Case No. 19-4066 (D.C. No. 2:15-CV-00828-DN-EJF) (D. Utah)

Neldon P. Johnson 2730 West 4000 South Oasis, Utah (801) 372-4838 Pro Se Plaintiff

## IN THE UNITED STATES COURT OF APPEALS FOR THE TENTH CIRCUIT

## UNITED STATES OF AMERICA

Plaintiff-Appellee,

**RULE 40 PETITION FOR** REHEARING

VS.

Case No. 19-4066

NELDON PAUL JOHNSON, Defendant-Appellant, and INTENATIONAL AUTOMATED SYSTEMS, et. Al.

Defendants.

Appellant, Neldon P. Johnson, appears Pro Se, and submits this Petition for Rehearing under Rule 40 of the Federal Rules of Appellate Procedure:

This is a timely petition under Rule 40 of an Order entered June 24th. The original appeal in this case included a request to dismiss the proceedings in the lower court because there is no jurisdiction to hear the case. My appeal stated, "The District Court lacks jurisdiction over foreign national investments, and has and is taking steps to damage these foreign rights without due process or respect for international law." (See Appendix 1.) In an Order dismissing my appeal, the 10<sup>th</sup> Circuit decided that the matter was not ripe for a decision because there is a pending receivership below. The 10<sup>th</sup> Circuit stated, "The orders Mr. Johnson seeks to appeal are interim procedural orders, which are not suitable for application of any exception to the final judgment rule. See *Mohawk Indus. v. Carpenter*, 558 U.S. 100, 107 (2009)." (See Appendix 2.) This is incorrect and fails to address the challenge to the lower court's jurisdiction raised as an issue in my appeal.

It is well settled that the question of jurisdiction can be raised at any stage of the proceedings. *McNutt v. General Motors Acceptance Corporation*, 298 U.S. 178, 56 S. Ct. 780, 80 L. Ed. 1135; *Scroggin Farms Corporation v. McFadden*, 8 Cir., 165 F.2d 10; *Burks v. Texas Co.*, 5 Cir., 211 F.2d 443; *Johnson v. Fredrick*, 8 Cir., 9 F.R.D. 616. The burden to prove jurisdiction is not on me but on the government that is asserting its

existence. DaimlerChrysler Corp. v. Cuno, 547 U.S. 332, 342 n.3 (2006).

I raised the issue. I do not have the burden to prove there is a problem with

the lower court's jurisdiction. By dismissing my appeal without considering

the heart of the reason for my appeal the 10<sup>th</sup> Circuit Court's June 24, 2019

Order dismissing my appeal was an error that should be corrected. Among

other things, the case below is not ripe because of changes to the applicable

law.

I should be allowed to appeal and the 10th Circuit should allow this to

be fully briefed before deciding the matter.

I certify under Rule 32(g) that this Petition complies with the type-

volume limitation because it is less than the 15 pages permitted under the

rules.

Dated this \_/\_ day of July, 2019

Neldon Johnson, Pro Se

3

### **CERTIFICATE OF SERVICE**

I certify a copy of the foregoing was sent to counsel for the United States through the Electronic Service by the Utah Court's e-filing program

/s/ Neldon Johnson, Pro, Se

## Appendix:

- 1. Copy of Notice of Appeal
- 2. Copy of Order Dismissing Appeal

Appendix 1

Neldon Johnson 2800 West 4000 South Delta, UT 84624 Tel. (801) 372-4838 Defendant, Pro Se

# IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF UTAH, CENTRAL DIVISION

UNITED STATES OF AMERICA,

Plaintiff,

VS.

RAPOWER-3, LLC, INTERNATIONAL AUTOMATED SYSTEMS, INC., LTB1, LLC, R. GREGORY SHEPARD, NELDON JOHNSON, and ROGER FREEBORN,

Defendants.

Civil No. 2:15-cv-00828-DN-EJF

NELDON JOHNSON'S NOTICE OF APPEAL (ORDERS Doc. 619, 624)

Judge David Nuffer Magistrate Judge Evelyn J. Furse

Neldon Johnson, appearing pro se, gives notice that he appeals to the United States Court of Appeals for the Tenth Circuit from the District Court's Minute Order (Doc. 619) entered on April 26, 2019; and Order Denying Motion to Dismiss Receiver and Case (Doc. 624) entered on April 29, 2019. Copies of these are attached to this Notice. The District Court lacks jurisdiction over foreign national investments, and has and is taking steps to damage these foreign rights without due process or respect for international law.

April 30, 2019.

/s/ Neldon Johnson Pro Se Defendant

#### **CERTIFICATE OF SERVICE**

I hereby certify that a true and correct copy of the foregoing **NELDON JOHNSON'S PRO SE NOTICE OF APPEAL** was sent to counsel for the United States in the manner described below.

Erin Healy Gallagher Erin R. Hines Christopher R. Moran US Dept. of Justice P.O. Box 7238 Ben Franklin Station Washington, DC 20044 Attorneys for USA Sent via:

\_\_\_\_\_Mail
\_\_\_\_Hand Delivery
\_\_\_\_Email:
erin.healygallagher@usdoj.gov
erin.r.hines@usdoj.gov
christopher.r.moran@usdoj.gov
X Electronic Service via Utah Court's
e-filing program

<u>/s/ Neldon Johnson.</u> Pro Se Defendant

Appendix 2

Appellate Case: 19-4066
Appellate Case: 19-4066

Document: 010110191517 Document: 010110186622 Date Filed: 07/02/2019 Date Filed: 06/24/2019 Page: 9 Page: 1

FILED

# United States Court of Appeals Tenth Circuit

#### UNITED STATES COURT OF APPEALS

#### FOR THE TENTH CIRCUIT

**June 24, 2019** 

Elisabeth A. Shumaker Clerk of Court

UNITED STATES OF AMERICA,

Plaintiff - Appellee,

v.

NELDON P. JOHNSON,

Defendant - Appellant,

and

INTERNATIONAL AUTOMATED SYSTEMS; LTB1; RAPOWER-3, LLC; R. GREGORY SHEPARD,

T_	0	1		
1)	efe	ทสะ	ากเ	S

No. 19-4066 (D.C. No. 2:15-CV-00828-DN-EJF) (D. Utah)

# ORDER

Before **HARTZ**, **HOLMES**, and **EID**, Circuit Judges.

Defendant Neldon Johnson filed a pro se notice of appeal of two district court orders, one directing him to appear at a deposition called by the receiver and the other denying his motion to dismiss both the receiver and the case against him. This court challenged the appellant to demonstrate appellate jurisdiction. *See* 10th Cir. R. 27.3(B). The appellant filed a memorandum brief in response urging the court to allow the appeal to continue because he believes the underlying case should have ended, at least as to him. At the court's direction, the government also filed a memorandum brief addressing

Appellate Case: 19-4066 Document: 010110191517 Date Filed: 07/02/2019 Page: 10
Appellate Case: 19-4066 Document: 010110186622 Date Filed: 06/24/2019 Page: 2

appellate jurisdiction. The government agreed with the court's assessment that appellate jurisdiction is lacking and asked for the appeal to be dismissed. Mr. Johnson also filed a reply to the government's response. After considering the parties' submissions, the district court record and the applicable law, we dismiss this appeal for lack of appellate jurisdiction.

This court generally has jurisdiction to review only final decisions. 28 U.S.C. § 1291. District court orders entered while a receivership continues are not final orders for purposes of appeal. *See generally S.E.C. v. American Principals Holdings, Inc.*, 817 F.2d 1349, 1350 (9th Cir. 1987) ("Because the receivership proceeding is continuing, the order from which [the appellant] attempts to appeal is not a final judgment appealable under 28 U.S.C. § 1291.") As the district court record shows, the receivership in the underlying case has not concluded. The district court has not disassociated itself from the case. *See Gelboim v. Bank of America Corp.*. – U.S. –, 135 S. Ct. 897, 902 (2015). Because the receivership has not been wrapped up or otherwise terminated, appellate jurisdiction cannot be established under § 1291.

The orders Mr. Johnson seeks to appeal are interim procedural orders, which are not suitable for application of any exception to the final judgment rule. *See Mohawk Indus. v. Carpenter*, 558 U.S. 100, 107 (2009) ("[T]he chance that the litigation at hand might be speeded, or a particular injustice averted, does not provide a basis for jurisdiction under § 1291." (internal quotations omitted).). Further, this court has already decided that discovery orders like the one directing Mr. Johnson to appear at a deposition and orders denying motions to dismiss are not immediately appealable. *Boughton v.* 

Appellate Case: 19-4066 Document: 010110186622 Date Filed: 06/24/2019 Page: 3

Cotter Corp., 10 F.3d 746, 748-50 (10th Cir. 1993) (discovery orders); Dababneh v. FDIC, 971 F.2d 428, 432 n.6 (10th Cir. 1992) (denial of motion to dismiss). Mr. Johnson's arguments do not persuade us otherwise.

In sum, the interlocutory district court orders for which Mr. Johnson seeks review are not immediately appealable. F.D.I.C. v. McGlamery, 74 F.3d 218, 221 (10th Cir. 1996) (preferring to avoid piecemeal appellate disposition of what is in practical terms a single controversy).

APPEAL DISMISSED.

Entered for the Court ELISABETH A. SHUMAKER, Clerk

by: Lara Smith

Las In

Counsel to the Clerk