, I was interested in trying to -- I wished I could have acquired them myself to be able to keep going in the project, I guess, but I was never offered or told about that. Even though the properties are probably worthless, but our R&D isn't, as a stockholder and an investor.
- Q. Let me try and approach it from a bit of a different perspective and tell me if it sounds right to you. Does it sound right to you that there was a two step process. Step one was that Glenda Johnson

- 1 going to pay them the amount of the loan. The bank
  - 2 will then take that mortgage and go file it on the 3 property so that it's clear in the public records
  - 4 that the bank has had a lien on the property. Do you
  - 5 understand that?
    - A. Yes.
  - 7 Q. Is it your understanding that that's what 8 happened here; that there was an agreement between 9 Glenda and Anstram Energy and based on the existence 10 of that agreement, then the lien was filed to record 11 the interest in the property?
  - 12 A. All I can go by is what it says right 13 here, so I don't know.
  - Q. What do you estimate is the value of the properties that are subject to this lien?
    - A. I probably don't have the faintest idea.
  - 17 Q. In the next to the bottom paragraph on the 18 first page, do you see it says, Mechanic's lien is 19 \$30 million?
    - A. Okav.
    - Q. Do you see that?
  - 22 **A.** Yes
  - Q. Do you believe these properties are worth \$30 million?
    - A. My expert opinion is no, as a real estate



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1 broker.

- Q. As a real estate broker, do you think it's half that, a million dollars, less?
- 4 A. I don't know you -- like I said, the only 5 two -- I know the Oasis property, the Abraham
- 6 property and the one that had our towers on it that
- 7 was bought by West Wings or Wings West or something 8 and then, let's see, then apparently these other
- 9 properties that are listed in here in one of these
- things that you gave me, it has a listing of all the
- 11 properties. I assume that's all correct. I wasn't 12 aware of most of those other ones.
- 13 Q. Do you know whether Glenda Johnson had 14 done \$30 million worth of work on any of these 15 properties?
- 16 A. I have no idea.
- 17 Q. Glenda Johnson indicated that she 18 contacted Preston Olsen in November of 2019 about 19 selling her rights to the solar lens program. Were 20 you aware that Glenda Johnson and Preston Olsen were
- 21 having those discussions in November of 2019? 22 **A. No, but I later learned of it.**
- Q. When did you first learn of it?
- 24 A. When I talked to Preston. He said that
- 25 that was formed in November of '19.

- 1 properties that you're telling me about.
  - Q. The ones in?
- 3 A. The ones in Abraham and the ones -- Wings
- 4 West or West Wings, whatever they have, and the 5 Abraham property.
- 6 Q. Is that the 11 --
  - A. In Oasis.
- 8 0. The 11 exhibits to Exhibit 2160?
  - A. 2160?
- 10 Q. Yeah. We talked about Exhibits A through
- 11 K.
  - A. I don't know about all these others ones.
- 13 I just know about the ones that . . .
- Q. Do you know of any other assets besides the liens on the properties in Millard County?
- 16 A. I don't.
  - Q. What is its financial condition?
- 18 A. It probably only has the money that I've 19 given it.
  - Q. And how much have you given it?
- 21 A. Probably over 27 -- I can look if you want
- 22 me to look.
- 23 Q. Please.
- 24 **A. \$2875.** 
  - Q. When was that?

1 (Exhibit 2175 marked)

- 2 Q. You've been handed what's been marked as
- 3 Receiver Exhibit 2175. It's eight pages with the
- 4 first page has a heading, Nevis Island
- 5 Administration, and it's a letter addressed to me
- 6 dated June 16th of 2020. Will you look through that
- 7 document and tell me if you've seen any of the pages
- 8 in that document previously?
  - A. No. Do I get a copy of this?
- 10 Q. Yes, and I'll put a copy in your pile. So
- $11\,$  you were the owner of Anstram Energy, correct?
- 12 A. Correct.

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- Q. But you don't have a copy of the Articles of Organization?
  - A. Yeah, they weren't provided to me.
    - Q. They were not?
    - A. I hadn't received them yet.
      - Q. What is your understanding about why
- 19 Anstram was created as a Nevis company?
  - A. I have no idea.
  - Q. What assets does Anstram have?
- A. I don't believe it has any assets yet
- 23 except the liens, which I think --
- 24 O. What liens does it have?
- 25 A. I think it has the liens on these

- 1 A. On August 10th of this year.
  - Q. How did you give Anstram money?
  - A. I paid to have it, their yearly fee.
  - 4 Q. Who did you pay that yearly fee to?
  - 5 A. To this company, I think.
    - Q. To Prestige Trust?
  - 7 A. Let me look. I just have General
  - 8 Corporate Service.
  - 9 Q. So do you know if that was to the 10 government of Nevis, or was it to the registered 11 agent? What is your understanding?
  - A. I don't understand it. Just part of my
    thing with Preston is I would pay the -- so that was
    the other phone call I had, the second time I talked
    to him about this, where I had to -- when we talked
    about it, he said I would probably be responsible of
    paying this. So I gave him like \$10, plus I paid
    this.
  - 19 Q. So you paid \$10 and then would be 20 responsible for the --
    - A. Liability.
    - Q. Of the corporate licensing fees?
  - A. Right. He called to remind me that those are coming due. That would be the the other time I talked to him.



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Did you get them directly from Nevis or 1 2 Mr. Olsen sent them to you?

I think he gave me the contact and I 3 Α. contacted Nevis to ask to renew or to pay it. 4

- Does Anstram have a bank account?
- Not yet that I'm aware of. Α.
- To your knowledge, did Anstram conduct any 0.
- business before it received the assignment from 8 9 Glenda Johnson?
- No, not to my knowledge because I don't 10 Α. think it had done anything since Preston Olsen had 11 12 ownership of it.
- 13 Q. Do you know how much Preston Olsen paid to form Anstram in terms of legal fees, filing fees, 14 registered agency fees? 15
  - Α. I have no idea.
    - Who are the managers of Anstram? 0.
- Α. I don't think we have -- I think I'm the 18 19 only person at this time.
- Does Anstram have any employees other than 20 0. 21 you?
- 22 Α.

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- 23 Q. Anybody other than you who's authorized to 24 act for Anstram?
- 25 Α. No, not to my knowledge, no.

Right. That's when the notary's 0.

2 3 commission expires. Above that, what's the actual date?

You said the second page.

- 5 That's the 18th of December. You said the Α. 6 notary thing, so.
  - 0. That's fine. I was not clear.
- Could we put that on the record? 8 Α.
- 9 0. Yes. It's on the record. She has to put, write down everything.
- So, if Anstram Energy was formed in 11 12 November of 2019 and this notice of lien was signed on December 18th of 2019, would Glenda Johnson's 13 assignments of her rights of Anstram occurred between November 29th and December 18.
- 16 I don't know. I haven't seen these Α. 17 documents before.
  - Do you know if there are any documents? Q.
- 19 If there are any documents of what? Α.
- 0. Reflecting what Glenda gave to Anstram 21 Energy?
- 22 Α. I have no idea.
- 23 So you indicated that to your knowledge Q. 24 the only asset that Anstram Energy has is this notice 25 of lien, right?

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- 1 0. Has Anstram ever had any employees in the 2 past?
- 3 I couldn't dictate before, but I don't 4 believe it did. I believe it's just a shell company 5 at one time.
- Do you know if Anstram has any experience 6 0. in the energy industry? 7
- Now that I'm here, I have a lot of 8 Α. 9 experience.
- 10 0. As of December 19th of 2019, do you know what experience Anstram had in the energy industry? 11
  - Α.

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- 13 Q. How many energy projects has Anstram handled in the past? 14
  - Α. I have no idea.
  - Okay. Let's take a break. Q. (Break)
- 18 If you'll turn back to the Exhibit 2160,
- which is the notice of lien. 19 20
  - Α. Okav.
- So on the second page of this it indicates 21 Q. it is a notary endorsement. Do you see that, where
- the notary says when it was signed? 23
- 24 Α. 2/3/2020?
- 25 Okay. So that's when the notary --0.

- 1 Α. I believe so. I believe the liens on the That's what my understanding was. property.
- Do you know if there are any other 3 0. documents that relate to the business of Anstram 4 5 Energy?
  - Α. Not that I'm aware of.
- 7 Did you have any involvement in the 0. discussions between Glenda Johnson and Preston Olsen about granting the lien to Anstram? 9
- 10 Α. I think they had done it before I knew anything about it. 11
- 12 That's my question. Was it already done 0. by the time you were aware of it? 13
- I believe so. I wasn't privy to any of 14 Α. 15 the previous stuff.
- What did Olsen tell you about the 16 Q. 17 agreement that Anstram Energy had with Glenda 18 Johnson?
  - Go ahead and say that one more time. A.
- What did Preston Olsen tell you about the 20 Q. 21 nature of the agreement between Glenda Johnson and 22 Anstram Energy?
- 23 I think the only thing we talked about is 24 we're trying to keep whatever R&D available to us to 25 be able to keep the project going. So that's the



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1 only thing I was aware of, I think.

- Q. So is it your understanding that the R&D and the real estate is going to Anstram Energy, correct?
- 5 A. No. I think something have already taken 6 the properties and sold them off.
  - Q. So what does Anstram Energy own?
- 8 A. I think these, the rights to these liens 9 that Glenda put on these properties.
- 10 Q. And what did Glenda get in exchange for 11 giving these liens?
- 12 A. I have -- I wasn't there at the time. I 13 don't know.
- 14 Q. Well, you now are --
- 15 A. I now took them over.
- 16 Q. You now are Anstram Energy, correct?
  - A. Correct.
- 18 Q. So what is your obligation to Glenda
- 19 Johnson?

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- A. That in the future I would give her probably whatever she needed out of the company to be
- 22 able to get it going. I think in the long run,
- $23\,$  you're going to lose. So that's what my opinion is;
- 24 that this is almost a fraud on the court because it
- 25 does work. I've seen it with my own eyes. The

- Q. Does Glenda to your knowledge have any expectations about getting anything back as a result of this agreement?
  - A. I have no idea.
- 5 Q. So does Anstram Energy owe Glenda Johnson 6 anything?
- 7 A. I don't have anything saying that I owe 8 her anything.
- 9 Q. So, if Anstram Energy can make this energy 10 successful, can Anstram Energy keep all of it for 11 itself?
  - A. I imagine it could.
- Q. And are there any understandings or written agreements about what Glenda Johnson would be entitled to receive?
  - A. No, not to my knowledge.
- 17 Q. So she gave a lien that she said was worth 18 \$30 million and in return has no, nothing obligating 19 Anstram to give her anything back, correct?
- 20 A. Not to my knowledge. I've never said that 21 I would give her anything.
- Q. Do you know if Anstram Energy has made any commitments to give anything back to her before you took over Anstram Energy?
  - A. Could have, but I'm not aware of that.

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1 technology works. I've seen -- I've been there from
2 day one. I've seen it make electricity; their basis

3 is they don't. So I know what the prospects were.

- 4 Q. Let me back up. So there's an agreement 5 between Glenda Johnson and Anstram Energy, correct?
  - A. Yes.
  - Q. And you are now Anstram Energy, correct?
    - A. Yes.
- 9 Q. And, so, what I'm trying to understand is 10 what are the obligations of Anstram Energy owes to 11 Glenda Johnson.
- 12 A. I'm not sure what Glenda Johnson will get 13 out of it.
- Q. Did she get any money in exchange for the agreement?
  - A. I'm not aware of that.
- 17 Q. Then why would she grant these liens and 18 technology to Anstram Energy?
- A. Well, I believe it was just so we could keep the R&D side of it going to be able to --
- 21 because it functions, it works. I think your expert
- witness even said that it was solar property. It could create heat according to form 3468. I think it
- 24 was '12 was the year, 12-B and all it has to do is
- 25 produce heat and that's what our system does.

- 1 Are you aware they did?
  - Q. I'm trying to find out.
    - A. 0h.
  - 4 Q. Because as far as I can tell from the
  - 5 documents that I've seen, Glenda has assigned a value 6 of \$30 million to these properties that she assigned
  - 7 to Anstram Energy and what I'm hearing from you is
  - 8 that in exchange for that \$30 million lien, Anstram
  - 9 Energy is not obligated to give Glenda Johnson
  - 10 anything. Is that a fair understanding?
  - 11 A. My understanding is that because she -12 the properties were in her name and now they've been
  - 13 taken from her. I think that's including the
  - research and development that's gone into this project.
    - Q. When you say --
  - 17 A. The \$30 million, that's my understanding 18 of this is the R&D that's gone into these properties.
  - 19 Q. So, if Glenda Johnson valued the 20 properties and the R&D at \$30 million --
    - A. That's my understanding.
  - Q. Okay -- then she's given what she thinks is worth \$30 million to Anstram Energy, correct?
    - A. Okav.
  - 25 O. Well --



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I would probably end up giving her, if we 1 2 made it, I would end up giving her money back, giving her the money or liens produced or whatever. 3

- Do you have any obligation to give her anything back?
  - Α. I don't believe so.

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- 7 0. So why would you, Anstram Energy, give Glenda Johnson something when there's no obligation 8 9 to do that?
  - Α. We're just nice people.
- Then why give it to Glenda Johnson and not 11 12 the Red Cross or Mother Teresa?
  - Mother Teresa is dead and I've donated Α. everything I could to the Red Cross, until I had kidney function, the 5 gallon award supporting the Red Cross and food bank and stuff. So I would probably give some to everybody.
- If Glenda Johnson didn't think she was 0. 18 getting enough, could she claim that she was entitled 19 to a certain percentage of what Anstram Energy had?
  - I don't know what she would claim. Α.
- 0. Let me make the question, I quess, more 22 open-ended. What is your understanding of what rights Glenda Johnson has to expect anything from 24 25 Anstram Energy?

- 1 expectation that I would have to give anything back 2 to her. I just wanted the project to be able to keep 3 on going because I believe this is an unduly served 4 lawsuit.
- 5 I believe you answered this before. To 0. 6 your knowledge, did Preston Olsen or Anstram Energy give any money to Glenda Johnson in exchange for these assets?
  - Α. I don't know what Preston did before.
- The second page of this Exhibit 2160, 0. 11 there's the notary signature. Do you see that?
  - Α. Yes.
- 13 0. And were you present when Preston Olsen signed this notice of lien on December 18th? 14
  - I've never seen this before. Α.
- 16 Were you present at a meeting at the law 17 firm of Nelson Snuffer on December 18th where Preston Olsen and Glenda Johnson were there? 18
  - (Exhibit 2170 marked)
- 21 You've been handed what has been marked as 22 Exhibit 2170, which says, Notice of Lien, and the top 23 right corner says it was filed with the Utah County 24 Recorder on December 19th, 2019. Do you see that? 25
  - Α. Yes.

Α. Repeat that one more time.

0. What is your understanding of what expectation Glenda Johnson has of receiving anything 3 4 from Anstram Energy?

Well, I would perceive the expectation was Α. she would become whole from what's happened to her, but I'm under no contract to do that.

- So is it fair to say that she transferred 0. the property and technology to Anstram in the hope that Preston Olsen or you or some future owner of Anstram Energy is going to give her back something worth at least \$30 million?
  - I don't know what her expectations are. Α.
- But you're now the owner of Anstram Q. Energy, right?
  - Α. Yes.
- 17 So, if anybody is going to give something 0. 18 back to Glenda Johnson, it's going to be you, right?
  - Α. Right.
- 19 0. And, so, is there any -- have you had any 20 discussions with Glenda Johnson or with Neldon
- Johnson about what Anstram Energy is going to give 22
- back to Glenda Johnson in exchange for the \$30
- million in value that Anstram Energy received? 24
  - Α. As far as I'm concerned, there was no

- 1 0. Have you seen this document before?
  - 2 Α.
- 3 0. The first paragraph of this says, Notice 4 is hereby given that Anstram Energy hereinafter referred to as claimant of Brown Hill, St. John's Parish, Nevis, WI, hereby claims and intends to hold a lien based upon the following: And then it talks 7 about a lien on property in Utah County as serial number 55:718:0006, and says it's in the amount of \$2 9 million. Do you see that? 10
  - Α. Yes.
- 12 0. Do you know what property that refers to?
  - I have no idea. Can you tell me? Α.
- Have you ever been to the home in West 14 0.
- 15 Payson that is owned by Glenda Johnson?
  - Α. Yes.
  - 0. Also sometimes called West Mountain?
  - Α. Yes, in Payson, I've been there.
- 19 The way I read this document, Exhibit 0.
- 20 2170, is that Anstram Energy also owns a lien valued \$2 million on that property in Payson. Is it your 21
- understanding that Anstram Energy owns this lien? 22
  - Apparently, yes. Α.
  - Did you know about it before now? 0.
- 25 Α.



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Do you know how much that home is worth? 1 0.

- 2 Α. Let's see, being in real estate, I don't know. Real estate's gone up pretty good. So I don't know if it's gone up that much. I'd have to see an 4 appraisal on it. I don't know. 5
- Do you know if Anstram Energy gave Glenda 6 Johnson anything in exchange for this lien on that 7 property in Payson? 8
  - Α. I have no idea.
- Do you consider yourself the owner of this 10 0. 11 property?
- 12 Α. The owner of the property?
- 13 Q. Yes.

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- We have a lien against it. 14 Α.
- Do you consider that Anstram Energy is the 15 0.
- holder of the lien on that property? 16
- 17 Α. Yes.
- Q. And I'll put a copy in the pile that we 18 are going to have you take with you. 19
- Okay. Is that marked, too, also? 20 Α.
- 21 0. Yes.
- 22 Α. So -- okay.
- 23 (Exhibit 2171 marked)
- You've been handed what's been marked as 24 0.
- 25 Exhibit Receiver 2171, which says, Notice of Lien.
- 25
- 70
- 1 It's a five-page document with the stamp, recording stamp, on the top right of the first page saying,
- January 14th, 2020, filed with the county clerk of
- 4 Howard County, Texas. Do you see that?
- 5 Α. Yes.
- 6 Q. Have you seen this document before?
- 7 Α.
- This document indicates that Anstram 8 0.
- Energy received an assignment from Glenda Johnson and
- therefore Glenda Johnson filed this notice of lien 10
- for \$10 million on property in Howard County, Texas.
- 12 Do you see that?
  - Yes. Α.
- 14 0. Are you familiar with that property in
- 15 Texas?

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- 16 Α. Yes.
- 17 Have you ever been there? 0.
  - Α.
- 19 0. To your knowledge, is that property worth
- \$10 million? 20
- It could be. This is the one that LaGrand 21 Α. and I were going to build a solar site on. It has a
- trunk line going into California that was built
- specifically goes along the property, specifically
- 25 would take our alternate energy into California.

- 0. When you say, trunk line, you mean 2 transmission line?
- Yeah, transmission line. It's about 3 Α. 600-some acres, I believe. It could have a value of that. I'm not sure.
- Have you ever seen this document before 6 0. 7 now?
- 8 Α. No.
- 9 0. Did you know that Anstram Energy had a
- lien on that property in Texas? 11
  - Α. No.
    - 0. Does it surprise you?
- 13 Α. No. I mean to me this is a valuable piece 14 of property.
- 15 0. To your knowledge, what did Anstram Energy give Glenda Johnson in exchange for her right to the 16 17 property?
  - You mean the rights to the lien? Α.
- 19 0. Yes.
- 20 Α. I don't know. I have felt like that I had
- 21 rights to this property myself besides Anstram 22 Energy.
- 23 To your knowledge, was Glenda Johnson an Q. 24 owner of the property in the Texas?
  - A. I'm not sure. I don't know.
- 1 0. Who is your understanding the owner of this property?
- 3 N.P. Johnson Family Trust, and that's what Α. 4 I have interest in.
- Q. 5 And are you aware that in May of 2019 the
- federal court put the assets of the N.P. Johnson Family Limited Partnership into the receivership 7
- estate? 8

9

- Α. Yes.
- 10 So, if the property is part of the 0.
- 11 receivership estate, what rights did Glenda Johnson
- 12 have to grant a lien on the property?
- 13 I just wished it was me that put the lien Α. on it, but I don't know. 14
- 15 Q. What authority do you understand she had to put a lien on this property? 16
- 17 I don't know. Α.
- 18 0. Do you know if Glenda Johnson has ever been an owner of that property? 19
- 20 I don't know. Α.
- 21 To your knowledge, has Glenda Johnson ever 0.
- 22 been an owner of the N.P. Johnson Family Limited
- 23 Partnership?
- 24 To my knowledge, not, no, but I couldn't 25 say she couldn't have been.



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1 Q. Do you consider Anstram Energy is the 2 owner of the lien on that property?

A. Yes.

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- Q. And do you believe that lien is valid even though the property was put under control of the receivership before the lien was filed?
- 7 A. I believe I still have an interest in that 8 that was taken away. That's my opinion.
- 9 Q. I'll put a copy of that in the pile of 10 documents we're going to have for you to take home 11 with you.

I believe earlier I asked whether you attended the tax court trial in January for Olsen and I think you said no. Correct?

A. Correct.

- 16 Q. Shortly after that trial, did you express 17 to Glenda Johnson an interest in buying the Anstram 18 liens?
  - A. Can you tell me what dates this is again?
  - Q. It was in January of 2020.
- 21 A. What was the question?
- 22 Q. Shortly after Olsen's tax court trial, did
- 23 you express an interest to Glenda Johnson in buying 24 the Anstram liens?
- 25 **A. No.**

being prepared, what you provided. You gave an
e-mail, a narrative or talked to someone on the phone
who was going to be preparing this?

- A. I think I talked to Steve.
- Q. Did you get a draft of the document?
- 6 A. I don't believe I got a draft of it, no. 7 I may have.
- 8 Q. Do you recall whether or not you made 9 any -- that you received a draft and you asked for 10 changes?
- 11 A. Let me look here. I think this is just 12 interest -- he wanted me to release the liens and I 13 said I wouldn't release the liens.
- Q. Do you recall whether you were given a draft and you asked for some changes in it before you signed it?
  - A. I don't believe so.
- 18 Q. Is there anything in this document that 19 you now believe is not accurate?
- A. No. I was enthusiastic that it was declared solar energy property because I felt that was our first hurdle.
- Q. What discussions did you have with Glenda Johnson before this declaration was prepared?
  - A. I think that she had put a lien on the

(Exhibit 2176 marked)

Q. You've been handed what's been marked as Exhibit 2176, which is labeled Declaration of Roger P. Hamblin, signed on June 9th, 2020 and it's Docket Number 937-2 in the federal court action filed June 10th of 2020.

- A. Okav.
- Q. Does this document look familiar to you?
- 9 A. I have read it now, yes.
- 10 Q. Is that your signature on the second page?
- 11 **A.** Yes.
- 12 Q. Who prepared this document?
  - A. I guess it was my attorney that did it.
- 14 Q. What information did you give to the
- 15 person who prepared this to enable them to prepare 16 it?
  - A. Say that one more time.
- 18 Q. What information did you give to the 19 person who prepared this declaration to help them 20 prepare it?
- A. Let's see. I don't know if I gave much -this is probably when I talked to Olsen the first
  time about the case. Let's see.
- Q. We'll go through it in more detail. I'm just trying to discuss how the document ended up

- 1 properties for our R&D, not our R&D, but for IAUS's
  2 R&D and that I wanted to in any way, what this is
  3 saying, I wanted to keep it going, keep our
  4 technology going.
- 5 Q. What led up to your signing this 6 declaration?
- 7 A. I felt Preston had done well in tax court. 8 They called it solar energy property, which was a big 9 hurdle in that.
- 10 Q. Why was the declaration needed? Who asked 11 you to sign the declaration?
  - A. I think it was my attorney.
- Q. Do you recall having any discussions with Glenda Johnson about you signing a declaration?
  - A. I don't remember that.
- 16 Q. In paragraph 2 it says, I was interested 17 in and monitored the IRS versus Preston Olsen trial, 18 actually says trail, in the tax court in January 19 2020. Do you see that?
  - A. Yes.
- Q. Tell me how you monitored the IRS case against Preston Olsen.
- A. My friend was in the courtroom. Or, no, that was the other trial. I'm getting the two mixed up. Go ahead and say that one more time, please.



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1 Q. In paragraph 2 it says you monitored the 2 tax court trial for Preston Olsen in January 2020.

- How did you monitor that trial?
   A. I think I was just kept abreast of what
   was going on. It was under Paul Jones was
- 6 representing him, I believe. Is that true? And I --
- 7 Q. How did you get your information about the 8 progress of the trial?
- 9 A. I believe it was from Glenda, I think, 10 Glenda or Neldon, I think.
- 11 Q. Do you recall Glenda talking to you about 12 how that trial was going?
- A. I think the most excitement was the only thing, the most excitement was when their expert witness had to come out and say it was solar property.
  - Q. How did you learn about that?
- 18 A. I think it was probably through Neldon or 19 Glenda. I didn't talk to Preston at that time.
- Q. Do you believe one, either Neldon or Glenda, called you and told you about that?
- A. It was probably -- they don't hardly ever call me. I call them most of the time to see what's qoing on, keeping up-to-date.
  - Q. So you think you called Glenda or Neldon

1 mean I felt that was a big win.

- Q. But you weren't at the trial, so you didn't hear the expert testify, correct?
  - A. Correct.
  - Q. So you were relying on how Neldon or Glenda explained what the expert said, right?
- 7 A. Correct. Are you saying he didn't state 8 that?
- 9 Q. I'm not trying to imply anything. I'm 1.0 just trying to explore the source of your
- 11 information.
  - A. Okay.
  - Q. So --
- 14 A. So to your knowledge, did he say that?
  - Q. I'm not going to characterize what I read.
  - A. So were you at the trial?
- 17 Q. I was not. So in paragraph 4, it says you 18 called Glenda Johnson, said you wanted to buy
- 19 interests she had in the businesses and their assets.
  20 What interest did you understand that Glenda Johnson
- 21 had in the businesses and their assets?
- A. I was more -- I'm more interested in the Abraham property where we had the towers and the R&D site.
  - Q. What was your understanding about what

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- and asked what was happening and one of them told you
- about that development at the tax court trial?
- 3 A. Yes.4 Q. Paragraph 4 says, I called Glenda Johnson
- 5 and said I wanted to buy any right, title or interest 6 she had in the business and their assets. Paragraph
- 7 4 on the the first page.

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gone so well?

- 8 A. Yeah. I think at that point Preston was 9 interested in transferring those and I said I'd take 10 it over.
- 11 Q. How did you learn that Preston Olsen was 12 interested in transferring those assets?
  - A. I believe it was Glenda had told me.
- Q. And how soon after the tax court trial do to you think Glenda Johnson told you that?
  - A. What was the date of the trial?
  - Q. It was late January of 2020.
- 18 A. So I would say it's not long after that.
- $19\,$  I found out he had a pretty good chance and I was --  $20\,$  wanted to go ahead and do that.
- Q. Did it seem odd to you that Preston Olsen wanted to sell Anstram if his tax court trial had
- A. I don't know what the reasoning he had. I just know the reasoning was I felt good about it. I

- 1 interest Glenda had in those properties?
- 2 A. I think she's the owner.
- Q. Was it your understanding she was -- when you talk about the R&D site, that's the original tower site with about 17 towers?
  - A. Correct.
- 7 Q. And was it your understanding that 8 property was in her name?
- 9 A. I didn't know that at the time. Is it? I 10 don't even know to this day if it's under her name.
- 11 Q. I'm trying to understand. At the time you 12 signed this declaration, did you believe she was the 13 owner of that property?
- A. I know that she was the owner of that Abraham property because we did that transaction.
- 16 Q. Was it your understanding she was the 17 owner of the R&D side?
- 18 A. I believe it was my perception that it 19 was. I didn't see any actual documents. I think it 20 was probably what I was told.
- Q. In paragraph 5, it says that -- paragraph 22 4, you said you expressed to Glenda Johnson an 23 interest in buying her interest in the businesses and
- 23 interest in buying her interest in the businesses and 24 their assets, right?
  - A. Yes.



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- Q. And then in response in paragraph 5, you say that she told you she already sold them to Preston Olsen; is that fair?
  - A. Yes.
- 5 Q. Did it surprise you when she said she had 6 already sold other interest in the business to 7 Preston Olsen?
- 8 A. Yes.

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- 9 Q. Because you thought they were still in 10 Glenda's name?
- 11 A. Yes.
- 12 Q. So when did you first learn that Olsen was 13 willing to sell his interest?
  - A. Probably a few months ago, I guess.
  - Q. How did you learn that?
- A. I can't remember if Glenda told me or Preston told me. Probably Glenda, I think.
- Q. Let me see. Tell me if this summary is fair. After Preston Olsen's trial, you talked with either Neldon or Glenda and learned that they thought the expert had testified in a way that's favorable to Preston Olsen, and, so, you expressed an interest to Glenda to buy her interest in the companies and she said that she had already sold that to Preston Olsen;

- A. Because I felt like it was a win when they said it was solar property and that we were on the right track in our development of being solar capable of being a breakthrough technology that's cheaper than anybody else and nobody's ever been successful in the solar business. Everybody's always lost business and we were in a position to make it work; that nobody else had ever done.
- 9 Q. So at some point, Glenda told you she'd lO already granted liens to Anstram Energy, correct?
  - A. Yeah, I didn't know about that 'til then.
- 12 Q. And then in response, did you tell Glenda 13 that, if Preston Olsen wanted to sell his interest, 14 you'd be interested in buying them?
- 15 A. I felt like I already had interest in the 16 Texas property through when I loaned the money to the 17 Neldon P. Trust -- what's that other document? So I 18 felt like this was going on; that I had an interest 19 in it also.
  - Q. So you learned that Preston Olsen now --
- A. I should have put the lien on because I felt like I had the ownership of the Texas property. That's why I was willing to pay the liens because I didn't have the wherewithal to do that.
  - Q. So when you learned that Preston Olsen had

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1 the liens, did you express to Glenda Johnson an

2 interest in acquiring those liens?

3 A. Yes.

4 Q. And what did Glenda Johnson say?

5 A. She'd already -- I think Preston already 6 had them.

O. And then --

8 A. I says, well, I wished I would have known.9 I would have taken them myself.

- Q. Did you eventually take them?
- A. Yes

12 Q. Tell me how that process worked when you 13 first said --

A. I think Preston knew that I would take
them. Maybe he didn't want to make his payment. I
can't account for what he wanted to do or whatever,
but I think they knew that this payment was coming
up, this 220-some hundred dollars was coming up, and
I just wanted to take that over.

- Q. So did you express that to Preston or to 21 Glenda?
- 22 A. I think Preston, I think.
- Q. So when Glenda said that Preston Olsen already had the liens, did you call up Preston and say that you wanted to buy them from him?

1 A. The liens.

- 2 Q. The liens?
- 3 A. Yes.

25 is that fair?

- 4 Q. Did you know the liens were already in 5 place?
- 6 A. Yes, I knew that the liens -- that that's 7 what Preston had was the rights to the liens, I 8 think.
  - Q. And, so, how did you learn that?
  - A. I believe it's through Glenda.
    - Q. So was the property after the Preston
- 12 Olsen tax court trial?
  - A. That's -- well, I think so.
- Q. And, so, I'm trying to get your understanding of the source of the sequence; that after the tax court trial you got a report from Glenda or Neldon that it had gone well, you indicated an interest in buying Glenda's interest --
- A. I wanted to just keep it going. I wanted to to keep this technology going so we could get it to market finally.
- Q. Right. What I'm trying to find out is: 3 What was it about Olsen's tax court trial that
- 24 changed or made you indicate you wanted to keep the
- 25 technology going?



Roger P. Hamblin \*2 September 01, 2020

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1 A. Well, we -- I think he asked me if I was 2 interested first.

- Q. So Preston called you, Olsen called you and asked if you were interested?
- 5 A. Yes. I think he heard that I was 6 interested, and, so, I think we got together at the 7 attorney's office and I took them over.
- 8 Q. And I want to use that timeframe to focus 9 on. So at some point, you met at the law firm and 10 signed over the documents to -- or you signed the 11 documents to get the liens, correct?
  - A. Correct.

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- 13 Q. And earlier than that Glenda told you that 14 Preston Olsen already had the liens, right?
  - A. Correct.
- Q. So I'm trying to get your recollection of what happened between your first learning it and then actually getting the liens. Was Glenda Johnson an intermediary, or did you tell Glenda that you were interested and then Preston Olsen called you?
- A. I'm not sure which way first, the chicken or the egg, but I just know after Olsen was, Preston was, I felt, successful enough to get him to say it was energy property that that was the first hurdle and that made me more excited about saying, hey, I

1 this document?

- A. Yes.
- 3 Q. So is this the document that you -- strike 4 that. What is this document?
- 5 A. It states it's a transfer of membership 6 interests.
  - Q. What was the purpose of this document?
- 8 A. To transfer Preston Olsen's interest to 9 me.
- 10 Q. His interest in Anstram Energy?
- 11 A. Correct.
- 12 Q. Now, fifth paragraph says, therefore, for 13 \$10 and other good and valuable consideration. I 14 think you indicated you gave him the \$10, correct?
  - A. That is correct.
- 16 Q. Did you give Preston Johnson anything else 17 other than \$10. Olsen. Did you give Mr. Olsen 18 anything other than \$10?
- 19 A. I think he didn't want the liability of 20 having to pay the 2700, so I would pay that, so 21 that's a lot of money.
- 22 Q. Does Mr. Olsen have any interest in
- 23 Anstram Energy currently?
- 24 A. No.
  - Q. After -- strike that. What is the date

think we keep this thing going, keep our technology going. That's my main interest.

- Q. You expressed to someone an interest in buying the liens, correct?
  - A. Correct.
- 6 Q. Do you know if you had discussions with 7 Preston Olsen before that meeting at the law firm?
- 8 A. I think I did because he was asking if I 9 was interested, and I said yes. He said, well, we'll 10 meet there and do the transfer.
- 11 Q. So in a discussion leading up to that 12 meeting, did you discuss how much you would pay for 13 the interest?
- A. Well, there was no value on it that I knew of; that I would have to -- I told him I would pay the required \$10 to him, which I handed him, and would pay the bill that was coming up, the 2700-something dollar bill to keep this going.
- 19 Q. I'll put a copy of this document in the 20 pile you're going to get.
- 21 (Exhibit 2177 marked)
- Q. You've been handed what's been marked Exhibit 2177, which is a one-page document saying,
- 24 Transfer of Membership Interest, and it has Docket
- 25 Number 937-2 filed June 10th, 2020. Do you recognize

- 1 that this agreement was signed? There's a date on
- 2 the second line of the document.
- A. Oh February 29th, 2020.Q. Is that when you believe this was signed?
- 5 A. Yeah, I think I did sign that then.
- 6 Q. Is that your handwriting on the third
- 7 line?

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- A. Yes.
- 9 Q. And is that your signature on the middle 10 signature on the bottom of the page?
  - A. Yes.
- 12 Q. And did you see Mr. Olsen sign his 13 signature?
  - A. Did I? I don't remember seeing that.
- 15 Q. Was he there physically present at this
- 16 time?
- 17 A. I don't know if he was or not. I don't 18 remember.
  - Q. Was this signed at the law firm?
- A. I don't think this is the one I signed at the law firm.
- Q. Okay. When do you think this was signed or where were you when this was signed?
- A. I don't know where I was when this was signed.



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1 0. Had you seen this document before you 2 signed it?

- I don't know if -- I don't think I've seen 3 Α. it before I signed it. 4
  - When you signed it, do you know if any other signatures were already on the document?
- I think -- I'm not sure if Preston -- I 7 think it was Preston was on there. You know, mine's 9 on there. I know Glenda was the witness so she was there. 10
- Going back to your declaration on Exhibit 11 12 2176, paragraph 6 states that on February 29th you met with Glenda Johnson in Payson, Utah? 13
- Okay. That could have been where we 14 Α. 15 signed it then.
- Do you have a recollection of going to 16 Glenda Johnson's home in Payson around February 29th? 17
  - I most likely did. Α.
- Do you recall if that's when this was 19 Q. signed? 20
- 21 Α. Well, it states there that that's when it 22 was signed.
- 23 Q. I'm trying to probe your memory --
- Yeah, I'm trying -- I've been to their 24 Α. 25 house several times. This is probably where I signed

- 1 care of that.
- 2 0. So do you think that in February of 2020 you had already been apprised that that obligation was going to be coming?
- 5 I think it was later. We didn't know what amount specifically it was. I didn't learn that 6 until later. I knew it was going to be over \$2,000.
- Now, this agreement was signed on February 8 0. 9 29th of 2020. At the time that you signed this, were 10 you aware that four days before this the court had 11 found Glenda in contempt of court for interfering 12 with the receiver's authorization of the property?
  - A. I don't think so.
- 14 0. Was there any discussion by Glenda or 15 Neldon that this was to try and get property out of 16 the hands of the receiver because the court had found them in contempt for interfering with the receiver? 17
  - Α. I don't know that.
- 19 Q. Was there any discussion with them about 20 that?
- Α. 21 No.
- 22 0. Since you took control of Anstram in 23 February of 2020, what additional business is Anstram 24 Energy conducting?
  - A. We haven't conducted any business since

1 this.

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- 2 0. Do you have an independent memory of that meeting? 3
- 4 I think we went -- can't remember if we Α. 5 went to lunch or whatever and that's when I signed 6 it.
- 7 Do you know if anyone else was present 0. when you signed it? 8
- Glenda was there and I'm not -- I don't Α. think Preston was there, to my knowledge. I think it was just Glenda, I and Neldon. Randy might have been there because he usually goes to lunch with us, but 12 he wasn't there, I think, when I signed this. 13
- So when you became the owner of Anstram 14 15 Energy, did you give any notice to the registered agent in Nevis that you are now the owner? 16
  - Α.
- 18 0. How would the regulatory authorities in Nevis or the registered agent know that you are now 19 the owner of Anstram? 20
- 21 Α. I think Preston provided that information 22 to them.
- 23 0. Did he say he was going to?
- I think so because we had that money that 24 Α. 25 was coming up owing, so I think he said he would take

1 then.

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- 2 0. Do you have any contracts that have been 3 signed?
- 4 Α. The only one is between Preston and I that 5 I've taken.
- Is this document here, Exhibit 2177? 6 0.
- 7 I think there was another document. Α.
- Okay. When was that signed? 8 0.
- 9 That was signed at the attorney's office. Α.
- Was that after this? 10 0.
- 11 Α. Yes.
- 12 0. And what do you recall that document was 13 about?
- 14 I'm not sure. I can't remember. I have Α. 15 to have it in front of me.
  - Q. Do you have a copy of that document?
- 17 I mav. Α.
  - Will you search your files, and, if you --0.
- 19 Yeah, if I can find that, I will get that 20 to you. Can I get maybe a memo of what I need to
- 21 provide?

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- 22 0. I will do that. Since February of 2020,
- 23 has Anstram purchased any equipment?
  - Α.
  - 0. Does it have any office space?



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1	Α.	No,	just	at my	home,	but I	don't write	it
2	off, so we	have	none					

- Does Anstram conduct operations anywhere 3 Q. 4 other than from the office in your home?
- 5 Α.
- Has Anstram done any solar technology work 6 since February? 7
- 8 Α. No.
- 9 0. What patents or licensing rights does 10 Anstram have?
- I believe it has some patent rights to the Α. 11 12 technology of the solar -- of the lenses, of the 13 turbine.
- The solar lenses and the turbine? 14 0.
- 15 Α. And I believe there's other components 16 there.
- 17 0. And what evidence is there that Anstram has those technologies? 18
- I think the rights were turned over to 19 Α. 20 that company.
- 21 0. And when were those rights given to the 22 company?
  - Α. I have no idea.
- Are there documents showing that those 24 0. 25 rights are owned by Anstram?

- 1 I don't think so. Α.
- 2 So is it your understanding there is some 0. 3 other agreement that gives Anstram Energy rights to technology?
- 5 I guess it would be just what I was told. Α. I don't have any documents to that effect. 6
- And what do you recall Neldon Johnson told you that you would have in terms of rights to 9 technology?
- 10 Well, when I loaned him the money that I Α. 11 would be able to have the rights to the Texas 12 property, part of it, and the right to use his 13 technology to build a solar plant there using that 14 technology. So I would have the rights to the 15 technology.
- So you would have the rights or the N.P. 16 Q. 17 Family Limited Partnership or that Anstram would have the rights? 18
  - The N.P. Johnson. This was earlier. Α.
- 20 0. So what rights does Anstram Energy have to 21 technology?
- 22 Well, since I own Anstram Energy, the 23 rights for the N.P. Johnson Trust.
- 24 Those technology rights owned by you or 25 the N.P. Johnson Family Limited Partnership?

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- 1 Α. I don't know. Just what I was informed.
- 2 0. By who?

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- I can't remember if it was from Olsen or 3 A. 4 Neldon or Olsen, I can't remember.
- So either Glenda Johnson or Preston Olsen 5 0. told you that Anstram owned rights to technology? 6
  - Α. Correct.
- 8 0. Are there any documents indicating what 9 rights those are? 10
  - Α. I don't have any.
- Do you know if there was a document that 11 0. 12 transferred those rights to Anstram Energy?
  - I don't. I'm not aware of one.
- Is it your understanding that those three 14 15 liens that we've talked about earlier as property liens, is it your understanding those transfer
- technology? 17
  - Α. Say that again.
  - You talked earlier about property liens for Payson, Millard County and Texas, right?
    - Α. Right.
- 22 Q. Is it your understanding that those
- property liens transfer technology? 23
- You mean do they transfer technology? 24 Α.
- 25 Do they give Anstram rights to technology? 0.

- Α. Well, since I'm just a part-owner of that.
- Right. So is it your understanding that 2 0. if you're a part-owner --
  - Α. That I have some rights.
- 5 But you have rights as a part-owner of the 0. company or those rights are actually yours 6
- individually to use? 7
  - No, the company. Α.
- 9 So do you think -- did you think that you had any rights to technology separate from your 10 ownership of the N.P. Johnson Family Limited 11 12 Partnership?
- Well, no. I think that was where I Α. 14 assumed I had the rights.
- 15 So is it fair to say that any technology 16 rights you had pursuant to the money you loaned to 17 Neldon Johnson were rights that belonged to the N.P. Johnson Family Limited Partnership, not technology rights that you individually own? 19
  - Α. Rephrase that one more time.
- 21 That was too long and too complex. 0. 22 You indicated you believe you have some
- technology rights, correct? 23 24
  - Α. Correct.
  - And you believe those technology rights 0.



Roger P. Hamblin \*2 September 01, 2020

were a product of money you loaned to Neldon Johnson,
correct?

- 3 A. Correct.
- 4 Q. And you believe that those technology
- 5 rights are owned by the N.P. Johnson Family Limited
- 6 Partnership, correct?
  - A. Correct.
- 8 Q. Do you believe that any of those
- 9 technology rights are rights that you own
- 10 individually?

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- 11 A. No.
- 12 Q. Do you believe any of those technology
- 13 rights are rights that Anstram Energy owns?
  - A. I believe they have rights to them, yeah.
- 15 Q. And where do you think -- how did Anstram
- 16 go about getting technology rights?
  17 A. I don't know.
- 17 A. I don't know. 18 Q. What makes you
  - Q. What makes you think Anstram has
- 19 technology rights?
- A. Because I think that those were assigned.
  My assumption they were assigned to Anstram Energy.
- 22 Q. So earlier I think you indicated that they
- 23 were not assigned to -- the property liens we talked
- 24 about did not assign technology rights to Anstram,
- 25 correct?

- 1 is Exhibit 2176.
- 2 A. Okay.
- 3 Q. In paragraph 7, it states that later
- ${\tt 4}\,$  Glenda Johnson asked me to release the mechanic's
- 5 liens on the real properties included in the purchase

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- 6 of Anstram Energy, LLC. I declined. Did I read that 7 correctly?
- 8 A. Yes.
- 9 Q. Did Glenda ask you to release the liens?
- 10 A. Yes
- 11 Q. When did she make that request?
  - A. I don't know the exact date on that.
- 13 Q. Was it before June 9th when you signed
- 14 this?

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- 15 A. You mean was it on this date or before 16 this date?
  - 0. Was it before this date?
- 18 A. I think they were asking her to release 19 the liens and she said she didn't have any control
- over that and then I didn't want to release the liens
- 21 because I wanted to keep the company going.
- 22 Q. So this document was signed at a law firm,
- 23 correct?
- A. Yeah, I think so.
  - Q. And, so, Glenda asked you sometime

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1 previous to this to release the liens and then --

- 2 A. Between February, yeah.
  - Q. Go ahead.
- 4 A. I'm just -- I think so.
- 5 Q. And then when you said no, did she say,
- 6 then I need you to sign a declaration stating that?
- 7 A. I think it was my attorney that -- or her 8 attorney, which had been my attorney for a while
- 9 ended up asking me --
- 10 Q. Well, if it's from your attorney I don't
- 11 want to know --
- 12 A. Steven Paul represented me at that time
- 13 **too.**

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- 14 Q. Then --
- 15 A. So I can't really.
  - Q. Well, I don't want you to tell me what he
- 17 told you.
  - A. Yeah.
- 19 Q. But did Glenda Johnson ask you to come to 20 Nelson Snuffer and sign the declaration?
- 21 A. No. Olsen.
- Q. Did Olsen ask you to come to the law firm and sign this?
- A. Well, we were -- this is the one that we agreed to go there and sign, and sign this.

- 1 A. Correct.
- 2 Q. So you believe there are some other
- 3 agreement by which technology rights were assigned to 4 Anstram Energy?
  - A. That's my belief.
- 6 Q. Do you think there was a document that
- 7 says this?

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- 8 A. I would assume there is, but I have not -- 9 I'm not privy to it.
- 10 Q. Do you think you have a document that says 11 that?
- 12 A. No
- 13 Q. Do you think, is your recollection that
- 14 Neldon Johnson led you to believe that some
- 15 technology rights were assigned to Anstram Energy?
- 16 A. I think so, yes.
- 17 Q. Is your recollection that Preston Olsen 18 led you to believe that technology rights were
- 19 assigned to Anstram Energy?
- 20 A. I'm not sure on that. I don't know how to 21 answer that. I really wasn't -- I really felt like I
- 22 already had interest in the technology rights through
- 23 my previous transaction and I'm just trying to keep 24 the technology going. That's really where I'm at.
  - Q. Let's go back to your declaration, which



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1 Q. So when you went to the law firm to sign 2 this, was Preston Olsen also there? 2

A. I don't know if this is the one that -I've got the documents kind of confused because I
can't remember if Olsen was there for this one or
not.

- 7 Q. And let me try and make sure that we do 8 have it clear because --
  - A. I'm confused now.
- 10 Q. This one, Exhibit 2176, is your 11 declaration signed on June 9th and I believe you 12 indicated it was signed at the law firm of Nelson 13 Snuffer?
- 14 A. That's what I believe, yes.
- Q. And separate from that is a document we're talking about where you actually acquired your interest in Anstram and that's Exhibit 2177 and I think you indicated you signed at Glenda Johnson's home in February?
- 20 A. Correct.

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- Q. Does that sound right?
- 22 A. Yeah, that sounds right now.
- Q. So thinking about the meeting at the law firm where you signed this declaration, who asked you to come to the law firm and sign the declaration?
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- A. I think -- I can't remember if I
  instigated it or because I was going to . . . Let's
  see. This is just a declaration that I was not going
  to release the liens really what I get from it. I
  said I didn't want to because I want to still have
  control over the investment that was put into that
  project.
- 8 Q. Had Glenda Johnson previously asked you to 9 release the liens?
  - A. Yes.
- 11 Q. Do you recall how much in advance of the 12 meeting at the law firm that she'd asked that?
  - A. I don't recall.
- Q. Did Glenda Johnson tell you that the court had ordered her to release the liens?
  - A. She may have. I don't recall.
- 17 Q. Did she tell you that she might go to jail 18 if the liens were not released?
- 19 A. Yes.
- 20 Q. Tell me about that conversation.
- 21 A. I think she -- they said that if she
- 22 **didn't --**
- Q. When you say they, who do you mean;
- 24 Glenda?
- 25 A. Yeah. They don't release the liens, that

- 1 she would be liable to go to jail. That's your 2 issue.
- 3 Q. So was this discussion in the law firm's 4 offices, or is this on a telephone discussion in 5 advance?
- 6 A. I'm not sure. I'm not sure. I want to 7 take a quick bite of this.
- 8 Q. And we'll plan on breaking in about ten 9 minutes.

(Exhibit 2179 marked)

- 11 Q. You've been handed what's been marked as 12 Exhibit 2179, which is a court order dated May 5th, 13 2020, Document Number 920. Tell me when you've had a 14 moment to look at that.
  - A. Okay. I've read through it.
- 16 Q. Do you recall if you've ever seen this 17 order before?
  - A. No, I've never seen it before.
- 19 Q. Turn to page 5, please, and read paragraph 20 21 for the record.
- A. Glenda Johnson violated the corrected receivership order by filing the tower property lien. Is that the property where the towers 17 were on? Millard County lien. Payson lien. I don't know that. Texas lien and Wings West lawsuit.

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Q. Were you aware before now that the federal court had declared in May that Glenda's filing of the liens violated the court order?

4 A. I didn't know they violated a court order, 5 no.

Q. So when you --

A. I don't believe -- well, I believe that these were, as it states here, that you were jumping the gun and wanting to sell these assets off before there was even a finish to the -- you know, we got our last day in court, whether it be the Supreme Court or anywhere else.

- 13 Q. To your knowledge, has the appeals court 14 issued a ruling?
  - A. I think they did.
    - Q. Do you know what that ruling was?
- 17 A. They were ruled against them.
- 18 Q. Do you know if there's been an appeal to 19 the Supreme Court?
  - A. Not to my knowledge yet.
- Q. So you recall that you talked a few minutes ago about Glenda Johnson asking you to release the liens. Do you remember that?
  - A. Yes.
    - Q. So when Glenda Johnson asked you to



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1 release the liens, did you know at that time the court had already ruled the liens violated the court 2 3 orders?

- I didn't know. I don't remember that. Α.
- 5 0. This is a good breaking point. So we'll go off the record. 6

7 (Break)

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(Exhibit 2180 marked)

- 9 Mr. Hamblin, you've been handed what's Q. been marked as Exhibit 2180, which is labeled 10 Declaration of Glenda Johnson, filed May 14th of 11 2020, Docket Number 925. Would you look at that and tell me whether you recall seeing that previously? 13
  - Okav. Α.
    - Do you recall having seen that previously? 0.
- I can't remember if I saw this exact Α. 16 thing. Looks like on the last page I haven't read 17 that one. 18
  - On the last page what? Q.
- I was just looking at page, not -- there Α. was an additional page here. 21
  - Riaht. Q.
  - Α. This is I think when I stated it to them.
- 24 0. So just before lunch we were talking about
- 25 Exhibit 2179, which was a court order dated May 5th.

1 I can't say what she knew.

2 Well, was Glenda Johnson present when you Q. 3 signed the documents on February 29th making you the sole owner of Anstram Energy?

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- I think she was. Α.
- 6 Because that was at her house, correct? 0.
- 7 A.
- 8 0. And, so, on February 29th, 2020, Glenda 9 knew that you were the only owner of Anstram Energy, right?
- 11 Α. I believe so.
- 12 0. So do you know why she would have told the 13 court in this declaration that on May 5th she reached out to Preston Olsen to see about getting the liens 14 released?
  - Α. I have no idea why she would do it.
- 17 In paragraph 2-G on that same page, she 0. 18 says that I knew that Preston Olsen was planning to sell his interest in Anstram Energy, LLC to Roger
- 20 Hamblin. Do you see that?
  - Α. Yes.
- 22 Q. On May 5th, is it correct that Glenda knew 23 that Preston Olsen was planning to sell his interest 24 in Anstram to you?
  - Α. She called me on that date, on May 5th.

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Do you remember our discussion about that?

- Α. Yes.
  - Would you turn back to that? 0.
- 4 A. On 2179?
- 5 0. Correct. If you look at page 6, paragraph
- 2 on the bottom half of the page where it says,
- Glenda Johnson shall release the Payson lien, the 7
- Texas lien and the Millard County lien within three
- days of the entry of this order, do you see that? 9
- Α. 10 Yes.
- 0. So on May 5th the court had ordered Glenda 11 to release those three liens. So then Exhibit 2180 is dated May 14, nine days later, and it's a declaration by Glenda Johnson. So on the second
- 15 page, if you look at paragraph 2-E --
  - On which exhibit? Α.
  - 0. On 2180.
  - Α. Okay.
- And there Glenda Johnson is saying that on 19
- May 5th, which is the date of the Exhibit 2179, she 20 reached out to Preston Olsen one or two times, and
- paragraph F says, was unable to speak with Preston
- 23 Olsen. If she was trying to get the liens released
- 24 as the court ordered her to do on May 5th, did Glenda
- 25 Johnson know that Preston no longer owned Anstram?

- 1 0. But on May 5th, did she know that Olsen was planning to sell Anstram to you? 2
  - I believe she must have been.
- 4 Did she not know that two months before he 0. 5 had already sold it to you?
  - I don't, I don't know what she knew. Α.
- 7 Was she present when you signed the 0. document to buy Anstram on February 29th?
  - I was at her house, so. Α.
- 10 Turn to the last page of this exhibit, 0.
- 11 which is an e-mail between you and Steven Paul. Do 12 vou see that?
  - Α.
- 14 0. Do you remember seeing that e-mail on May 15 14th?
  - Α. I'm assuming it was sent to me.
- 17 Do you have any independent recollection 0. of exchanging e-mails with Steven Paul about the 19 liens?
- 20 A. I remember that they were requesting them and I didn't want to give them up. 21
- Did you have any discussions with Glenda 22 23 Johnson about releasing the liens?
- Just that I was probably interested in 24 Α. 25 **them.**



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Was there any, ever any discussion with 1 2 Glenda Johnson in which she said the court has ordered me to release the liens and I don't want to, so you can just refuse? Did you have any discussion

of anything like that with her? 5 6

A. I don't remember that. 0. Did you have any other e-mails with Glenda Johnson about releasing the liens other than this

9 one?

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I don't believe so. Α.

Do you have any other e-mails with Steven 11 0. Paul about releasing the liens other than this one? 12

I think this was the one that when he 13 Α. asked and I told him no. 14

When Steven Paul wrote you this e-mail on 15 May 14th, was he writing this to you as your attorney 16 or as Glenda's attorney? 17

I know that he was Glenda's attorney, but 18 Α. he's also representing me in some situations. So I 19 can't answer that.

So is it your understanding he was asking 21 you to release it as your attorney or he's asking it on behalf of Glenda Johnson?

> I can't answer that. I don't know. Α.

0. So the date of this is May 14th. Do you 1 Johnson dated June 9th to help her comply with the court's order?

Define to me what that means. Α.

4 0. Sure. Earlier we talked about the declaration you had signed --

6 Α. Okay.

7 0. -- which is Exhibit 2176. Do you have Exhibit 2176 in front of you? 8

Α.

10 0. So do you remember our discussion about 11 this declaration that you signed?

> Α. Yes.

So did you provide that to Glenda Johnson 13 0. to help her respond to the court's deadline? 14

This was filed in -- let's see.

It was filed on the 10th, but you signed 16 Q. 17 it the 9th.

Α. This was a week before?

19 So on June --0.

> Α. You're talking about document 2181?

Right. And 2181 is dated June 4th in 21 22 which the court gives Glenda Johnson a deadline of

June 10th to provide more information, right? 23

24 That's what it says. Α.

> 0. And then on June 10th your declaration was

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know what the court ruled in response to her May 14th

2 declaration?

> I don't. Α.

> > (Exhibit 2181 marked)

4 0. You've been handed what's been marked as 5 Receiver Exhibit 2181. This is a court order dated 6 June 6, 2020, Document Number 933. If you'll look at 7 that and tell me whether or not you believe you've 9 seen that before.

> Α. Okav.

> > Q. Have you seen this document before?

12 Α.

0. Do you see on page 4 and again on page 5 13 what deadline the court set for Glenda Johnson to 15 provide more information?

> Α. On page 4?

17 Yes. The middle of the page, Based on the Q. 18 foregoing?

> Α. Okay.

What deadline did the court give? 0.

What's that again? Α.

What deadline did the court give for 22 0.

Glenda Johnson to provide more information? 23

> It's no later than June 10th. Α.

25 Did you provide a declaration for Glenda 0.

1 filed with the court, right?

Α. That's what it says.

3 So was your declaration in order to help Q. 4 her meet the deadline that the court imposed?

I have no idea about this other document. 5 Α. I just know that that's --6

7 When you say, this other document, which 0. 8 one do you mean?

9 I don't know what this one -- the document Α. 10 933. I'm not aware of that.

11 0. Exhibit 2181?

12 Α. Yeah, 2181.

So you did not know when you -- strike 13 14 that. When you signed your declaration on June 9th, are you saying you did not know the court had ordered

Glenda to provide information by June 10th? 16

> Α. Correct.

18 0. Let's go back to Exhibit 2180, which is Glenda Johnson's declaration. And go to the last 19

20 page of that.

> Okay. Α.

22 0. And that's the e-mail that Steven Paul 23 sent to you, correct?

24 Α. Yes.

25 And in the first paragraph of the e-mail 0.



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1 Mr. Paul says in the second sentence says, the court, 2 as you are aware, has determined liens were not authorized and ordered that Glenda release the liens. 4 Do you see that?

5 Yes. Α.

- 0. So how is it that on May 14th you were aware that the court had determined the liens were not authorized?
  - Α. I just never thought about it, I guess.
- Well, Steven Paul in this e-mail is --10 0. seems to be saying you are already aware that the 11 12 liens were not authorized. Were you aware on May 14th that the liens were not authorized? 13
  - You're talking about this document here? Α.
  - 0. The e-mail.
- Yeah, apparently the e-mail said that they 16 Α.

17 were.

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- 0. I want to focus on that sentence that 18 19 says, the court, as you are aware, has determined the liens were not authorized. Do you see that?
  - Α. Yes.
- 22 0. So what this is saying is not just 23 informing you that the court said the liens are not authorized; saying that indicates you must already be 25 aware the liens were not authorized. Do you

- 1 9th, that was 2176, we talked about how the court had
- ordered Glenda to provide information by June 10th.
- 3 Do you remember that?
  - Α.
- 5 So your declaration was filed on June 10th 0. along with Glenda Johnson's declaration. Did you 6 know that your declaration that you signed was going to be filed with the court?
  - Α. I don't believe so.

(Exhibit 2182 marked)

- You have been handed what's been marked as 11 0. 12 Receiver Exhibit 2182, which is labeled Complaint, filed June 8th of 2020 in the Fifth District Court 13 for Washington County, Utah. Do you recognize this 14 15 document?
- 16 Α. Yes.
- 17 0. Is this a correct copy of what you've seen 18 before?
- 19 Α. Just, I just know I filed this.
  - 0. And what prompted you to file this
- 21 lawsuit?
- 22 Α. I believe I was trying to get into a 23 position where I could have a jury trial look at our 24 project. I don't know, federal, state court, so I 25 could get maybe a jury trial to look at what we're

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1 doing, I was doing. So --2 Q.

> Go ahead. Α.

- 4 You recall that we had a court order dated 5 June 4th in which the court gave Glenda Johnson until
- June 10th to provide more information? Do you 6
- remember that? 7
  - Α. Yes.
- 9 So four days after that court order and two days before she filed her declaration, you filed 10 11 this lawsuit against her, right?
- 12 Α. Yes.
- 13 Q. Did you, when you filed this lawsuit, was 14 this in response to the court order on June 6th ---15 I'm sorry, June 4th?
- 16 Α. It probably was, but I've since filed to 17 withdraw it.
- 18 I understand. What I'm trying to go 19 through is your knowledge at the time. So when you filed this, did you know that the court had ordered 20 21 Glenda to provide more information by June 10th?
  - Α. I don't believe so, no.
- Did Glenda suggest that you file this 23 0. 24 lawsuit before June 10th?
  - I don't remember that, no.

1 understand what I'm --

Α. Okav.

- Were you aware on May 14th the court had 3 0. 4 already ruled that the liens were not authorized?
  - I see it right here, but I didn't take any Α. mind to it, I guess. I was more worried about protecting the investment that I had in the Texas property and the technology. I really didn't care about their problem at the time.
- Do you believe that prior to May 14th of 0. to 2020 you had been aware that the court had already 12 ruled that the liens were not authorized?
  - Only by this, but I didn't take umbrage. I didn't -- I guess I didn't read it close enough or I just knew that they wanted her to release the liens and that the liens were owned by Anstram and we were just trying to keep our project going. So that's it.
  - So now I want to ask your independent memory, not just what the document says, but do you think that on May 14th you already knew that the court had determined that the liens were not valid?
- 22 I didn't take that. I didn't know that. 23 Even though it says that, I didn't take it personally 24 of understanding it, I guess.
  - So in your declaration you provide on June



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1 I'm trying to understand then was it just coincidence that between June 4th when the court 2 ordered her to provide more information and June 10th when she did provide more information, it's just coincidence you filed this lawsuit during that one-week period? 6

Α. I just know that I was wanting to try and get a case where I didn't have the same judge. I wanted to file it so I could get a jury trial and that's why I paid to have a jury trial, so that I could explain the reasons why our technology would work.

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That's all I was worried about, just getting our technology out there to show that it 15 really worked; that you guys had acted too early in 16 taking all the stuff away from the company and the investors and everybody else; closing our stock market down; closing all that stuff. I felt like I'd been harmed severely, hundreds of thousands of dollars.

- 21 0. Is it just a coincidence that this lawsuit was filed between June 4th and June 10th? 22
- You can call it what you want. I'm just 23 Α. 24 stating what I did.
  - 0. I understand. I'm trying to get at the

1 8th that you were going to file this lawsuit?

- 2 I don't know if I did or not. I can't 3 remember.
- 4 0. Did you have any discussions with Neldon Johnson before June 8th about the fact of your intention to sue Glenda Johnson? 6
- 7 Α. I believe we -- I believe I talked about 8 it, yeah. Yes.
- 9 And how far in advance of June 8th did you 0. have that discussion with him? 10
  - A. I don't recall really.
    - Q. And what do you recall telling him?
- I just wanted to be able to get to a jury 13 Α. 14 trial where we didn't have somebody that was tainted 15 in any way to show that our technology worked and 16 that you guys acted too fast in taking everything 17 away and wiping out the company.
- So is it fair to say that you told Neldon 18 0. 19 Johnson that you intended to sue Glenda Johnson in state court before you actually filed the lawsuit? 21
  - Α. Yes.
- 22 0. And what was his reaction?

23 Why are you going to do that, and I told Α. 24 him that's -- I thought I would get a jury trial and 25 that's why I put in for a jury trial so we could get

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- reason for the timing. Were there any discussions between you and Glenda or between you and Neldon about when this lawsuit should be filed and the
- 3 4 importance of getting it on file before June 10th? 5
  - Why would she want me to sue her? Α.
  - Q. We'll get to that.
- 7 Α. Okay. I just wanted to be able to -never mind. 8
- 9 Were there any discussions you had with Glenda Johnson or Neldon Johnson about the need to 10 get this lawsuit filed before June 10th? 11
  - Α. I don't remember anything like that.
  - Did you have new discussions with Glenda Q. Johnson before you filed this lawsuit about the fact that you were going to sue her?
- I think I had to give her notice that I Α. 16 17 was going to. 18
  - 0. And when did you give her that notice?
  - I think the day that I filed it. Α.
- Did you have any discussions with her 20 0. 21 before June 8th?
- 22 Α. No, I talked to my attorney.
  - Who is your attorney? 0.
- 24 Α. Steven Paul.
- 25 So did you tell Steven Paul before June 0.

- 1 the technology out there and get -- say that I 2 deserved to have these liens because of the 3 technology; that it was taken too premature and 4 that's the reason.
- 5 0. So you recall in your declaration we talked about, which was signed on June 9th, do you 6 7 remember that?
- 8 Α. January, February, March, April, May, 9 okay, six, that's June, right?
  - Exhibit 2176, it's your declaration. 0.
  - Α. Okay.
- 12 0. You signed it on June 9th, right?
  - Correct. Α.
- And does this declaration in Exhibit 2176 14 15 mention the fact that you had sued Glenda Johnson?
- Well, I had -- I don't know if it did or 16 Α. 17 not. I don't think so.
- 18 Do you have any recollection about why you would provide a declaration talking about your 19
- history leading up to your buying Anstram Energy, but 20
- you did not mention your lawsuit against Glenda? 21 22
  - I don't know why. Α.
- 23 In the complaint that you filed, Exhibit 0.
- 2182, who drafted this? Who prepared this lawsuit? 24
  - I think I'm the one that submitted it and



Roger P. Hamblin \*2 September 01, 2020 123 121 1 I don't remember all this other stuff in here. It's 1 Snuffer on the day you signed your declaration? 2 been added. I don't know who added all this stuff 2 It may have been. What are the dates into it. 3 3 again? 4 0. Did you take this down to the courthouse 4 0. Let's go --5 5 and file it? Α. Sorry. Hard to refresh my memory. 6 Leave that open. Let's go to Exhibit Α. 6 0. 7 0. So when you filed it, how many pages were 7 2176. Α. 8 on it? 8 Okav. 9 More pages, I think, or something. 9 So turn to the second page of 2176. Α. 0. At the bottom of the first page, the last 10 0. 10 Α. line says, whose legal description is as follows: What date did you sign that? 11 11 0. 12 See Exhibit A through L property. Do you see that? 12 Α. It says the 9th of June. 13 Α. Yes. 13 So in Exhibit 2182 --0. So you're saying when you filed the 14 So the day before I filed. 14 0. Α. lawsuit, the exhibits were not part of it? Exhibit 2182, what date do you say you 15 15 0. delivered this to counsel and parties of record? Yeah, the exhibits weren't part of it, I 16 16 Α. I think it was on the date that I -- the don't think. 17 17 Α. And do you know how the exhibits became 18 9th. 18 Q. part of the court file? 19 19 Q. Α. I don't know. 20 on counsel --20 Now go back to my earlier question. Who 21 Α. 21 0. I must have gave it to her the same day. drafted this document? 22 That's what I'm trying to see, whether or 22 Q. It doesn't look like my printing. not that jogs your memory. 23 Α. 23 On the second page, is that your 24 24 Q. Α. Yeah, at the attorney's office. 25 signature? 25 0. So when you're at Nelson Snuffer and you 122 124 1 Α. Yeah, it looks like my signature. 1 signed your declaration, did you give a copy of this lawsuit to Nelson Snuffer and to Glenda Johnson? 2 0. Do you remember signing this lawsuit? Glenda. I don't remember. Denver wasn't 3 Yes. 3 A. Α. 4 On the third page, it's a certification of 4 in there. I think it was Steven Paul. 0. 5 service. Is that your signature? 5 0. So gave a copy to Steven Paul and to 6 Yes. That's when I presented it to them. Glenda Johnson? Α. 6 When you say them, who? 7 Right. 7 0. Α. Glenda, I quess. 8 Okay. So let's go back to the first page 8 Α. 0. 9 So how did you serve it on Glenda? of the complaint, the lawsuit, Exhibit 2182. At the Q. I think I e-mailed it to her. very top left it says, Name: Roger Hamblin. Do you 10 A. 10 And it says --11 see that? 11 0. 12 Α. I either e-mailed it or handed it to her. 12 Α. I can't remember. 13 Q. 13 And says you served it on counsel and 14 Do you see that? 14 Α. Somebody put an N in there, yeah. 15 parties of record on June 9th, right, on page 3? 15 16 Α. Okay. 16 Q. The last three letters is crossed out and 17 Is that right? You served it on counsel 17 handwritten L-I-N. Do you see that? 0. 18

18 and parties of record on June 9th, right? 19

Α. Yes.

20 0. So who is the counsel that you served it 21 on?

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Α. Well, it had to be Steve Paul.

So June 9th is the same date that you 0.

signed your declaration. Did you give this to Glenda and Steven Paul on the day that you went to Nelson

Okay. So the lawsuit you filed was served

And it was originally typed H-A-M-B-L-I-M.

Α. Yeah.

19 0. Whose handwriting is that?

20 It's -- I don't know. It's not mine. Α.

> 0. Did you prepare this lawsuit, draft this

22 lawsuit?

23 Α. Yeah, but this is, looks like, a little bit different than what I did for some reason. I 24

25 don't know.



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1 Q. I'll represent to you this we got from the 2 court records.

- A. Okay.
- 4 Q. This is what was filed with the court. So 5 I'm trying to find out, if you drafted it, how you 6 misspelled your own name.
- 7 A. That's what I'm looking at up here. I 8 could be a bad typer.
- 9 Q. But then do you recall correct writing in, 10 correcting the spelling of your name?
- 11 A. I might have.
- 12 Q. At the bottom of the first page we talked 13 about it says, see Exhibits A through L property,
- 14 right?

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- A. Right.
- Q. So would you have, when you drafted this, did you say that it was going to be Exhibits A through L, but then you filed it without any of the exhibits?
- 20 A. I just don't remember this different font 21 stuff in here.
- Q. And that's why I'm trying to probe your memory, because you're telling me it's your
- 24 signature, but you only remember filing three or four
- 25 pages.

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the internet what the state requires you to fill out because I did it just pro se. So I just filled out the documents that they required and put it in.

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- Q. So when you created this and the bottom of the first page said, see Exhibit A through L, what did you mean on the very first page, the last line?
- 7 A. That's what I mean. I don't remember this 8 at all.
- 9 Q. Do you think somebody else filed, took -- 10 strike that. So the third pages are your signature, 11 correct?
  - A. Yeah, they look like my signatures.
- Q. So are you saying you think someone else must have taken the second, third pages from your signature and done a different first page and then attached the --
- 17 A. I don't know how they would get it into 18 the -- I don't know because this is just a state 19 court, right, of Washington?
  - Correct.
- A. I don't know how it would have gotten into that -- this is not my handwriting here.
- Q. Well, when you say there, you mean where it says, case number?
  - A. Case number, Judge Cox.

1 A. I think it was like four or something. 2 Whatever was -- you could get it off the internet to 3 be able to pro se file this. That's what I . . .

- Q. So did you download a form off of the internet and use that in drafting this document?
- 6 A. Yes.
- 7 Q. And, so, do you think that you are the one 8 who drafted this?
  - A. I just don't remember doing this.
- Q. Do you have any other explanation for how this document could be in the court file with your signature?
  - A. In the state court file.
  - In the state court file.
- 15 A. I don't know because I don't remember 16 filing, I don't remember putting all these things on 17 here.
- 18 Q. Do you remember going to the court and 19 filing a document?
- 20 A. Yes.
  - Q. Do you think that was June 8th?
- 22 A. Probably about that time.
- Q. And your recollection is the document was about four pages?
- 25 A. It was whatever, you know, you pull off

1 Q. Normally that's going to be the put on by 2 the court clerk.

- A. No, I put that information on myself.
- 4 Q. The case number and the judge?
- 5 A. Yeah.
- 6 Q. So is that your handwriting?
- 7 A. No, it's not.
- 8 Q. This is what I'm trying to understand. 9 You're telling me that it's your signature on the 10 second and third pages, but you don't remember the 11 first page and you don't remember the attachments?
- 12 A. This wasn't, when it's filed, this was not 13 how I filed it.
- Q. So you're saying you filed something that's different from what we got off the court record?
- 17 A. Correct. I don't know why that would be 18 that way.
- 19 Q. Did Glenda Johnson prepare this for you 20 and ask you to sign it?
- A. I have no idea because this is -- let's see, January, February, March, April, May, June. I don't even think we got to any of this stuff to where we had to present. I was just filing saying I want to go to state court against her.



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1 At the bottom of the first page it says, 2 see Exhibit A through L. Are those the same properties that were identified in the notice of lien 3 we talked about earlier? 4

- I would probably say yes, but I did not Α. recognize them as A through L. I didn't have any idea what that would mean. I could probably provide you with the documents I filed.
  - Please do that. Did you keep a copy? 0.
- Yeah. Do you want me to see if I have 10 Α. 11 that?
- 12 0. Yes. 13 (Pause)

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- If you can't find it quickly, let's go on 14 0. with the questions and then we can see. 15
- I'm sure I have a copy of it. It wasn't 16 Α. 17 in this.
- Then I'll include that in the list of 18 0. things that I would remind you that you're going to 19 try and find and send to me. 20
- 21 Α. Okav.
- 22 Q. So in Exhibit 2182, it has paragraph
- numbers 1 through 7. Do you see that? 23
- 24 Α. Yes.
  - 0. And paragraph 1 says, Plaintiff Roger

1 million, together with interest costs, and attorney's fees, right?

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- Α. Okay.
- 4 0. So what labor and materials did you provide that's worth \$30 million?
- In my -- I don't believe I provided \$30 6 7 million worth of work, labor.
- Why were you due \$30 million for your 8 0. 9 labor and materials?
- 10 Because we -- I felt it's the value of the Α. R&D that the money that was put in by the investors 11 12 to make this thing go and that everybody was harmed. Nobody cared about anybody else and all this was 13 prematurely taken when we know it's energy property. 14
- 15 Well, the liens on these properties were 16 owned by who?
  - Α. I think Glenda put the liens on them.
- 18 And who are the liens transferred to? Q.
- 19 Preston Olsen and Anstram Energy. Α.
  - And, so, who currently has rights to those 0.
- 21 liens?

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- 22 Α. I do.
- 23 Q. You do or Anstram Energy?
- 24 Α. Well, as Anstram Energy, I have Anstram 25 Energy, I guess.

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- 1 Hamblin, plaintiff, is an individual residing in
- Washington County, provided labor and/or materials
- 3 upon and in connection with the improvement of such
- 4 property lying and being in Millard County, Utah
- 5 County, State of Utah, whose legal description is as
- follows: See Exhibit A through L. Did I read that 6
- 7 correctly?

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- Α. Yes.
- 9 Q. So what labor and materials did you provide on properties in Millard County? 10
  - I think when I was selling the solar Α. lenses, I think it's my labor going up there every couple weeks or once a month or every few weeks and trying to see how we were doing on the development of the technology and I put a lot of money into it.
- What labor and materials did you use to 16 Q. improve property in Utah County? 17
  - What address would Utah County be? Α.
  - It was the Payson home. 0.
  - I had nothing to do with that. Α.
- Well, turn to paragraph 3 of the complaint 21 Q. 22
- on the second page. Yes. 23 Α.
- 24 It says, Plaintiff is due money for labor 25 and/or materials provided in the amount of \$30

- 1 Q. But the liens are actually assigned to 2 Anstram Energy?
  - Yes. Α.
- 4 So, if Anstram Energy was assigned the 5 liens, why are you the one filing the lawsuit, not Anstram Energy? 6
- 7 I made a mistake and should have filed under Anstram Energy. So here's what I've done. 8
- Yesterday I went and withdrew or made a motion to 9 10 withdraw, I quess.
  - 0. Dismiss?
- 12 Α. Dismiss, so that I could re-file under 13 Anstram Energy.
- 14 So are you saying that Roger Hamblin did 15 not provide labor and materials in Millard County and Utah County worth \$30 million? 16
- 17 I'm saying that investor friends of mine, myself have put a lot of money into the development, 19 R&D of this project.
- 20 Right, but in paragraph 1, it says that 0. 21 you did it. So it doesn't talk about anybody else.
- 22 It says what you did. That's what I'm trying to get
- 23 at. Tell me what work you did, labor and materials,
- 24 you did in Millard County and Utah County valued at 25 \$30 million.



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And I'm saying that it represents the 1 2 research and development that was put into this project of which I was a stockholder and had 3 4 interest.

- 5 Are you saying that you, Roger Hamblin, 0. provided \$30 million worth of labor and materials on 6 7 properties in Millard County and Utah County?
  - Α. No.

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- 0. Then why does it say that?
- Like I said before, I don't know where 10 Α. that came from, but I believe that's probably a 11 12 correct amount.
- 13 Q. Did you provide any of that labor after June 22nd of 2018? 14
  - Α. No.
- Did you provide any materials after June 16 0. 22nd of 2018? 17
- Α. 18 No.
- 19 0. On paragraph 5 it says that, Plaintiff furnished the labor and materials, furnished the last labor and/or materials on April 14th, 2020. Do you 21 see that? 22
- 23 Α. It says, Plaintiff furnished the first labor and/or materials on or about January of 2012, 24 which is probably even before that.

- 1 and ask you to sign it?
  - I can't, I can't tell you. I don't know.
- Do you have any documents showing what 3 0. labor and materials you provided?
- I think I gave you everything that I had labor-wise, financial-wise, except for paying 6 7 attornev bills.
- Paragraph 4 says, The labor and materials 8 0. 9 were provided, requested by Glenda Johnson. Do you see that?
  - Α. Yes.
- 12 0. When did Glenda Johnson request that you 13 provide labor and materials on these properties?
  - I'm not aware of that. Α.
  - 0. Did Glenda Johnson ever ask you to provide labor and materials on the Payson home?
    - Α. No.
    - Q. Then why did you say that?
- 19 I don't know that I said that. I know Α. 20 this is my signature here. I would have no interest 21 in providing labor and material for their house. My 22 only concern was for the Texas property and the 23 Abraham property and which is now Wings West or West Wings property. 24
  - 0. Read out loud, if you would, the sentence

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- 1 And do you see where it says, and furnished the loss waiver and materials on April 14, 2 3 2020?
- 4 I would probably assume that's me keep going up there and checking on and seeing how the the 5 business is going, what's going on and how it was 6 7 shut down.
- 8 0. I'm trying to understand what labor and materials did you provide on or around April 14th of 9 10 2020?
- Α. Not -- there couldn't have been that much 11 12 value of it.
- Did you provide any labor and materials 13 0. around April 14th of 2020? 14
- 15 No. Just my labor, and I didn't provide -- I provided money since buying these things, but not to that amount. 17
- 18 Did you provide any labor and materials on these properties on or around April 14th of 2020? 19
  - I'd say no on April. Α.
  - Q. Was that language on this page when you
- 22 signed it?

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- 23 I don't know. I don't remember signing Α. 24 that. But it is my signature.
- 25 Did you draft this or did Glenda draft it 0.

- 1 after paragraph 7.
- The foregoing is true, correct to the best 2 Α. of my knowledge, information and belief and I attest to its accuracy under penalty of perjury.
- So when you signed this, did you 5 understand you were signing under penalty of perjury 6 that everything in here is accurate? 7
  - No, because I don't remember this.
  - Are you saying you don't think that language was there when you signed this document?
- 11 I'm saying it's kind of weird because it 12 has all these different fonts and I don't remember 13 this.
- 14 Do you remember going to the courthouse 15 and actually physically filing the lawsuit?
- Yes. All's I had was my, you know, the 16 Α. 17 thing like this, where you fill it out and whatever standard documents there were to file it with the county down here and I don't remember any of these 19 20 other attachments being there.
- 21 When you signed this document --0.
- Are you saying you got all these 22 A. attachments from the county? 23
- 24 From the court clerk. 0.
  - Here in Washington County?



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1 Q. Yes.

- 2 A. I don't know how they all got there then.
- 3 Q. On the second page where there's your
- 4 signature and it says, Dated 4th of June 2020, do you 5 see that?
- 6 A. Yes.

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- Q. Do you think that's when you signed it?
- 8 A. That's what I was looking for to see what 9 day I filed.
- 10 Q. Well, on the first page it says it was 11 filed June 8th of 2020.
  - A. Okay.
- Q. So June 4th you may remember is the day that the court ordered requiring Glenda to provide more information about Anstram Energy, and, so, I'm wondering whether Glenda may have drafted this, sent it to you, asked you to sign it and file it and then she wrote in June 4th and then --
- 19 A. Could she --
- Q. -- and mailed it to you and you signed it and filed it on June 8th.
- A. Since I'm assuming her, could she have sent this in to the court?
- Q. She couldn't file with the court, but that's what I'm trying to find out, if that's your

1 Glenda Johnson?

- 2 A. I think Judge Wilcox set it for September 3 16th for a hearing.
  - (Exhibit 2183 marked)
- 5 Q. You've been handed what's been marked as 6 Exhibit 2183, which is labeled, Answer, filed June 7 22nd, 2020
- 8 A. Yeah, I remember getting this back.
  - Q. So have you seen a copy of this?
- 10 A. Yes.
- 11 Q. Now --
- 12 A. This was in answer for me having to notify 13 her and I guess this is what she sent back in.
- Q. Now, is the format of this one the same format as the lawsuit you filed?
  - A. It's not the same format.
- 17 Q. If you look at the second page of her 18 answer and the third page of your lawsuit, do those 19 formats look similar?
- 20 A. I don't know the date and that looks 21 different to me.
- Q. And in Glenda Johnson's answer, does she dispute any of the allegations in your complaint?
- A. She -- I agree with the allegations and I have no defense.

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- 1 signature on the second, third page and you said yes.
  - A. That's how I sign my name.
- Q. You've also said that you physically went into the court and filed the lawsuit, right?
  - A. Yes.
- 6 Q. What was Glenda's reaction when you served 7 this lawsuit on her?
  - A. Well, I think it's her attorney that said she could go to jail or something and I'm just trying to protect the interests that I had invested in this company. I wanted to see it come to fruition. I just believe you guys took everything too early before there was a final judgment. So I was trying
- before there was a final judgment. So I was trying to keep the company going and be able to get in front of a jury to be able to explain my position.
- 16 Q. My question was: What was Glenda's 17 reaction when you told her that you had sued her?
- 18 A. I wasn't there, I think, when she received 19 this. So I don't know what her reaction was.
- 20 Q. Oh, I thought you said you think you 21 probably delivered, gave this to Glenda on June 9th 22 when --
- A. At the attorney's office. That's what I served her. There was no reaction.
- 25 Q. What happened next in your lawsuit against

- Q. Does that surprise you?
- A. I guess it did. I thought there would be something she'd want to defend, but I was happy I didn't have to go through that issue.
- 5 Q. Any idea why she would file an answer and 6 not just let you take default?
  - A. I don't know.
- 8 Q. Did you have any discussions with her 9 about that?
  - A. No.
- 11 Q. Did you have any discussions with Neldon 12 Johnson about that?
  - A. About why she would have?
- 14 Q. About her just filing an answer that 15 admits everything?
- 16 A. I would think they were wanting to go to 17 state court to get a jury trial.
- 18 Q. My question was: Did you have any 19 discussion with Neldon Johnson about whether Glenda 20 would just admit the allegation?
- A. Well, just that she sent me this just when I got this from the court saying that I have --
- Q. Did you have any discussions with Neldon Johnson about this?
  - A. I probably called him and asked him, you



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1 know, about it.

- 2 Q. Before she filed an answer?
- 3 A. No
- 4 0. After she filed the answer?
- 5 A. Yeah.
- Q. Were there any discussions with Glenda
  Johnson in advance that she was just going to file an answer and admit everything?
- 9 A. No.
- 10 Q. Have you ever been aware of a lawsuit of 11 somebody who's ever filed and the other side wrote 12 back and said, I agree with everything?
  - A. No.

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- 14 Q. Does that strike you as odd?
- 15 A. It struck me as odd.
- 16 Q. Did Glenda suggest that you sue her?
- 17 A. I don't believe so.
- 18 Q. Well, you mentioned several times --
- 19 A. I'm trying to think -- it might have come 20 up in a discussion. I think.
- Q. You mentioned several times that there was a goal to get things in state court before a jury and someplace other than federal court and was this
- 24 lawsuit against Glenda part of that strategy?
- 25 **A. Yes.**

1 harm to me and hundreds of thousands of dollars and I
2 guess, yes, it's like two people fighting to get the
3 same.

- 4 Q. If there's a court order that prohibits 5 Glenda Johnson from transferring properties, was your 6 lawsuit against her an attempt to get around that 7 court order?
- A. My lawsuit was an attempt to get in front of a jury so that we could prove that our technology is correct and the tax courts already said it was solar property. So, if it's solar property, we're on the winning side. It's not a ruse, or whatever you call it, a sham.
- Q. Was your method for getting it in a state court to have you sue Glenda and to be able to take liens, enforce liens against her property?
  - A. I'd say yes.

(Exhibit 2184 marked)

- 19 Q. You've been handed what's been marked as 20 Receiver Exhibit 2184, which is filed June 30th, 2020 21 and it's labeled Motion to Summary Judgment and it
- 22 has your name as the filing party. Does that
- 23 document look familiar?
- 24 A. Yes.
  - Q. Is this your handwriting on this document?

 $\mathbf{1}$  Q. Tell me who you discussed that strategy  $\mathbf{2}$  with.

A. I believe probably attorneys who represented, I guess, apparently represented both of us. I didn't know her. I don't remember. I think we had the same law firm. So what attorney was representing her, I wasn't quite sure. I just wanted to get it into where we could have a jury trial.

- 9 Q. So did Glenda Johnson ever suggest to you 10 that you should sue her in state court to get it in 11 state court before a jury?
  - A. Yeah, it's a possibility, yeah.
  - Q. Did Neldon Johnson --
  - A. But I can't remember specifically that.
- 15 Q. Did Neldon Johnson make that suggestion to 16 you?
  - A. I don't, I don't, I don't believe he did.
- 18 Q. So was your lawsuit against Glenda Johnson 19 an attempt to get around the order from the federal
- 20 court that prohibited Glenda Johnson from 21 transferring the properties to you?
- A. I think it was a way to try and get us to be able to get in front of a jury to prove that our technology is correct and you prematurely sold off our company from the stock exchange and did severe

- 1 A. Yes.
  - Q. Did you serve a copy of this motion on Glenda Johnson?
  - 4 A. Yes
  - 5 Q. What was her reaction on getting this
  - 6 motion?
    - A. I wasn't there when she --
    - Q. How did you serve it on her?
  - 9 A. I e-mailed it to her.
- 10 Q. So this document is a form you have 11 handwritten?
- 12 A. This is like a form that I -- this is like 13 the form that I filed in state court.
- Q. So are you saying you believe that when to you filed the lawsuit, it looked more like this?
  - A. Yes
- 17 Q. And you have a copy of what you filed as a 18 complaint in the state court, correct?
  - A. Yes.
  - Q. And you'll send me a copy of that?
    - A. Yes. Will you just make a note?
  - O. I will.
- A. Because I won't remember. But this is like the four pages where I had to notify her.
  - Q. Did you consult with anybody else in



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1 preparing this motion?

- 2 Α. No.
- 3 Q. Did anyone help you prepare it?
- 4 Α. No, did it off the internet.

5 (Exhibit 2185 marked)

- You've been handed what has been marked as 6 0. 7 Exhibit 2185, which is a Reguest to Submit For Decision filed July 15th of 2020. Do you recognize 8
- this document? 10 Α. Yes.

9

- 11 0. Did you file it with the court?
- 12 Α.
- 13 Q. Is all the handwriting on here yours?
- Except for the date. That's by the --14 Α.
- 15 0. The date on the top right corner of the
- 16 first page? 17 Α.
- Has anything happened in the case since 18 Q. 19 July 15th of 2020?
- Just that the . . . Just that it was going 20 Α. to go to September 16th. 21
- Did you know that Glenda Johnson has sued 22 0.
- Wings West, the owner of the R&D site? 23

Yeah.

- I've heard about it sometime a little 24 Α. 25 while ago.

25

1 deposition is over.

- Well, don't you find it odd that that 2 Α. 3 would happen?
- 4 0. The purpose of the deposition is for me to ask you questions. Would it surprise you if I told you Wings West got a judgment against Glenda Johnson 6 for \$18 million?
- 8 Α. I guess that would be -- why would they --9 how would they get \$18 million for that?
- Because the state law says that, if you 10 file a wrongful lien, you get double damages. 11
  - Α. Okay.
- 13 Q. Does that surprise you that they got a judgment against her for \$18 million? 14
- I guess not, if you're saying that they 15 Α. can double it. I find there's no value there on 16 that, that amount for them. 17
- 18 Are you aware that the federal court has Q. invalidated the liens that were assigned to Anstram? 19
  - Could you show me what you mean? (Exhibit 2186 marked)
- 22 You've been handed what has been marked as 0.
- 23 Exhibit 2186, which is a court memorandum decision
- 24 and order dated August 6th, 2020, Docket Number 984.
- 25 Have you seen this document before?

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- 1 0. Do you know what has happened in that 2 lawsuit?
- 3 Α. I don't know what happened. What 4 happened? I tried to get ahold of Wings West. I tried -- I wanted to buy that property from them and 5 I think I was interested on what it sold for and I think you sent something out that was 8500 or 7 something like that. 8
- 9 Anyway, so, I was looking up Wings West because I wanted to go ahead and -- I wished I would 10 have had a chance to purchase it before. I don't know why they would want it. Do you know anybody 12 affiliated with Wings West? 13
- 14 I'm willing to speak with you after the 15 deposition's over, but the point of this is for me to ask you questions. 16
- Α. I know. I'm just curious because it seems 17 like this is such a remote desolate property that has all this junk on it, everybody says is junk, and that was our R&D, but some peculiar reason some person wants to come out of nowhere and just buy this tainted piece of property. I was just curious in your position if you had anything to promote that 24 being sold out.
  - 0. I'm happy to talk with you after the

1 Α. I don't know why I would have seen it. I 2 haven't seen it before.

- Turn to page 25. 0.
- 4 A. Okav.
- 5 0. Paragraph 1 says, the liens that Glenda Johnson granted to Anstram Energy on properties in 6 Utah County, Utah, and Howard County, Texas are 7
- invalid, void and have no effect. Do you see that? 8
  - Α. Yes.
- 10 0. Were you aware of the court entering that 11 order?
- 12 Α. No. How would I be aware of that?
- 13 I didn't know whether your attorney had Q. 14 sent it to you, whether Glenda Johnson or Neldon 15 Johnson might have sent it to you.
- 16 No, I haven't seen it. Α.
- 17 0. Does Glenda Johnson have any rights to get information from Anstram Energy?
  - Α. I don't believe so.
- 20 Q. Does Anstram Energy have any obligations
- 21 to her?
- 22 A. What do you mean by obligations?
- Does it have any obligations to pay her 23 0. 24 money, to provide her information, to give her
- 25 technology or property or any obligation to her?



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1 I don't think I have anything written. Α.

- 2 Do you have anything non-written? Q.
- 3 I have orally spoken to her about it. Α.
- And tell me what those discussions were. 4 0.
- 5 I think the main thing was I would give Α. her part of whatever we could get back to where we 6 7 originally were, I guess.
- What part of it --8 0.
- 9 Ownership. Α.

17

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- 10 0. What part of it are you going to give back 11 to her?
- 12 Α. The ownership of the liens, I guess, that liened the property. 13
- And is there a deadline or a goal for when 14 0. that will occur? 15
- Α. I don't think -- we don't know. 16
  - And when were these discussions with her? 0.
- Α. I think this was at the time that Olsen 18 and I were talking about. 19
- 20 0. Around February of 2020?
- Probably around. I think they formed it 21 Α. in November and I think it was probably around that 22 time or maybe it was closer to June. 23
- What other oral understandings do you have 24 0. 25 with Glenda about what she might expect?

2 with -- strike that. Have you been having 3 discussions with anyone inside the U.S. about --4 strike that. Has Anstram Energy been having discussions with anyone in the U.S. about the solar technology?

Do you believe that your discussions

- Α.
- Has Anstram Energy been having discussions 8 0. 9 with anybody in countries outside of the U.S. about solar technology?
- Again, I'm not going to answer that on the 11 Α. 12 Fifth Amendment. I just can't.
- 13 In what countries are you having 0. discussions with people about buying solar energy? 14
- 15 Again, Fifth Amendment. I'm not going to 16 answer that question.
- 17 Have you been having those discussions 0. directly or are those discussions being had through 18 19 Neldon Johnson?
- 20 Α. I am not going to answer that question; 21 Fifth Amendment.
- 22 What discussions have you had with Neldon 0. 23 Johnson about selling this technology to people in countries other than the U.S.? 24
  - Α. I can't answer that question; same.

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0. You can't answer it?

Α. I will not answer it on pleading my Fifth Amendment.

4 0. What is the last time you had a discussion 5 with Neldon Johnson about Anstram Energy selling technology? 6

7 It's been a while ago. It's been a month Α. 8 or so ago.

- What's your knowledge of discussions 9 Q. Neldon Johnson has had with people in other countries 10 about buying technology from Anstram Energy? 11
- 12 Α. I'm not aware of that, specifically not aware of it. 13
- 14 0. Do you know if Neldon Johnson has talked 15 with anybody about selling technology to countries outside the U.S.? 16
- 17 I'm not aware of what he does on that. 18 He's never told me on that.
- 19 Does Neldon Johnson believe that he has 0. 20 authority to represent Anstram Energy in selling technology to other buyers? 21
- 22 MR. WALL: I'm going to object on grounds it calls for speculation. My objections having been 23 made. You are required to answer that question. 24
  - Could you repeat the question again then? Α.

1 Α. I don't think I'm liable for anything other than I would just make sure that she could go back to doing what she's doing, which I don't care and I could go back to promoting this to come to fruition so we can show it worked, you know. 5

- 0. And how does Anstram Energy intend to make 6 7 that happen?
- 8 Α. Well, Anstram Energy is hopefully, even if we sell things foreign, we should make an income. It 9 doesn't have to necessarily be sold to the United 10 States, the technology. 11
- 12 Is Anstram Energy in the process of Q. selling this technology to foreign countries? 13
- Α. We're talking to folks. We haven't signed 14 15 anything.
  - When you say, we, who do you mean? Q.
  - Me, Anstram Energy. Α.
    - 0. Who is it you're talking to?
- 19 Well, I'm not going to disclose that I Α. guess on the grounds I don't want to answer that 20 21 question.
- 22 0. And on what grounds are you refusing to 23 answer?
- 24 Α. On the grounds that it may tend to incriminate me into something else, Fifth Amendment.



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Let me rephrase the question and we'll see Q. 2 not about you.

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- if the same objection is interposed. Have you had 2 any discussion with Neldon Johnson in which you believe Neldon Johnson believes that he has authority
- to act on behalf of Anstram Energy in selling or
- discussing selling technology outside of the U.S.? 6 7
  - Α. He has --

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- MR. WALL: Same objection. Now you're 8 9 required to answer.
- 10 Α. I don't think he has authority over Anstram Energy. 11
- 12 0. Have you had discussions with Neldon Johnson about what authority he may think he has? 13
  - That's speculation too. I don't know. Α.
- I understand. Have you had any 15 0. discussions with Neldon Johnson about what authority 16 he may think he has? 17
- Α. 18 No.
- 19 0. We'll take a ten-minute break. Off the record. 20
- 21 (Break)
- MR. KLEIN: Back on the record. Edwin, 22
- are you still here?
- 24 MR. WALL: Oh, yeah.
- 25 0. Mr. Hamblin, other than discussions that

Now, my question is about Anstram Energy,

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- Α. Well, I am Anstram Energy.
- You are not. You have a Fifth Amendment 4 0. right, but Anstram Energy does not. So I'm asking on behalf of what Anstram Energy is doing. 6
  - Α. I'd sav no.
- 8 0. So Anstram Energy is not having any 9 discussions?
- 10 You say it cannot be me and it has to be Α. 11 the company and I'm the only member of the company, so I don't understand the question. 12
- Is Anstram Energy engaged in any 13 0. discussions about possible contracts with anybody 14 about future energy development?
  - Again, I would say I don't know. Α.
  - If you don't know --0.
- That's the entity and you're trying to 18 Α. tell me that -- what's your point, I guess? 19
- 20 I'm trying to find out what Anstram 21 Energy's plans are and status of efforts to develop solar technology. 22
  - Α. Okay.
- 24 0. Can you tell me what those are?
  - Α. Well, I plan to market this outside the

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1 country because apparently the U.S. doesn't want 2 natural clean energy. So there's other people that

- 3 do.
- 4 What discussions has Anstram Energy had 5 with people outside of the U.S. regarding solar technology? 6
- 7 Again, I'm not going to answer that Α. question on the grounds Fifth Amendment. 8
- 9 Does anybody -- strike that. To your knowledge, has anybody spoken on behalf of Anstram 10 Energy other than you? 11
  - Α. I don't know.
- 13 So to your knowledge no one has been 0. 14 speaking on behalf of Anstram Energy other than you?
- 15 Α. Do not, not to my -- I don't know who would do it. 16
- 17 Has Anstram Energy authorized anyone else 18 to negotiate or discuss technology owned by Anstram 19 Energy with anybody else?
- 20 Say that one more. Have I authorized Α. 21 anybody?
- 22 0. Has Anstram Energy authorized anyone else to discuss technology owned by Anstram Energy with 23 24 anybody else?
  - I don't believe so. Α.

- you may have had with people outside the U.S., which you refuse to answer, what else has Anstram Energy
- done to develop the solar technology? 3
- 4 A. Nothing to date.
- 5 Q. What are Anstram's plans for developing solar technology? 6
- 7 Well, we'll do the best we can to sell as Α. 8 much as we can.
- 9 Q. Does Anstram Energy plan to develop the technology using facilities in Millard County? 10
  - Α. No.

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- 12 0. Does Anstram technology, Anstram Energy, plan to develop technology using any facilities in the U.S.? 14
  - Α. I don't believe so.
- Q. Does Anstram Energy have any facilities 16 outside of the U.S.? 17
  - Α. No.
- Does Anstram Energy have any contracts in 19 0. place with anyone? 20
- 21 Α. Not at this time.
- 22 Q. Is Anstram Energy having any discussions
- with anyone about a contract at this time? 23
- Again, I'm not going to answer that on 24 Α. 25 pleading the Fifth Amendment on that.



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1 0. Who would know?

- 2 Α. Not to my knowledge. I'd be the one who would know. 3
- 4 0. So you're not aware of Neldon Johnson talking with anyone else about solar technology owned by Anstram Energy? 6
- 7 Α. He could have. I don't know that, you 8 know.
- 9 0. I'm asking your knowledge.
- I think he knows it's true and I imagine 10 Α. he purports to continue in the development stage, you 11 know, not development, but we actually have it
- 12 working. It's not really a development stage 13
- anymore. It's an actual product that works. 14
- So is it your understanding that Neldon 15 Johnson is continuing to try and show the technology 16 17 works?
- Α. I would believe so, yes. 18
- 19 And what is the basis for that Q. understanding? 20
- Well, we actually have it up and running. 21 Α.
- You have what up and running? 22 0.

Solar lenses?

- 23 A prototype. Α.
- Prototype of what? 24 0.
- 25 Α. (No response.)

0.

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1 use solar lens technology to turn the turbine? 2

- Rephrase that for me one more time.
- 3 Are you saying that you believe Anstram Q. 4 Energy obtained the rights to use solar lenses to turn the turbine and got that technology from the N.P. Johnson Family Limited Partnership?
- 6 7 Well, I'm assuming that I have the rights 8 to use that.
  - 0. When you say you, you mean Anstram Energy?
- 10 Yes, for me personally, too, because of my Α. investment and ownership. 11
- 12 0. So let's go back then. What technology do you own individually to solar technology? 13
  - I have ownership right into the patents. Α.
  - Individually, or is that a right owned by 0. the N.P. Johnson Family Limited Partnership?
  - It was owned by the N.P. Family Α. Partnership, which gave me the rights to do that.
- 19 So did the N.P. Johnson Limited 0.
- 20 Partnership give rights to that technology to Anstram 21 Energy?
- 22 No, I think it's just me personally since 23 I have Anstram, so -- I don't think there's a
- 24 necessity to combine the two.
- 25 So does Anstram Energy have rights to the

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2 Α. Solar. We have three or four different ways of doing -- turning our steam turbine. 3

- 4 What are those three or four different 0. 5 ways?
- Solar lenses, solar chips, any kind of 6 Α. fossil fuel, any kind of biomass. All these are at 7 direct response to our R&D work at those sites. 8
- 9 So what work is currently being done to Q. turn the turbine using solar lenses? 10
- Other -- nothing being done right now 11 Α. 12 using the solar lenses because you've taken them all. (Phone sounds) 13
- Does Anstram Energy own the technology 14 relating to turning the turbine using solar lenses? 15
  - I think it has some rights to it. Α.
- 17 And where did Anstram get the rights to 0. 18 that technology?
  - A. I believe it was from a Nevis company.
  - From a different company in Nevis? 0.
- From I think just from N.P. Johnson. I 21 Α. 22 think it's the rights that we have to have those 23 patents.
- 24 0. Are you saying that Anstram Energy got 25 rights from the N.P. Johnson Limited Partnership to

- 1 technology to use solar lenses to turn the turbine?
  - I believe it might. I just have my own 2 rights to do that as my ownership of N.P. Johnson.
  - 4 When have you signed those rights to 0. 5 Anstram Energy?
    - Α. I haven't yet.
  - Does Anstram Energy have any rights to the 7 0. technology to using solar chips to turn the turbine? 9
    - Not that I know of. Α.
  - 10 Does Anstram Energy have any rights to the 0. 11 technology to turn the turbine using fossil fuels?
    - Α. Not that I know of.
  - 13 Does Anstram Energy hold the rights to the Q. technology for turning the turbine with biomass? 14
    - Not that I know of. Α.
  - So does Anstram Energy have any rights 16 Q. 17 regarding solar technology?
  - Not to my knowledge. It would be me; that 18 Α. 19 I claim that right.
  - 20 Then I'll re-ask the question that I asked 0. earlier. What is Anstram Energy doing to develop 21 22 solar technology?
  - 23 Α. I think at this point we're just waiting 24 for this to come to -- you know, the court system to 25 figure out that you guys are overstepping your suit



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1 and that you've taken away probably the most

- important technology there is and for some reason 2
- 3 you're squashing it, which I believe is
- irresponsible. 4
- 5 As I recall earlier, you said that there 0.
- are projects that are ongoing that prove the 6
- technology works. Didn't you say something like 7 that?
- 8

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- 9 A. Yes.
- Tell me what those projects are. 10 0.
- We have a prototype. 11 Α.
  - 0. A prototype of what?
- Of the turbine running generating, 13 Α.
- spinning a generator making electricity. 14
  - And where is that turbine? 0.
- I don't know the exact location. 16 Α.
- 17 0. When did you last know the location of the
- turbine? 18
- 19 Α. I can show you a video of it.
- 0. I'm interested to know where the turbine 20
- 21 is located.
- 22 Α. I'm saying I'm not going to answer that question on the grounds it may harm me. 23
- Based on your Fifth Amendment right? 24 0.
- 25 Α. Yes.

1 biomass burner, I saw that before. That's really a

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- 2 no-brainer because all you have to do is produce heat
- 3 and it will spin the turbine. It spins the
- 4 generator, creates the electricity. So it's not like it's a big (indicating).
- Have you seen the turbine operate since it 6 0. 7 left Kitco Iron?
- 8 A. Yes.
  - 0. Where?
- 10 Just on video. I haven't personally seen Α.
- 11 it. Be glad to show you the video, if I turn my 12 phone on.
- 13 Have you had any other dealings with Q.
- Preston Olsen other than buying Anstram? 14 15
  - Α.
- 16 Q. Have you ever paid him any money other 17 than the \$10?
- 18 Α. No, other than I had the liability of 19 paying the \$2800.
  - 0. Was that a liability to Preston Olsen?
- 21 Or he would have had to pay it. Α.
  - Has he ever been your attorney? Q.
- 23 Α. No.
- 24 Has he ever provided assistance to you on 0.
- 25 any matters?

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- 1 0. Do you know the location of the turbine?
- 2 A. Not exactly.
- When did you last know the location of the 3 0.
- 4 turbine?
- 5 Α. Several months ago, I think.
- 0. And where was that location? 6
- 7 I'm not going to answer that on the Α. grounds of Fifth Amendment. 8
- 9 Was that a engineering firm called Kitco Q.
- 10 Iron?

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- I did see a Kitco Iron. Α.
- 12 0. And did you see any turbine in operation?
- 13 Α.
- 14 0. Are you the one who took video?
- 15 Α. I believe I did take some video and
- pictures. 16
- 17 And do you know where the turbine went Q.
- after it left Kitco Iron? 18
- It went to, I think, Neldon's home in 19 Α. Payson. I think he had it delivered there. 20
- Have you seen the turbine at Neldon's 21 Q.
- 22 home?
- Yes, and the other items. 23 Α.
- 24 0. What other items?
- 25 The chip set, solar chip set and the Α.

- 1 Α. No, other than going first in our IRS
- court case. I think he probably did a pretty good 2 3 job.
- 4 What are the dealings you've had with 5 Glenda Johnson at any time in the past?
- Probably just buying her lunch. 6 Α.
- 7 What monies has she ever paid to you? 0.
  - None. Α.
- 9 Have you ever paid her any money? Q.
  - No, just lunch, like I said, probably Α.
- 11 lunch.

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- 12 0. Have you ever had any agreements with her?
- 13 No. There'd be no reason I'd have any Α. agreements with her. 14
  - I think you indicated in your discussions Q.
- 16

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- 17 Other than in the future I would, if Α.
- 18 Anstram worked out, she would get her property back, 19 I think.
- 20 Q. Why would you give back to Glenda, not to
- 21 Neldon? Α. Well, she's the owner of them.
- 23 What dealings have you ever had with 0.
- 24 Neldon Johnson?
- 25 Α. Let's see. This could go longer now.



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1 Starting in 1993, I was a young Taco Bell franchisee 2 looking for technology that would give me a perfect 3 food cost. I was introduced to Neldon from his 4 nephew, who was my architect in designing the store, 5 my restaurants. He says, you might want to talk to 6 my uncle.

So I went down to Salem, Utah, which is the site of the first U-Check grocery store and technology-wise I've been in California and none of the groups down there that I worked with could ever get to a perfect food cost with it. Back in those days, it was a little skimpy on, you know, computers and things like that.

But Neldon was far ahead of the field. He had the U-Check store where the technology you would be able to go through the store, scan your items and then weigh them. You used to see it a few years ago at Albertsons, Walmart, wherever, where they you'd scan the items and put them on the -- weigh them and it'd tell you what it cost. All that was developed by Neldon Johnson.

The patents were infringed upon by Kroger out of Canada, and, therefore, we settled -- Neldon settled for I think it was about a million and a half dollars and they could use the technology for what

number. So the only one that can use your credit
 card or your financial, the person whose minutia
 points are the same as on the card.

So it wouldn't matter if you lost your card; no one else could use it because the fingerprint technology, minutia points, would describe who the person was. So that's one of our technologies.

And Microsoft, we tried to sue Microsoft
because they were using our fingerprint technology on
their computer systems for people to log in and log
out, but we had not -- they were too big. We didn't
have enough money to defend that. So we haven't been
able to defend that at that point, but that's part of
IUS's technology is the 3-D finger point minutia
technology.

So you start seeing these technologies
come about and the patents are there, and, so, the
more I got involved with Neldon the more I became
more interested, the more stock I would buy and the
more I was interested in what's going on, how's this
progressing, you know.

And then that was probably I think about early 2000s Randy and I were friends, which is his son, and LaGrand, he started telling me about they

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1 the information they had.

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But as a stock, we -- I think he and IAUS because they'd been around so long was able to take that money and develop this solar lens. And, so, I first purchased stock, I think it's 50 cents a share in '93, and helped -- he helped me with the trying to figure out how to get a perfect food cost in the restaurant business. That's what I was in.

Then we just started getting closer and closer relationship because of that and not long after developing that instant checkout lane, which he owns the patents to which were stolen, but they settled out of court, like I said, for that million and a half and that's when he -- I think he actually was in the development stage 2 of the 3-D fingerprint, which he has the patents on 3-D fingerprint, meaning the minutia points of your fingertip can tell you if you're the right person, yes, no.

Like, if you wanted to open a door, you'd have to use -- he's the only one that's been able to put the minutia point number on a credit card, the three lines on the credit card. So you put in a credit, you sign them in on a 3-D fingerprint. Their minutia points give them a number and that's your

were going to go put the turbine on a geothermal well
and it worked really well. It's a little prototype
and we have a video of that. So we knew that the
pressure was able to turn but all the -- there wasn't
anything financially feasible about putting this jet
nozzle propelled motor, I guess you would call it,
whatever, on wells because they were owned by the oil
companies, already owned all these geothermal areas.
And like Microsoft, they've already stolen

our technology for our fingerprint. They stole the technology for the checkout lanes and we just didn't have financial capability to protect those patents.

And then the solar energy was becoming a pretty big deal about that point in time and, well, it was probably late '90s or early 2000s that I went, I was at the U-Check one day and they were showing me the Fresnel lenses they were only about half as big as this table, about 2x4 foot Fresnel lens.

And they said, do you know what this is,

And they said, do you know what this is, and I said I don't know what the name of it is, but I think it's like a magnifier. They said, yeah, that's exactly what it is. I remember as a kid using a magnifying glass to kill ants or see things that are bigger.

He said, this is what our technology is.



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1 We're going to use this to take the solar heat and concentrate it to a point that creates heat to turn 2 3 the turbine, and I really got excited.

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So through the years we built a prototype, we being IAUS. I keep saying we. They built a prototype and took it to -- they ended up taking it to Mesquite, Nevada, which we had what I think is a professional name, Ned Shamo. He was from Boulder City Fire Department. He'd been there about 30 years and he testified before their -- he testified before their City Council that he had come up there also and seen it working in Mesquite.

But it was -- it wasn't quite as powerful. The lenses weren't as big as we needed to create as much electricity for a commercial project.

And, so, that's when Randy, LaGrand and Neldon and some of the other guys that worked there decided to invent this giant lens that was like 32 feet and which was -- I think he has the patent, but it was almost impossible to build.

I had the white papers on it from three 21 leading people out of -- I think one was Berkeley the 22 other one was MIT and the other one might have been Brigham Young stating that this giant Fresnel lens, 25 which is now 32 feet now, everybody thought it was

1 solar processed heat, that qualifies you for the tax credits and then that got exciting because, if you read --3

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4 0. What monies have you ever provided Neldon Johnson?

6 Α. Give me just a sec. Can we take a 7 2-minute break and I can find this document I'm looking for. 8

0. Yes. Off the record. (Break)

Back on the record. Mr. Hamblin, I'm 11 0. 12 going to try and change the approach here so I can --

I'd like --Α.

14 0. -- move it up a little faster.

> I'd like to add this point. Α.

Go ahead. 0.

17 Α. So here Neldon's developed these other technologies, which he has patents for, and he's able 18 to prove that they work. So he was able to develop 20 this giant Fresnel lens and I'm sure you have a copy 21 of the white paper, do you not, or do you need that 22 of the lenses?

23 Q. I'll let you know.

24 Α. I'm saying I can provide those copies, if 25 you needed one. Anyway, and then this is what we're

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impossible to make, but somehow they were able to go ahead and make this through Lucite.

They had to make the lens mold first. That took a number of years to get the lens mold made and then they rolled it off at -- Lucite was able to get -- because every ridge on these hundreds and hundreds of lens parts have to be perfectly made and when you're rolling raw plastic to have these little lens, each little lens -- I don't know -- line, had to be in perfect concentration in order to get

optimum transfer from the sun to a focal point. And when you're rolling raw plastic to get those perfect is a monumental task that they said would never be done, but he was able to do it. Therefore, we were able to show that the lenses did work and create heat. I did my own heat gun on it several years back where I got the lens to heat over 1806 degrees. I have a picture of it, would be of a hand heat gun, plus we burned up everything. Everybody laughs -- I guess you laughed about, oh, you're just killing rats out there, mice or paper or whatever.

But to us it was monumental that you could go and create temperatures of over 1800 degrees and 25 create heat. Well, we knew if you can create heat,

1 looking at. This is from the Department of Energy.

2 It says that they're handing out these grants

3 starting in 2015 to 2020, but it says they're doing

4 this program still even as late as 2020 because for

proof of concept development, phase 1; prototype 5

development, phase 2; small business applications and

fundings are expected to address the 7

commercialization challenges. 8

9 So that's why I was saying we were too 10 small in Mesquite when we had our prototype down 11 there and it was even today they address there's great challenges in the commercialization of making 12 13 money. There's these troughs, everything else out 14 there, but they don't make money.

15 They've never made money, but they've taken billions of dollars from the government for 16 17 their research and development.

18 Mr. Hamblin, I am not with the government. I am not part of the Department of Justice. I'm not 19 part of the group that has sued Neldon Johnson's 20 companies. My job is only as receiver. So my 21 22 questions are going to be much more direct to things 23 I need to do in my role as receiver.

Α. I'm just going to finish this last statement about a couple things. The prototype to



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1 market has been proved challenging, especially in the

solar sector. Entrepreneurs developing new hardware, 2 3 software technologies are innovating the solar

manufacturing process, face high-risk and have

difficulty raising fundings needed to fully mature

their ideas. 6 7

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This is the Department of Energy in 2020. So think about the situation back in, you know, 15-20 years ago. So we've overcome all these things to be 9 able to prove that our concept works.

- What monies have you provided to Neldon 0. 11 12 Johnson?
  - Α. Several tens of thousands of dollars.
- On how many different occasions? 14 0.
  - On multiple occasions. Α.
- When were those occasions? 16 0.
- Α. Oh, I think when his wife was divorced or I mean, not his wife. I guess she was divorced, but when he divorced his wife trying to keep the business going and I think there was some other hard times 21 when I financially give him money. And, let's see, 22 other than that, I invested in mainly stocks, but I didn't want -- I knew what we had was true so kept doing the best I could to keep it going.
  - Since the divorce, how much would you 0.

- 1 Agreement involving Neldon Johnson as seller and you
- as buyer and 20 percent of the N.P Johnson Family
- 3 Limited Partnership. Does that look familiar?
  - Α.
- 5 0. Is that your signature on page 4 and 6 of this document? 6
  - A. Yes.
- 8 0. On page 3, paragraph 7, it says that you 9 paid him \$55,500. Do you see that?
  - Α. Yes.
- 11 Does that sound right to you? 0.
- 12 Α. It's probably the sum that he had in there, but I gave him more money than that. 13
- And there are other things we're going to 14 0. talk about. Do you know what he did with the 16 \$55,500?
- 17 Α. I have no idea.
- Did he tell you he was going to use that 18 Q. to pay a bankruptcy attorney? 19
  - I have no idea what he did with the money. Α.
- Do you recall if he told you that he was 21 0. 22 going to use it to pay his bankruptcy attorney?
- 23 No. I was more interested in getting the 24 rights to be able to build a solar project? 25

(Exhibit 2188 marked)

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estimate that you have given Neldon Johnson?

- Since the divorce? Α.
- 0. Yes.
- 4 Α. I don't know. Probably over a hundred thousand. I don't know specifically to Nelson 5 6 Johnson or to IAUS.
  - Were those loans to Mr. Johnson? 0.
- Well, that's one of them I took interest 8 Α. in the ability to build in Texas. That's what I 9 received back was 20 percent. 10
- And that was in 2011. Does that sound 11 0. 12 right?
- 13 Probably. Α.
  - Was that in connection with his divorce? 0.
- 15 Α. I don't know. I just know he needed the money then. I don't know when he was divorced. Do 16 you have knowledge of what day his divorce? Or what 17 the year? Seems to me like he was divorced before that, but. 19
- Let's try to go through these documents 20 0. hopefully relatively quickly. 21
- 22 (Exhibit 2187 marked)
- I'll hand you what's been marked as 23 Exhibit 2187, which is dated January 14th, 2011,
- labeled Partnership Interest Sale and Transfer

- 1 And as part of that, you got a 20-percent
  - interest in the N.P. Johnson Family Limited
  - 3 Partnership, correct?
    - Α. Yes.
  - 5 0. And did you participate in meetings of the N.P. Johnson Family Limited Partnership? 6
  - Like I said, Randy and I and LaGrand and 7 Neldon were close friends. So we talked all the time 8 about it and what we were going to do, what we were 9 10 going to do.
    - Did you have meetings of the partners? Q.
  - 12 Α. I'd say yes.
    - What was your role as one of the partners?
  - To help facilitate so we could get the 14 Α. project start. If I needed to, I'd go raise capital 15 or whatever I had to do to get it going. 16
  - 17 Did you ever transfer your interest in 18 this partnership back to Neldon?
    - Α. No.
  - 20 You've been handed what's been marked as 0.
  - Receiver Exhibit 2188, which is dated January 14, 21 22
  - 2011 involving Neldon Johnson as seller and you as
  - buyer for a one-third interest in a company called
  - 24 DCL-16A. Does that sound familiar?
  - 25 A. I think I remember that, yeah.



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1 0. Is that your signature on page 3?

2 Α. Yes.

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- 3 Q. So were you a one-third owner of DCL-16A?
- That must have been where the rest --4 Α. where we agreed the rest of the money was going. 5
  - Is that 18,500 as shown in paragraph 4? 0.
- Seems familiar, yes. That would be 55 7 A. plus that would be about what we're talking about. 8
- 9 Was this to help Neldon file bankruptcy to try and help him out in his divorce? 10
- It could have been. I'm just not sure. I 11 12 don't remember what the divorce date was. Do you happen to have that anywhere? Because I can't say 13 that for sure. 14
- 15 0. I will represent to you that divorce was actually much earlier and they had years and years of 16 litigation over the property settlement after the divorce was granted. 18
  - Α. That seems familiar to me.
  - 0. Did you actively participate in DCL-16A?
- I think just that I had the ownership 21 Α. rights to whatever DCL-16A was. 22
  - What is DCL-16A? Q.
- 24 Α. I think it was an agreement that had 25 patent assets in.

No. I think it ended up going defunct. I 2 can't remember.

(Exhibit 2189 marked)

- 4 0. You've been handed what's been marked as Exhibit 2189, which is a Partnership Asset Purchase 6 Agreement dated October 23rd, 2012 relating to Black Night Enterprises. Do you recognize this document?
- Yeah, there's the Texas property again. 8 Α. 9 So that's probably why I was interested in that.
- Is that your signature on -- is that the 10 page of this document called Exhibit 4? Is that your 11 12 signature?
- 13 Α. Yes. So this is when we transferred it into Black Night. 14
- 15 So what was the purpose, reason for transferring assets from N.P. Johnson into Black 16 17 Niaht?
- 18 Α. I think it was just to protect the 19 patents.
- 20 Was the decision made to form a company in 0. 21 Nevis to hold the patents so the patents would be 22 outside the U.S.?
- 23 I can't answer that on the grounds it may Α. intend to incriminate me, Fifth Amendment. 24
  - Was Black Night a 50-percent owner of the

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- 1 0. DCL-16A owned patents?
- I think so, patent rights. Not patents, 2 A. but patent rights, I think. 3
- 4 And how did you determine the price you 0. would pay for your interest in DCL-16A? 5
- I think this was just his way of saying 6 Α. how we're going to make up for the 74,000 that I gave 7 8
- 9 Q. How did you decide that you were willing to pay that amount? 10
- Because that was the difference in 11 Α. 12 apparently whatever the other one was, the 55,000.
- So is it fair to that Neldon Johnson came 13 to you and said he needed approximately 75, \$74,000 15 and he suggested it would be broken down to two components: One for the partnership and one for 16 17 DCL-16A?
  - Α. That's probably how it happened.
- Did you ever attend shareholder meetings 19 0. for DCL-16A? 20
  - Α. We met quite often.
  - 0. Who are the other shareholders?
- LaGrand, Randy, Neldon. 23 Α.
- Did you ever transfer your interest in 24
- 25 this company back to Neldon?

- 1 Texas property?
- 2 I'm not sure what the interest was, what the percentages were, if you could bring it to my 4 recollection.
- 5 0. Who is it, your understanding, who is it that you understand owns the Texas property? 6
- 7 Well, apparently the receiver's taken Α. 8 ownership.
- 9 Before the receiver was appointed, who did you understand was the owner of the Texas property? 10
- 11 I think it was Black Night. I don't want Α. 12 to make a mistake.
- 13 On page 4 of this document, it indicates 14 that the N.P. Johnson Family Limited Partnership was 15 transferring a 50-percent interest in the Texas property to Black Night. Does that sound right? 16
  - Α.
- Was the other 50 percent transferred to 18 0. 19 Starlite Holdings?
  - I don't know about Starlite Holdings. Α.
- What is your recollection as to why the 21 0. 22 N.P. Johnson Family Limited Partnership wanted to
  - transfer ownership of the Texas property to this
- 24 company in Nevis? 25
  - Α. Can you say that one more time?



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20

Roger P. Hamblin \*2 September 01, 2020 181 183 What was your understanding of the reasons 1 1 Α. Okav. that the N.P. Johnson Family Limited Partnership 2 0. Do you know if Starlite Holdings ever 2 wanted to transfer ownership of the Texas property to 3 exercised options or warrants to get 50 million Black Night that was incorporated in Nevis? 4 shares? I'm not really -- I'm not sure why. 5 5 Α. No. Do you recall any discussions about the 6 No, you don't know? 6 0. 7 decision to do that? 7 Α. I don't know. I remember talking about that we were 8 Α. 8 0. What involvement --9 going to do that, but I didn't -- all's I was curious 9 Because I didn't get any. Α. about was my 20 percent interest in building the 10 What involvement did you have in the 0. solar field out and protecting it the best way we 11 creation of Starlite? 12 could. 12 Α. I didn't create Starlite Holdings. 13 Q. What involvement did you have in the 13 Q. Were you its president? creation of Black Night? 14 14 Α. 15 (Exhibit 2191 marked) 15 Α. None. 16 You've been handed what's been marked as Were you ever president of Black Night? 0. 16 Q. 17 Exhibit 2191, which is a printout of online records 17 A. from the Utah Division of Corporations involving Q. Did you ever have an ownership interest in 18 Starlite Holdings? 19 Chase Sun, L.L.C. 19 Α. If it fell under Black -- I mean the 20 Α. Yes. 20 21 trust. I'm not sure that I did. 21 0. Do you recognize the entity Chase Sun? (Exhibit 2190 marked) 22 22 Α. You've been handed what's been marked as 23 23 Q. Q. Did you create Chase Sun? 24 Exhibit 2190, which is a Partnership Asset Purchase Α. Yes. 24 Agreement dated October 23rd, 2012 involving the N.P. 25 0. Was it created on around December 20th of 182 184 Johnson Family Limited Partnership as seller and 1 2018? Starlite Holdings as buyer. Does that document look 2 2 Α. Yes. familiar to you? 3 Why was Chase Sun created? 3 0. 4 Α. Yes. 4 So that I could be able to use that as an Α. entity to sell solar products. 5 0. Is that your signature on the next to the 5 last page, which would be the eighth page? Where would you get solar products to sell 6 6 0. 7 Α. through Chase Sun? 7 By my rights that I have under N.P. 8 8 0. So on page 5, IV, do you see it indicates that 50 percent interest in the Texas property was Johnson that I felt I had the rights to be able to go 9 transferred to Starlite? 10 10 and sell the product, I guess, like a computer or 11 whatever. 11 Α. Yes. 12 0. Do you have any recollection of why you 12 0. So -formed Starlite and Black Night each to hold 50 13 I felt I held the rights to do that. Α. percent rather than just create one company to hold 14 0. What products or technology has Chase Sun 15 all of it? 15 ever sold? 16 16

I don't remember. Α.

17 0. Also on the same page, III, Starlite had warrants to purchase 50 million restricted shares of IAUS stock. Do you know if Starlite ever exercised 19 20 that?

21 Α. Which page is that on?

22 0. Page 5, III.

> These warrants? Α.

Well, the heading says, Warrants, and the

25 language says, Option.

23

24

Α. We haven't sold anything yet.

17 0. What does Chase Sun own?

18 Α. It just has cash.

19 How much cash does it have? 0.

20 Not very much. Α. 21

Under a thousand, under 10,000? 0.

22 Α. Under 10,000.

> And where did Chase Sun get that money? 0.

24 Α. From me.

So you indicated that Chase Sun was to



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market technology that the family limited partnership had. Did I understand that correctly? 2

- Say that one again. Α.
- I think you indicated that Chase Sun was 4 0.
- formed to market solar technologies that you had from
- the family limited partnership. Did I understand 6 7 that correctly?
- 8 Α. Did I -- the rights to be able to, yeah, I 9 would say that.
- 10 Did the N.P. Johnson Family Limited Partnership ever transfer any technology rights to 11
- 13 Α. Not at this time, no.
- Has it ever? 14 0.
- 15 Α. No.

Chase Sun?

- So what technology rights does Chase Sun 16 0.
- 17 have?

1

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- Only through me what I feel I own the 18 Α. 19 rights.
- What technology rights do you have 20 Q. 21 personally?
- 22 Α. That I own part of N.P.L. Johnson that had patents to this technology, which is --23
- Is that technology owned by the family 24 0. 25 limited partnership or by you?

- 1 dated August 13, 2019 in the amount of \$30,000
- payable to the order of Wisdom Farms Technology
- Development Group. Do you see that? 3
  - Α.
    - 0. Have you seen this check before?
- 6 I believe it's a check for Chase Sun. Α.
  - 0. Did you obtain this check?
- 8 Α. Yes.
- 9 0. Is there anybody else who has authority to act on behalf of Chase Sun?
- My son, I guess. 11 Α.
  - 0. Is your son a part-owner of Chase Sun?
- He's just a figure head on there. 13 Α.
  - So he has 1 percent? 0.
- 15 I think it's 1 percent or 5, something Α.
- 16 like that.
  - 0. So did you go get this cashier's check?
- 18 Α. Yes.
- 19 It says it's payable to Wisdom Farms 0.
- 20 Technology Development Group. Did you give this
- 21 check to Wisdom Farms?
- 22 I'm trying to think. Can you tell me what Α. 23 Wisdom Farms Technology Development is.
- 24 It's a company that supposedly was trying 0. to create a prototype turbine.

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- A. By the partnership.
- What discussions did you have with Neldon 2 0. Johnson about forming Chase Sun? 3
- I didn't; I formed it on my own. 4 Α.
- Has Chase Sun given any money to Neldon 5 Q.
- Johnson or assisted with any projects? 6
- 7 We have not give any money to Neldon Johnson through this company. 8
- 9 Has Chase Sun given any money to assist with any of his projects? 10
- No. 11 Α.
- 12 0. Because this company was formed essentially a month and a half after the receiver was appointed, was this company formed to try and do some 15 things that Neldon's companies could not do because of the receivership? 16
- 17 Α. I don't believe so. That's not why I formed it. 18
- Did you have any discussions with Neldon 19 Johnson about using this company to try to get around 20 some of the court orders? 21
- 22 Α. No.

23

- (Exhibit 2192 marked)
- You've been handed what's been marked as 24 0.
- 25 Receiver Exhibit 2192, which is a cashier's check

- 1 Α. Okay, because I put money in there and paid money out. 2
  - 3 Did you take this check to Kitco Iron and give it to Kitco Iron in order to release the turbine to Neldon Johnson? 5
  - 6 A. I may have.
  - 7 What I'm trying to find out is not just 0. whether or not you may have, but did you?
  - 9 Α. Yes.
  - 10 0. Whv?
  - 11 So that we could get the turbine up and Α. 12 functioning and showing that our technology worked.
  - Did Neldon Johnson ask you to bring the 13 14 \$30,000 to Kitco Iron?
- I think he asked me for money and I did 15 Α. 16 it.
- 17 0. Did he tell you who to make the check out 18 to?
  - I think he did. A.
- 20 Is there some other way you would have Q.
- 21 known to make the check --
- 22 I wouldn't know unless he told me, but all 23 the money that went in there was my money anyway. I 24 wanted the development of this.
  - 0. Was other money paid to Wisdom Farms to



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help development the turbine?

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- 2 I don't know. I didn't, I didn't give any 3 more money than this.
- 4 Were you aware that Neldon Johnson gave some money from IAS to a friend of his just before 5 the court froze the assets and then asked the friend 6 7 to give some of it back to pay Wisdom Farms?
  - I'm not aware of that. Who's his friend? Α.
- 9 0. Did you take this check to Wisdom Farms, or did you -- I mean to Kitco Iron, or did you take it to a meeting at Nelson Snuffer? 11
- Α. I'm not -- I may have just mailed it to Nelson Snuffer. I'm pretty sure that's what I did. 13
- Why would you have mailed the check to 14 Nelson Snuffer if the check's made payable to Wisdom 15 16 Farms?
- Α. I'm just saying that's where I sent the money. I've been to the Wisdom Farms Technology, but I don't think I paid them at that time. But I was 19 there when we showed it to them. We went through --I think I went there twice. 21
- At Kitco Iron? 22 0.
- Α. Yeah, in West Jordan. I think it was West 23 Jordan. Is that where they're located? 24
  - Did Neldon Johnson ask you to mail that

1 Initials, yes.

2 Is that your handwriting on the following Q. 3 page, page 17?

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192

- Α.
- 5 0. And is that your initials on the bottom of page 19? 6
  - A. Yes.
- 8 0. And is this the document by which you were a sales agent for IAS starting in '96?
- Yes. Didn't I provide this to you? I 10 Α. didn't send the even pages? 11
- 12 0. This document was recovered from a 13 dumpster in Oasis where Neldon Johnson threw documents in a dumpster. Do you know why he would 14 have thrown these documents in a dumpster?
- No, but he didn't do a very good job. Do 16 Α. 17 you need the other missing pages?
  - Yes, if you have them. Q.
- 19 I think I've already provided you with all Α. 20 the ones I have. It should be in what I sent you, in 21 that 250 documents or whatever. I remember thinking 22 it was important to know I was a sales rep. I sold 23 stuff like our fingerprint machine, things like that. 24 I was a sales rep before. Well, 1996 is a long time
- 25 ago, 24 years ago.

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1 check to Nelson Snuffer?

- I don't know if I sent it to Wisdom Farms 2 or to Nelson Snuffer. I'm not sure. I really don't 3 4 know.
  - (Exhibit 2193 marked)
- You've been handed what's been marked as 6 Receiver Exhibit 2193, which is an 11-page document 7 that has docket numbers 1722 through 1732. Does this document look familiar to you? I will note for the record that while the Bates numbers are sequential, 10 the actual document seems to be only the odd number 12 of pages.
- 13 Α. It's missing even pages. What document is this from? Is it 1999? 14
- 15 Q. Turn to page 15 on the document page that has Bates No. 1729. Are you on page 15? 16
- Not yet. Okay. I'm familiar with that. 17 Α. 18 That's my signature.
  - 0. And it's dated 5/14 of '96?
- 20 A. Yes.
- 21 Q. Is that your handwriting on the entire 22 page?
- 23 Yes. Α.
- 24 0. Is that your signature on the bottom of
- 25 the next page? I'm sorry, your initials?

1 (Exhibit 2193 marked)

- 2 You've been handed what's been marked as Exhibit 2194, which are three checks to Digital Wave Energy. Two of them from Solco I and one check from 4 5 RaPower.
- 6 Α. Okay.
- 7 0. Do you recognize these?
  - Α.
- 9 Q. Why was Solco I paying Digital Wave money?
- 10 For sales that I made. Α.
- And why was RaPower paying Digital Wave 11 0.
- 12 money?

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- From sales that I made. Α.
- 14 Why would payments sometimes come from 0.
- 15 Solco and sometimes from RaPower?
  - I was not a big fan of RaPower, to be Α. honest. I don't like that kind of a business.
    - 0. Because --
- 19 It's --Α.
- 20 Multilevel sales? 0.
- 21 Α. Yeah, the multilevel sales. So I struck 22 an agreement that I would prefer to do sales without
- the multilevel part of it. So we struck an agreement 24 that we would -- I would bypass RaPower and make
- sales, not have to go through that because I didn't



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1 want my people to go, oh, this is just another multilevel thing. I wanted them to make sales just 3 on the basis of what it was.

- When you say, your people, you mean people 4 0. 5 working underneath you?
  - Α. Uh-huh (affirmative).
  - 0. So others were selling on behalf of Digital Wave Energy?
- 9 Yes. Α.

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- How many employees did you have? 10 0.
- I think I had five or six. 11 Α.
- 12 0. And they do work other than solar energy 13 products?
- 14 Α. Yeah, they had their own businesses.
  - 0. And is Digital Wave Energy your company?
- Yes. It was -- we haven't used it since 16 Α. 17 you guys, 2015 or whenever.
- MR. KLEIN: Ed, I'm guessing another 10-15 18 minutes and I'll give you some time. 19
- MS. STOKES: He said to wait for just a 20 second, but he'll be right back. 21
- THE WITNESS: Take a one-minute break? 22
- Can I go to the bathroom? 23
- MR. KLEIN: Yes. Take a break. Off the 24
- 25 record.

13

1 to XSun Energy to market it.

- So XSun Energy granted Digital Wave Energy 2 rights to market products?
- Yeah. It was a verbal agreement. And, 4 5 so, we made up all the similar stuff to RaPower and 6 we had an attorney look over the contracts and everything and he gave us his opinion on those items, 7 redlined them and he said everything looked pretty 9 good. He had a couple questions, but that was about it. 10
- 11 On page 2, this second section shows 12 payments to Roger Hamblin, a total of \$98,070.49. Does that sound about right; the amount you received 13 from companies related to Neldon Johnson? 14
- Yeah, would have been for whatever 15 Α. commissions was coming. So that's probably close, 16 17 yeah.
- 18 And the third category is Roger Hamblin Q. 19 Trust. What is that trust?
  - That's just my own personal account. Α.
- 21 And is there an actual trust agreement? 0.
- 22 I don't believe so.
- 23 Q. Who is the trustee?
- 24 Myself. Α.
  - 0. Is that trust still in existence?

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1 (Break)

2 (Exhibit 2195 marked)

- 3 Α.
- 4 You've been handed what's been marked as 0. 5 Receiver Exhibit, 2195 which is a two-page printout of a spreadsheet showing payments from various receivership entities to Digital Wave Energy, Roger 7 Hamblin and Roger Hamblin Trust. Have you seen this 8 9 before?
- 10 A. I think you sent me something like this.
- And this shows that Digital Wave Energy 11 Q.
- received \$333,030.15. Does that sound about right? 12
  - Probably about right. Α.
- Now, according to this, some of the 14 15 payments came from RaPower, some from XSun Energy and some from Solco I. So you described why some came from Solco instead of RaPower. Why would some come 17 18 from XSun Energy? 19
- Α. At the time we were kind of -- I say we. 20 I had approached a person who was interested but didn't want to do the multilevel thing, same as I was, and, so, let's see, trying to think what her name is now. Madeline. And we got together and for -- we were going to form a company that bypassed this 25 multilevel stuff and I went and obtained the rights

- 1 Α. It's been changed. It's R.K. Hamblin 2 Family Trust.
  - 0. Is that a formal trust?
- 4 Α. So this have been dissolved. Yes, it's a 5 formal trust.
  - 0. Who is the trustee of that?
- 7 Mvself. Α.
  - (Exhibit 2196 marked)
- 9 You've been handed what's been marked as Q. Receiver Exhibit 2196, which is a four-page document 10 11 with a first page, a cover letter dated November 26,
- 2018 addressed to Secretary-General Meg Kinnear. Do 13
- you recognize this? 14
  - Α. Yes.
- 15 Q. What is that document?
  - Α. Well, we filed on international courts.
- 17 Who is we? 0.
- 18 Α. I think Neldon and I discussed it on 19 behalf of Black Night, Starlite.
- 20 Who came up with the idea of filing a Q. 21 claim with the ICSID?
- 22 Α. I believe it was Neldon.
  - Do you know where he got the idea? 0.
- 24 Α. I have not the foggiest. I guess I shouldn't say that. No, I don't.



196

Roger P. Hamblin ₹2 September 01, 2020 197 199 1 And what can you tell me about the ICSID? 1 I believe I think I got this from Neldon. 0. 2 Α. Well, it's just like I understand that to 2 0. Do you know if he drafted it? 3 initiate a dispute under the ICSID rules I must 3 I think I -- I'm thinking our attorney Α. 4 might have drafted it. I'm not sure. I can't answer provide this notice and the accompanying Demand for Arbitration to you. I hope to protect my investment that. by this action. Your help is appreciated. Do you know if there was a fee involved in 6 6 making this demand for arbitration? So this is where I think the IRS was 7 7 8 coming after us. 8 Α. Yes. 9 0. So was it your understanding that the 9 0. How much was the fee? International Center For the Settlement of Investment 25,000. 10 10 Disputes could stop the IRS from conducting an 11 Did you send a fee with the demand for 11 0. 12 investigation? 12 arbitration? No, but they could help us in a framework. 13 Α. 13 Α. Yes. Where did the money come from? What kind of framework? 14 14 0. 0. 15 Probably from Roger Hamblin. 15 Α. I think so that we weren't just stopped Α. from selling in the United States; that we could do 16 So did you provide the 25,000 for this 16 Q. it internationally. 17 application? 17 0. So it was your expectation that the ICSID 18 I'm not sure how much I provided. I don't 18 Α. could allow Neldon Johnson and you to sell the 19 think I provided all of it. 19 technology internationally even if it couldn't be 20 (Exhibit 2197 marked) 21 I'm handing you what's been marked sold in the U.S.? 21 Α. Yes. 22 Receiver Exhibit 2197 and the front, first of two 22 23 Q. 23 pages, has copies of three checks which are very Is that your signature on the first page? 24 difficult to read. The bottom one says, 24 Α. Yes. 25 0. And on the last page? 25 Secretary-General Meg Kinnear for \$25,000. Can you 198 200 1 Α. Yes. 1 make that out? 2 0. And on the last page, which is page 3 of 3 2 Α. below your signature, it says, Roger Hamblin, 3 And you think that money came from you? 0. 3 4 President, Black Night Enterprises and Starlite 4 Α. (No response.) Holdings International. Do you see that? The second page of this document is a 5 5 0. letter from the International Center For the 6 Yeah. Α. Settlement of Investment Disputes signed by Meg 7 0. Were you president of Black Night? Kinnear, dated December 16, 2019 and that letter Apparently I was. 8 Α. 9 Were you president of Starlite Holdings? indicates the \$25,000 came from somebody named Robert Q. I don't know that much about Starlite Johnson. Do you see that? 10 Α. 10 Holdings, but apparently I was. 11 Uh-huh (affirmative). 11 Α. 12 0. When did you become president of those 12 0. Do you know Robert Johnson? 13 I have no idea who that is. 13 entities? Do you know if --Α. Back when I signed that agreement or they 14 0. 14 held part of N.P. Johnson Trust. 15 15 Α. Robert. And, so, have you been president of those 16 0. Do you know if you had a check from Robert 16 Q. entities since they were first born? 17 Johnson that you mailed with the arbitration request? 17



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Α.

0.

A.

0.

Α.

0.

money that I gave them.

No, you don't know or, no, you didn't?

Who did you give money to?

To the attorney, the attorneys.

Nelson Snuffer?

Uh-huh (affirmative).

No, I didn't. I don't know how they used

No, I think they were -- I'm not even sure

Were you president of those entities on

if they're in existence anymore.

Apparently, yes.

Where did you get it?

Did you draft this document?

0.

Α.

0.

Α.

0.

November 26th of 2019?

No.

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Do you know how much that was? 0.

2 Α. They were helping with the IRS cases, they were helping Neldon. So when needed, I would send 3 4 them money.

5 How much money have you paid to Nelson 0. Snuffer law firm that was not for your own defense? 6

I'm not sure. I could find out. I'd have 7 A. to ask them. 8

- 0. Is it more than 10,000?
- 10 Α. Yes.

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- 11 0. More than a hundred thousand?
- 12 Α. No.
- 13 Q. More than 50?
- It's probably around that number, I think. 14 Α.
- I'm not -- I could go back and get my records and 15 16 see.
- 0. 17 I'll put that on the list.
- Α. 18 Okay.
- Again, I'm asking just about work they've 19 Q.
- done for others, not work they've done for you. 20
- I understand. But I -- you subpoenaed 21 Α. several people, friends of mine, that were -- so I
- paid their attorney fees to the initial responses and
- that was 10,000, 10 or \$20,000. I'm just saying I'm
- out a lot of money. But I felt my responsibility

Paragraph 5 talks about parties are Black 2 Night Enterprise, Starlite and references consent given by the corporations for you to proceed with the arbitration. When did those corporations give you that consent?

203

204

- I think we just got together and almost, 6 Α. 7 you could say, as a plan, business plan.
- Paragraph 6 says, talks about the wrongful action of the United States to confiscate property belonging to Black Night Enterprises, Inc. and 11 Starlite Holdings International, Inc. through a
- 12 claimed subsidiary company, International Automated 13 Systems, Inc. violated tax laws. Do you see that?
  - Α.
  - 0. Is IAS a subsidiary of Black Night and
- 16 Starlite?

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- No. Α.
- 18 Did you --Q.
- 19 I think this is International Automated Α.
- 20 Systems is the public company, was the public 21 company.
- 22 So when you signed this, did you not think
- 23 that it was incorrect to say that IAS was a
- 24 subsidiary?
- 25 Α. It was probably incorrect.

that these people on my word went and did this and now, so, we're fighting this. 2

3 So hopefully you'll lose and we'll end in 4 the end and you'll have to give it all back. You don't have to put that on the record. 5

- Q. If you say it it's on the record.
- Α.

6 7

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- 8 0. So is it your recollection that the
- \$25,000 that you sent with the arbitration demand was 9
- not this check from Robert Johnson? 10
  - I don't know. Α.
- 12 0. Do you have a recollection of sending a check with the arbitration demand? 13
- Α. I know that I had to come up with 25. We 14 15 had to come up with 25,000 and pay it. But I don't remember having to come up with that amount. 16
- 17 And did you read this arbitration demand 0. before you sent it? 18
  - I believe so. I signed it. Are we back? Α.
- Yes. Let's go back to that. 20 0.
  - A. Which one is it?
- It's Exhibit 2196, and paragraph 4 talks 22 0.
- about various treaties. Have you read any of those 23 24 treaties?
- 25 Α. I have not in the full length, no.

- 1 Paragraph 9, it says that Black Night
  - Enterprises and Starlite Holdings together own
  - 3 approximately 80 percent of IAS, having invested
  - millions of U.S. dollars in this subsidiary. How
  - much did Black Night invest in IAS? 5
  - 6 A. I don't know.
  - 7 0. How much did Starlite Holdings invest in
  - IAS? 8

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- Α. I don't know.
- 10 0. Both of these companies were formed in May of 2012, correct? 11
- 12 Α. I believe so.
- 13 To your knowledge has either of those Q. 14 companies put money into IAS?
  - Α. I don't know.
    - (Exhibit 2198 marked)
- 17 You've been handed what's been marked as Receiver Exhibit 2198, which is a one-page document
- that says, Affidavit for Witness of IAUS Technology. 19
- 20 Do you recognize this document?
  - Absolutely. Α.
- 22 0. Is that your signature toward the bottom?
  - Α.
- 24 0. What was this document supposed to be used
- 25 for?



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I think I was asked to give an affidavit 1 2 that I witnessed the technology on my own. So I personally witnessed the solar events producing heat over 1800 degrees. Like I said, I have a photo of that. I think I provided you with that. This was done using a heat gun. I've seen the turbine driven 7 by steam using natural gas and not using a boiler.

> Who asked you to sign this? 0.

I'll just finish. I have seen the voltage Α. controller work by seeing the flows from 2013 to the present. I was able to use other technologies that Neldon invented such as the AFIM, which is the 13 fingerprint 3-D technology that we talked about previously about the minutia points, credit card.

15 I've seen the dual axis tracker working. So vertically and horizontally. That was about

2006-2007 that I witnessed, so I gave each statement. 17

I was asked to give them. 18 19

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Q. Who asked you to sign this?

20 Α. I think it was Neldon.

Did you draft this or did he draft it? 21 0.

I drafted it. Α.

23 Q. Do you know --

Looks like it's been cropped onto a page. 24 Α.

> 0. Do you know what it was to be used for?

1 billion in sales, that you would get \$135,000 in commissions?

Α. Yeah, this was -- that's correct.

4 0. And have you ever received any commissions under this agreement?

6 Α. No.

7 0. To your knowledge, how much power generation equipment did IAS sell after October 31st 9 of 2005?

> Say that one more time? Α.

Sure. Look at the document and the large 11 0. 12 paragraph just above the center, the last sentence 13 says that the commission would be based on a referral 14 fee based on the first one billion of sales 15 commencing October 31st of 2005. So the guestion is: 16 How much power generation equipment did IAS sell after October of 2005? 17

Α. I can't quantify that. I don't know.

19 Do you know if they sold any power Q.

20 generation equipment?

21 I don't know on specifically. I think we Α. 22 were still always in the R&D stage.

23 Right. So did IAS ever sell any power Q. 24 generation equipment?

> A. I'd say no because we were still in the

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1 Α. I have no idea. I was just asked if I would tell what I know. So that's what I did.

(Exhibit 2199 marked)

4 You've been handed what's been marked as Receiver Exhibit 2199, which is Solar Lens -- sorry, 5 Solar Lease Bonus Fee Contract. 6

Α. Correct.

8 0. Which has a date of November 7, 2010. Do 9 you see that? 10

Α. Yes.

Is that your handwriting on this page? Q.

Α. It's mine and Neldon, yeah. Yes.

So the top half of the page where it has Q. name and address, is that your handwriting?

Α. Yes.

> Q. And on the bottom left where it says,

Lessee, is that your signature? 17

> Α. Yes.

What does that agreement reflect? 0.

This reflects that, you know, as a Α.

salesman, that I would be able to make a certain 22 commission on what I sold and I was just getting his signatures that he agreed with the commissions that I

24 would get if I sold the product.

25 0. So does that indicate that if IAS had one

1 R&D phase before you guys wiped us out.

(Exhibit 2200 marked)

3 0. Exhibit 2200 is also a Solar Purchase 4 Bonus Fee Contract. This one is dated January 2nd of 2006. Do you recognize this document? 5

A. Yes.

7 0. Is that your signature on the left bottom?

Α.

9 And this discusses Red Desert Realty. Is 10 that your company?

11 Yes. Α.

12 And does this indicate that Red Desert Realty would get \$54,000 after for all sales by IAS 14 over one billion?

15 Α. No. Now that it reflects back to this document, this is the money that I put in to purchase solar lenses on both accounts. So this is the money 17 18 that I put into this company.

19 So does this indicate that Red Desert 0. 20 Realty would get .009 percent commission of the first one billion? 21

22 Α. Correct.

> Α. That's on both backs.

24 0. Did Red Desert Realty receive any

25 commissions on the bonus contract?



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1 Α. No.

2 (Exhibit 2201 marked)

- 3 Q. Down to the last three. You've been 4 handed what's been marked as Exhibit 2201, which is a two-page document. First page is AEPA Sales, LLC printout from the Utah Division of Corporations 6 7 website.
  - A. Yes.

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- 9 Is AEPA Sales a company you formed? 0.
  - LaGrand and I and Madeline Lewis --Α. remember when I was talking about the didn't want to do this multilevel-type thing? So we were going to use AEPA as a sales force on the commission that Neldon would give me. We would form this company, and, but, I don't think we ever -- I don't think it ever made any money. Did it?
- 17 0. The second page of this document shows that \$15,518.80 was paid to AEPA by Xsun or by Solco. 18 19 Does that surprise you?
- Α. It might have been. I was out of the 20 country this year. We just barely got it formed and 21 I was serving a mission for my church in England, I 23 think, when this all came about.
- 24 Q. Do you recognize the name Rustin Lewis and 25 and Thomas Veth?

1 problems, and, so, I sold my stock, which I

- 2 controlled and gave them the money off of my stock
- 3 sale and they were to give me the same amount back
- 4 for the 25 percent difference. So, if I sold a
- 5 hundred thousand dollars' worth or shares, they would give me 125,000 shares back. 6
- 7 0. So the stock that you sold, was that --8 where had you gotten that stock from?
  - I bought it. Α.
  - From a broker or from IAS? 0.
- From a broker for Schwab or Scottrade, one 11 Α. 12 of those brokerage houses that I bought stock. These are in my family's names because I bought stock and 13 my wife, bought stock for her. I bought stock and 15 transferred it into my son's name. And then when 16 they needed money, I said, guys, we need to sell our 17 stock, get the money to help the company keep rolling. 18
- 19 0. So then you and family members sold stock 20 that you owned in IAS and gave the proceeds to IAS?
- 21 Α.
- 22 Q. Did the proceeds go to IAS or to Neldon
- 23 Johnson?
- 24 Α. I'm pretty sure it went IAS. I mean I'm 25 not sure.

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Α. That's Madeline Lewis' husband, I believe, but I think these were commissions for what they sold. It had nothing do do -- I don't think we ever made any money on that. We never really ended up using it. I think that's what they probably ended up using for their own personal -- it never did come to fruition. I'm not aware of that money and I think, anyway, I've never seen it.

(Exhibit 2202 marked)

- You've been handed what's been marked as 0. Receiver Exhibit 2202, which is a one-page document with Minutes of Meeting Board of Directors of International Automated Systems on November 12th of 2010. Do you think you've seen this before?
  - Α. No.
- Q. The next to the last paragraph at the 16 bottom says, The company, meaning IAS, agrees to sell 17 stock to Chase Hamblin, Kris Hamblin and Roger Hamblin at 25 percent discount of the lowest quoted 19 stock price. Do you see that? 20 21
  - A. Yes.
- 22 Q. Do you know if you ever bought stock pursuant to this opportunity? 23
- I think what I -- this comes to my mind 24 Α. 25 now. This is they needed -- they had financial

- How did the money go to them? Did you 0. just write them a check?
- 3 Yes. Well, yeah, I think I just wrote Α. 4 them a check. Anyway, I funded that.
- 5 0. Did you ever buy any stock other than 6 through a broker?
- 7 Yeah, the first day I met Neldon Johnson Α. in '93 I bought 2,000 shares at 50 cents a share. 8
  - From Neldon or from a broker? Q.
  - Α. From Neldon.
- And was that Neldon's stock, or was that 11 0. 12 newly issued stock by IAS?
- I have no idea. All I know is I saw the 13 Α. technology and I said, how can I get involved? 14 15

(Exhibit 2203 marked)

- 16 You've been handed what's been marked as Q. Receiver Exhibit 2203, which is a two-page document 17 with the heading Pacific Stock Transfer Company.
  - Α. Okay.
- 20 0. And this lists a number of different
- 21 individuals. The first one is Roger Paul Hamblin Trust?
- 22
  - Okay. Α.
  - 0. Is that you?
- 25 Yes.



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1 So this indicates that you held 1,273,388 shares. Does that sound right? 2

3 Α. Probably. I don't know who Graig C.

Hamblin or --4

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- We'll get to them. 0.
- 6 Α. Okav.
  - 0. The next one is Chase Talmage Hamblin; is that your son?
  - Α. Yes, that's my son.
- It shows he has 126,618 shares. Do you 10 0.
- 11 see that?
- 12 Α. Yes.
- 13 Q. Do you know where he got those shares?
- 14 Α.
- 15 0. And where did you get the shares that you
- 16 gave to Chase?
- 17 I bought them. Α.
- On the far right you see where it says 18 Q.
- next to each share, Restricted? 19
- 20 Α. Okav.
- I will represent to you that, if you buy 21
- stock on the open market, it's not restricted stock, but, if you get stock from the company, it has to be
- held for a minimum --24
- 25 Α. Six months or two years or whatever.

216

- 1 0. -- holding period.
- 2 A. Yeah, okay. So I probably have done that 3 before.
- 4 So does that help you remember better 0.
- 5 whether or not these stock that is in your name and Chase's name had been obtained from the company as 6
- opposed to buying it from a broker? 7
- Yeah. There's probably several times I've 8 Α. done, I've probably done that. 9
- So --10 0.
- I didn't know what you meant before. But, 11 Α. yeah, there's several times where I bought restricted 12 stock or bought it on the open market.
- When you bought this restricted stock, 14 15 then you bought it from the company, correct?
  - I would say yes. Α.
- So did you buy that pursuant to the 17 0. 25-percent discount that we talked about before? 18
- I have to look at the dates, I guess. 19 Α.
- There's oftentimes when they needed money that I 20 would buy the restricted stock and then there was a
- few times that I think I had to turn the stock into
- the -- to make them become non-restricted so that I
- 24 could put them in a stock account. Does that make
- 25 sense?

16

Then for my son, I would just transfer so

215

- much. You can give your kids so much money a year. 2
- 3 So I would just transfer and he would buy shares in
- 4 his own account. So he has more shares than this
- because he didn't buy restricted shares. He bought
- 6 shares from -- what's the company that -- Scottrade.
- So these are probably implications of the shares that 7
- I transferred to him, you know.
- 9 0. The next entry is Graig Hamblin. Do you know who that person is? 10
- Never heard of him. 11 Α.
  - 0. Do you know the next one is Janthia
- Hamblin? Do you know who that person is? 13
  - Never heard of that. Α.
  - 0. The next one is Kris Hamblin. Is that
- 16 your wife?

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- Α. That's my wife.
- 18 Q. And also shows she has 168,291 shares of
- restricted stock. Would that have been the same as 19
- what you described?
  - Α. That's about the same, yeah.
- 22 What have you done since August 22nd, 2018 Q.
- 23 that has involved going on the properties that are
- 24 identified in the receivership order as being limited
- 25 by the -- restricted by the court? Let me start
- 214
  - 1 over.
    - 2 A. One more time.
    - On August 22nd, 2018 is the day the court 3 0. 4 entered the asset freeze. Since that date, have you
    - gone onto any of the properties that were used by the
    - receivership companies to do anything relating to 6
    - solar technology? 7
      - Yes. Α.
    - 9 0. When?
    - 10 At Neldon's home in Payson. Α.
      - What did you do then? 0.
    - 12 That's where I looked at the technology
    - 13 that came from that one company.
    - 14 0. Wisdom Farms?
    - Wisdom Farms. They had set it up there 15 Α.
    - and Neldon -- then we had the solar chip sets 16
    - working, so I saw that. 17
      - 0. Also in Payson?
    - 19 Also in Payson. Α.
    - 20 But I think Payson is the only site I've Α.
    - 21 been to.
    - 22 0. Have you been on any of the property in
    - Millard County since August of 2018? 23
    - I don't believe. I don't recall ever 24 Α. 25 doing that.



	217		•	219
1	Q. Have you used any other tools or equipment	1	REPORTER'S CERTIFICATE	
2	related to solar development since August of 2018?	2	STATE OF UTAH )	
3	A. Have I used them?	3	) ss.	
4	Q. Yes.	4	COUNTY OF WASHINGTON )	
5	A. What do you mean by used?	5	I, Ann Fleming, Registered Professional Reporter, do hereby certify:	
6	Q. Have you gone in and used any of the tools	6		
7	to create anything or used, taken any of the tools or	7	That prior to being examined, the witness, Roger P. Hamblin, was by me duly sworn to tell the	
8	equipment?	8	truth, the whole truth, and nothing but the truth;	
9	A. I haven't taken any tools or equipment,	0	That said deposition was taken down by me	
LO	but I've positioned things to make them work like the	9	in stenotype on September 1, 2020, at the place therein named, and was thereafter transcribed and that a true	
11	chip, the lights, solar chips to be able to create	10	and correct transcription of said testimony is set	
12	energy.	11	forth in the preceding pages;	
L3	Q. Is that in Payson	12	I further certify that, in accordance with Rule 30(e), a request having been made to review the	
L4	A. Yeah.		transcript, a reading copy was sent to the witness to	
L5	Q facility. And do you have any	13	read and sign, and the original transcript will be delivered to Mr. Klein for safekeeping.	
L6	possession of any monies, equipment, solar	14	I further certify that I am not kin or	
L7	components, records or vehicles that once belonged to	15	otherwise associated with any of the parties to said	
18	Neldon Johnson or his companies?	16	cause of action and that I am not interested in the outcome thereof.	
<u>1</u> 9	A. No. He has mine. No.	17	WITNESS MY HAND this 14th day of September, 2020.	
20	Q. Have you destroyed any of your own records	18	An 1/2:	
21	since June of 2018?	19	Ma glery	
22	A. No.	20	Ann Fleming, RPR	
23	Q. Do you know of anyone else who destroyed	21	, , ca <u></u>	
24	any records?	22 23		
25	A. No.	24		
	1.0	25		
		25		220
	218		Case: United States of America vs. Paperer 3 IIC et	220
1	218 Q. Mr. Hamblin, I appreciate it. That's the	1	Case: United States of America vs. RaPower-3 LLC, et al.	220
1 2	Q. Mr. Hamblin, I appreciate it. That's the end of my list. I need to give I want to give	1 2	al. Case No.: 2:15-cv-00828-DN Reporter: Ann Fleming	220
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